# On a Theorem of Shintani

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Communicated by Michel Broué

Received June 13, 1998

Let  $\chi$  be an irreducible character of  $G_d = \mathbf{GL}_n(\mathbb{F}_q^d)$  invariant under the automorphism  $\phi$  of  $G_d$  induced by the field automorphism  $\mathbb{F}_{q^d} \to \mathbb{F}_{q^d}$ ,  $x \mapsto x^q$ , and let e be a divisor of d. By a theorem of Shintani, there exists an extension  $\tilde{\chi}_e$  of  $\chi$  to  $G_d \rtimes \langle \phi^e \rangle$  whose Shintani descent to  $G_e$  is, up to a sign  $\varepsilon$ , an irreducible character of  $G_e$ . It is shown in this paper that  $\tilde{\chi}_e$  may always be chosen such that  $\varepsilon = 1$ . With this particular choice,  $\tilde{\chi}_e$  is the restriction of  $\tilde{\chi}_1$ . Our methods rely on the work of Digne and Michel on Deligne–Lusztig theory for nonconnected reductive groups. (© 1999 Academic Press

Let  $\mathbf{G}^{\circ} = \mathbf{GL}_n(\mathbb{F})^d$ , where  $\mathbb{F}$  is an algebraic closure of a finite field and where *n* and *d* are natural numbers. The symmetric group  $\mathfrak{S}_d$  acts on  $\mathbf{G}^{\circ}$ by permutations of the components of  $\mathbf{G}^{\circ}$ . We denote by  $\mathbf{G}$  the semidirect product  $\mathbf{G} = \mathbf{G}^{\circ} \rtimes \mathfrak{S}_d$ . It is a nonconnected reductive group, with neutral component  $\mathbf{G}^{\circ}$ . We denote by  $F_0: \mathbf{G} \to \mathbf{G}$  the natural split Frobenius endomorphism on  $\mathbf{G}$  (acting trivially on  $\mathfrak{S}_d$ ), and we choose an element  $\sigma \in \mathfrak{S}_d$ . Let  $F: \mathbf{G} \to \mathbf{G}$  denote the Frobenius endomorphism defined by  $F(g) = {}^{\sigma}F_0(g)$ .

In this paper we discuss the irreducible characters of  $\mathbf{G}^{F}$  (the unipotent characters of  $\mathbf{G}^{F}$  were described in [B]). We first prove that there exists a Jordan decomposition of characters (this result is well-known for  $\mathbf{G}^{\circ}$ ); moreover, this decomposition commutes with Lusztig generalized induction (cf. (3.2.1)). We also prove that all the irreducible characters of  $\mathbf{G}^{F}$  are linear combinations of generalized Deligne–Lusztig characters (this gener-

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alizes the well-known result of G. Lusztig and B. Srinivasan [LS, Theorem 3.2)] about irreducible characters of the general linear group over a finite field).

As an application of these results, we obtain new results about Shintani descent in the case of the general linear group. In [S], Shintani proved that any irreducible characters of the finite group  $G_d = \mathbf{GL}_n(\mathbb{F}_{q^d})$  stable under the automorphism  $\phi$  induced by the field automorphism  $\mathbb{F}_{q^d} \to \mathbb{F}_{q^d}, x \mapsto x^q$  can be extended to  $G_d \langle \phi \rangle$  in such a way that its Shintani descent is, up to sign, an irreducible character of  $G_1 = \mathbf{GL}_n(\mathbb{F}_q)$ . In Theorem 4.3.1 we prove that this sign can always be chosen to be equal to 1 and get precise formulas for the corresponding extension. As a consequence, we obtain that the Shintani descent of this particular extension to  $G_e$  is an irreducible character of  $G_e$  (where *e* divides *d*).

#### 0. NOTATION

## 0.1. General Notation

Let  $\mathbb{F}$  be an algebraic closure of a finite field. We denote by p its characteristic. We also fix a power q of p, and we denote by  $\mathbb{F}_q$  the subfield of  $\mathbb{F}$  with q elements. All algebraic varieties and all algebraic groups will be considered over  $\mathbb{F}$ . If **H** is an algebraic group (over  $\mathbb{F}$ ), we will denote by  $\mathbf{H}^{\circ}$  its connected component containing 1. If **H** is endowed with an  $\mathbb{F}_q$ -structure, we also define

$$\varepsilon_{\mathbf{H}^{\circ}} = (-1)^{\mathbb{F}_q \operatorname{-rank}(\mathbf{H}^{\circ})}$$

Let  $\ell$  be a prime number different from p. We denote by  $\overline{\mathbb{Q}}_{\ell}$  an algebraic closure of the  $\ell$ -adic field  $\mathbb{Q}_{\ell}$ . If G is a finite group, all representations and all characters of G will be considered over  $\overline{\mathbb{Q}}_{\ell}$ . For instance, a G-module is a  $\overline{\mathbb{Q}}_{\ell}G$ -module of finite dimension. We will denote by Irr G the set of irreducible characters of G.

If *n* is a positive integer, we denote by  $\mathbf{GL}_n$  the group of invertible matrices with coefficients in  $\mathbb{F}$ , and if  $g \in \mathbf{GL}_n$ , we will denote by  $g^{(q)}$  the matrix obtained from *g* by raising all coefficients to the *q*th power. We will denote by  $\mathbf{T}_n$  the split maximal torus of  $\mathbf{GL}_n$  consisting of diagonal matrices and by  $\mathbf{B}_n$  the rational Borel subgroup of  $\mathbf{GL}_n$  consisting of upper triangular matrices.

#### 0.2. The Problem

Let r be a positive integer and let  $d_1, \ldots, d_r$  and  $n_1, \ldots, n_r$  also be positive integers. Throughout this paper  $\mathbf{G}^\circ$  will denote the following

connected reductive group:

$$\mathbf{G}^{\circ} = \prod_{i=1}^{r} \underbrace{\left(\mathbf{GL}_{n_i} \times \cdots \times \mathbf{GL}_{n_i}\right)}_{d_i \text{ times}}.$$

We endow  $\mathbf{G}^{\circ}$  with the split Frobenius endomorphism

$$F_{0}: \quad \begin{array}{c} \mathbf{G}^{\circ} \rightarrow \mathbf{G}^{\circ} \\ \left(g_{i1}, \dots, g_{id_{i}}\right)_{1 \leq i \leq r} \mapsto \left(g_{i1}^{(q)}, \dots, g_{id_{i}}^{(q)}\right)_{1 \leq i \leq r} \end{array}$$

We will denote by  $T_0^\circ$  and  $B_0^\circ$  the maximal torus and the Borel subgroup of  $G^\circ,$  defined, respectively, by

$$\mathbf{T}_{\mathbf{0}}^{\circ} = \prod_{i=1}^{r} \underbrace{\left(\mathbf{T}_{n_{i}} \times \cdots \times \mathbf{T}_{n_{i}}\right)}_{d_{i} \text{ times}}$$

and

$$\mathbf{B}_{\mathbf{0}}^{\circ} = \prod_{i=1}^{r} \underbrace{\left(\mathbf{B}_{n_{i}} \times \cdots \times \mathbf{B}_{n_{i}}\right)}_{d_{i} \text{ times}}.$$

The group  $\mathfrak{S} = \mathfrak{S}_{d_1} \times \cdots \times \mathfrak{S}_{d_r}$  acts on  $\mathbf{G}^\circ$  in the natural way. More explicitly, if  $\sigma = (\sigma_1, \dots, \sigma_r) \in \mathfrak{S}$  and if  $(g_{i1}, \dots, g_{id_i})_{1 \le i \le r} \in \mathbf{G}^\circ$ , we put

$${}^{\sigma}(g_{i1},\ldots,g_{id_i})_{1 \le i \le r} = (g_{i\sigma_i^{-1}(1)},\ldots,g_{i\sigma_i^{-1}(d_i)})_{1 \le i \le r}$$

The elements of  $\mathfrak{S}$  induce automorphisms of  $\mathbf{G}^{\circ}$ , which stabilize  $\mathbf{T}_{0}^{\circ}$  and  $\mathbf{B}_{0}^{\circ}$ , so they are quasi-semisimple (cf. [DM2, Definition 1.1(i)]). In fact, they are all quasi-central (cf. [DM2, Definition-Theorem 1.15] and [B, Lemma 7.1.1]).

We extend the Frobenius endomorphism  $F_0$  to  $\mathbf{G}^{\circ} \rtimes \mathfrak{S}$  by letting  $F_0$  act trivially on  $\mathfrak{S}$ . We fix once and for all an element  $\sigma \in \mathfrak{S}$ , and we denote by F the Frobenius endomorphism on  $\mathbf{G}^{\circ} \rtimes \mathfrak{S}$  given by

$$F(g) = \sigma F_0(g) \sigma^{-1} = {}^{\sigma} F_0(g)$$

for all  $g \in \mathbf{G}^{\circ} \rtimes \mathfrak{S}$ .

We will denote by **G** an *F*-stable subgroup of  $\mathbf{G}^{\circ} \rtimes \mathfrak{S}$  containing  $\mathbf{G}^{\circ}$ . Hence **G** is a reductive group with neutral component  $\mathbf{G}^{\circ}$ . Moreover, there exists an *F*-stable (that is, a  $\sigma$ -stable) subgroup *A* of  $\mathfrak{S}$  such that

$$\mathbf{G} = \mathbf{G}^{\circ} \rtimes A.$$

Thus we have  $\mathbf{G}^F = \mathbf{G}^{\circ F} \rtimes A^F = \mathbf{G}^{\circ F} \rtimes A^{\sigma}$ .

*Problem.* Parametrize the irreducible characters of  $\mathbf{G}^{F}$ .

For this purpose we can make the following hypothesis without loss of generality:

HYPOTHESIS. The Frobenius endomorphism F acts trivially on  $\mathbf{G}/\mathbf{G}^{\circ}$ , that is, A is contained in the centralizer of  $\sigma$  in  $\mathfrak{S}$ . Consequently,

$$\mathbf{G}^F = \mathbf{G}^{\circ F} \rtimes A.$$

*Remark* 0. Let  $N = d_1n_1 + \cdots + d_rn_r$ . Then  $\mathbf{G}^\circ$  is isomorphic to a rational Levi subgroup  $\mathbf{H}^\circ$  of a parabolic subgroup of  $\mathbf{GL}_N$  (endowed with the split Frobenius endomorphism  $g \mapsto g^{(q)}$ ), and  $\mathbf{G}$  is isomorphic to a rational subgroup  $\mathbf{H}$  of the normalizer of  $\mathbf{H}^\circ$  in  $\mathbf{GL}_N$ , containing  $\mathbf{H}^\circ$  and such that all elements of  $\mathbf{H}/\mathbf{H}^\circ$  are rational. Conversely, if  $\mathbf{H}$  is such a rational subgroup of  $\mathbf{GL}_N$ , then there exist positive integers r,  $d_1, \ldots, d_r, n_1, \ldots, n_r$ ; an element  $\sigma$  of  $\mathfrak{S}_{d_1} \times \cdots \times \mathfrak{S}_{d_r}$ ; and a subgroup A of  $\mathfrak{S}^\sigma$  such that  $\mathbf{H}$  is isomorphic to the group  $\mathbf{G}$  constructed as above. In particular, if  $\mathbf{L}$  is an F-stable Levi subgroup of a parabolic subgroup of  $\mathbf{G}$  (cf. [B, Definitions 6.1.1 and 6.1.2] for the definitions of parabolic subgroups, then all of the results proved for  $\mathbf{G}$  hold in  $\mathbf{L}$ .

# 1. JORDAN DECOMPOSITION OF CHARACTERS OF $\mathbf{G}^{F}$

## 1.1. Dual of G

Let  $(\mathbf{G}^{\circ*}, \mathbf{T}_0^{\circ*}, F^*)$  be a dual triple of  $(\mathbf{G}^{\circ}, \mathbf{T}_0^{\circ}, F)$ . The elements  $\alpha$  of  $\mathfrak{S}$  induce automorphisms  $\alpha^*$  of  $\mathbf{G}^{\circ*}$ . The group  $\mathfrak{S}^*$  of automorphisms of  $\mathbf{G}^{\circ*}$  induced by  $\mathfrak{S}$  is isomorphic to the opposite group of  $\mathfrak{S}$ . We extend the action of  $F^*$  to  $\mathbf{G}^{\circ*} \rtimes \mathfrak{S}^*$  so that it acts on  $\mathfrak{S}^*$  by conjugation by  $\sigma^{*-1}$ . We denote by  $\mathbf{G}^*$  the semidirect product  $\mathbf{G}^{\circ*} \rtimes A^*$ , where  $A^*$  is the image of A under the preceding anti-isomorphism. In particular,  $\mathbf{G}^{*\circ} = \mathbf{G}^{\circ*}!$ 

# 1.2. Lusztig Series of $\mathbf{G}^{F}$

Let *s* be a semisimple element of  $\mathbf{G}^{* \circ F^*}$ . We denote by (*s*) (or  $(s)_{\mathbf{G}^{*F^*}}$  if confusion is possible) the  $\mathbf{G}^{*F^*}$ -conjugacy class of *s* and by  $(s)^{\circ}$  (or  $(s)_{\mathbf{G}^{*\circ F^*}}^{\circ}$ ) the  $\mathbf{G}^{*\circ F^*}$ -conjugacy class of *s*.

DEFINITION 1.2.1. The *Lusztig series*  $\mathscr{C}(\mathbf{G}^F, (s))$  of  $\mathbf{G}^F$  associated with s (or (s)) is the set of irreducible characters of  $\mathbf{G}^F$  occurring in some  $\mathrm{Ind}_{\mathbf{G}^\circ F}^{\mathbf{G}^\circ}\gamma^\circ$ , where  $\gamma^\circ$  is an element of a usual Lusztig series  $\mathscr{C}(\mathbf{G}^{\circ F}, (s')^\circ)$  with  $s' \in (s)$ .

The characters of the Lusztig series  $\mathscr{C}(\mathbf{G}^F, 1)$  are called *unipotent*; this definition agrees with definitions given in [DM2, Section 5] or [B, Definition 6.4.1] (cf. [B, Lemma 6.4.2]).

The following lemma follows immediately from the definitions:

LEMMA 1.2.2. Let *s* be a semisimple element of  $\mathbf{G}^{* \circ F^*}$ ,  $\gamma^{\circ}$  be an element of  $\mathscr{E}(\mathbf{G}^{\circ F}, (s)^{\circ})$ , and  $\alpha \in A$ . Then  ${}^{\alpha}\gamma^{\circ} \in \mathscr{E}(\mathbf{G}^{\circ F}, ({}^{\alpha^{*-1}}s)^{\circ})$ .

COROLLARY 1.2.3.

Irr 
$$\mathbf{G}^F = \bigcup_{(s)} \mathscr{E}(\mathbf{G}^F, (s)),$$

where (s) runs over the set of  $\mathbf{G}^{*F^*}$ -classes of semisimple elements of  $\mathbf{G}^{*\circ F^*}$ . Moreover, this union is disjoint.

*Proof.* The equality follows easily from the corresponding fact for  $\mathbf{G}^{\circ F}$ . Let us prove now that the union is disjoint. Let *s* and *t* be two semisimple elements of  $\mathbf{G}^{*\circ F^*}$  and let  $\gamma$  be an irreducible character of  $\mathbf{G}^F$  belonging to both  $\mathscr{E}(\mathbf{G}^F, (s))$  and  $\mathscr{E}(\mathbf{G}^F, (t))$ . Then by definition there exist irreducible characters  $\gamma_1^{\circ}$  and  $\gamma_2^{\circ}$  of  $\mathbf{G}^{\circ F}$  occurring in the restriction of  $\gamma$  to  $\mathbf{G}^{\circ F}$  such that  $\gamma_1^{\circ} \in \mathscr{E}(\mathbf{G}^{\circ F}, (s')^{\circ})$  and  $\gamma_2^{\circ} \in \mathscr{E}(\mathbf{G}^{\circ F}, (t')^{\circ})$ , where  $s' \in (s)$  and  $t' \in (t)$ .

But by Clifford theory there exists  $\alpha \in A$  such that  $\gamma_2^\circ = {}^{\alpha}\gamma_1^\circ$ . It follows from Lemma 1.2.2 and from the fact that Corollary 1.2.3 holds in **G**° that  $t' \in ({}^{\alpha}{}^{*-1}s')^\circ$ , so  $t \in (s)$ .

COROLLARY 1.2.4. Let *s* be a semisimple element in  $\mathbf{G}^{* \circ F^*}$ , and let  $\gamma \in \mathscr{E}(\mathbf{G}^F, (s))$ .

(a) Let  $\gamma^{\circ}$  be an irreducible component of the restriction of  $\gamma$  to  $\mathbf{G}^{\circ F}$ , and let t be a semisimple element of  $\mathbf{G}^{* \circ F^*}$  such that  $\gamma^{\circ} \in \mathscr{E}(\mathbf{G}^{\circ F}, (t)^{\circ})$ . Then  $t \in (s)$ .

(b) There exists an irreducible component of the restriction of  $\gamma$  to  $\mathbf{G}^{\circ F}$  belonging to  $\mathscr{E}(\mathbf{G}^{\circ F}, (s)^{\circ})$ .

*Proof.* (a) is a reformulation of Corollary 1.2.3, and (b) is an easy consequence of (a) and of Lemma 1.2.2.  $\blacksquare$ 

#### 1.3. Nice Elements

Let *s* be a semisimple element of  $\mathbf{G}^{* \circ F^*}$ . The centralizer of *s* in  $\mathbf{G}^{* \circ}$  is connected and is a Levi subgroup of a parabolic subgroup of  $\mathbf{G}^{* \circ}$ . The image of  $C_{G^*}(s)$  by the morphism

$$C_{\mathbf{G}^*}(s) \to \mathbf{G}^* \to A^*$$

is denoted by  $A^*(s)$ . Then the  $\mathbf{G}^{*\circ}$ -conjugacy class of s is stable under  $A^*(s)$ . If we denote by  $\mathbf{G}^{*\circ A^*(s)}$  the group of fixed points of  $A^*(s)$  on  $\mathbf{G}^{*\circ}$ , then the  $\mathbf{G}^{*\circ}$ -conjugacy class of s in  $\mathbf{G}^{*\circ}$  meets  $\mathbf{G}^{*\circ A^*(s)}$  in a single  $\mathbf{G}^{*\circ A^*(s)}$ -conjugacy class because  $A^*(s)$  acts by permutations on the components of  $\mathbf{G}^{*\circ}$ . This conjugacy class is  $F^*$ -stable and  $\mathbf{G}^{*\circ A^*(s)}$  is connected, so there exists an  $F^*$ -stable element t in the  $\mathbf{G}^{*\circ}$ -conjugacy class of s centralized by  $A^*(s)$ . Moreover,  $C_{\mathbf{G}^{*\circ}}(s)$  is connected, so  $t \in (s)^\circ$ . It also implies that  $A^*(t)$  contains  $A^*(s)$ . Because they are conjugate under  $A^*$ , they are equal.

DEFINITION 1.3.1. The element s is said to be *nice* (or  $\mathbf{G}^*$ -*nice*) if  $A^*(s)$  centralizes s.

The preceding discussion shows that there exists a nice element in every semisimple  $\mathbf{G}^{* \circ F^*}$ -conjugacy class. If *s* is a nice element of  $\mathbf{G}^{* \circ F^*}$  and if  $\alpha^* \in A^*$  is such that  $\alpha^*(s)^\circ = (s)^\circ$ , then  $\alpha^* \in A^*(s)$ .

# 1.4. The Group $\mathbf{G}(s)$

Until the end of this section, we fix a nice semisimple element *s* in  $\mathbf{G}^{*\circ F^*}$ . Let A(s) be the subgroup of *A* corresponding to  $A^*(s)$ . The group  $C_{\mathbf{G}^{*\circ}}(s) = C_{\mathbf{G}^*}(s)^\circ$  if an  $F^*$ -stable Levi subgroup of a parabolic subgroup of  $\mathbf{G}^*\circ$ . Let  $\mathbf{G}^\circ(s)$  be an *F*-stable Levi subgroup of a parabolic subgroup of  $\mathbf{G}^\circ$  dual to  $C_{\mathbf{G}^{*\circ}}(s)$ ; we can assume that A(s) normalizes  $\mathbf{G}^\circ(s)$ . We define  $\mathbf{G}(s)$  to be the semidirect product

$$\mathbf{G}(s) = \mathbf{G}^{\circ}(s) \rtimes A(s). \tag{1.4.1}$$

Because A(s) acts on  $\mathbf{G}^{\circ}$  by permutations of the components, there exists a parabolic subgroup of  $\mathbf{G}^{\circ}$  that has  $\mathbf{G}^{\circ}(s)$  as a Levi subgroup and is stable under A(s). Hence,  $\mathbf{G}(s)$  is a Levi subgroup of a parabolic subgroup of  $\mathbf{G}$ . Moreover,  $\mathbf{G}(s)^{\circ} = \mathbf{G}^{\circ}(s)$ .

With the semisimple element *s* is associated a linear character  $\hat{s}^{\circ}$  of  $\mathbf{G}^{\circ}(s)^{F}$  (cf. [DM1, Proposition 13.30]). Since *s* is centralized by  $A^{*}(s)$ , the character  $\hat{s}^{\circ}$  is invariant by A(s), so it extends to a character  $\hat{s}$  of  $\mathbf{G}(s)^{F}$ , where  $\hat{s}(\alpha) = 1$  for  $\alpha \in A(s)$ .

## 1.5. A Lemma

Let  $\gamma^{\circ}(s)$  be a unipotent character of  $\mathbf{G}^{\circ}(s)^{F}$ . By [B, Theorem 7.3.2 and Definition 7.3.3], there exists a canonical extension  $\tilde{\gamma}(s)$  of  $\gamma^{\circ}(s)$  to  $\mathbf{G}^{\circ}(s)^{F} \rtimes A(s, \gamma^{\circ}(s))$ , where  $A(s, \gamma^{\circ}(s))$  is the stabilizer of  $\gamma^{\circ}(s)$  in A(s).

LEMMA 1.5.1.  $\varepsilon_{\mathbf{G}^{\circ}(s)}\varepsilon_{\mathbf{G}^{\circ}}R_{\mathbf{G}^{\circ}(s)}^{\mathbf{G}^{\circ}} \rtimes_{A(s, \gamma^{\circ}(s))}(\tilde{\gamma}(s) \otimes \hat{s})$  is an irreducible character of the group  $\mathbf{G}^{\circ F} \rtimes A(s, \gamma^{\circ}(s))$ . Its restriction to  $\mathbf{G}^{\circ F}$  is the irreducible character  $\varepsilon_{\mathbf{G}^{\circ}(s)}\varepsilon_{\mathbf{G}^{\circ}}R_{\mathbf{G}^{\circ}(s)}^{\mathbf{G}^{\circ}}(\gamma^{\circ}(s) \otimes \hat{s}^{\circ})$  which belongs to  $\mathscr{E}(\mathbf{G}^{\circ F}, (s)^{\circ})$ .

*Remark.* By [B, Theorem 7.3.2], the unipotent character  $\tilde{\gamma}(s)$  of  $\mathbf{G}^{\circ}(s)^{F} \rtimes A(s, \gamma^{\circ}(s))$  is a uniform function, that is, a linear combination of generalized Deligne-Lusztig characters. Hence the class function  $\varepsilon_{\mathbf{G}^{\circ}(s)} \varepsilon_{\mathbf{G}^{\circ}} R_{\mathbf{G}^{\circ}(s)}^{G^{\circ} \rtimes A(s, \gamma^{\circ}(s))}(\tilde{\gamma}(s) \otimes \hat{s})$  is independent of the choice of a parabolic subgroup of **G** having  $\mathbf{G}^{\circ}(s) \rtimes A(s, \gamma^{\circ}(s))$  as Levi subgroup. That is why the Lusztig functor is denoted without reference to the parabolic subgroup (the notion of a Lusztig functor for disconnected reductive groups has been defined in [DM2], and slightly generalized for the purpose of this article in [B]).

*Proof of Lemma* 1.5.1. To simplify notation, we can assume that  $A = A(s, \gamma^{\circ}(s))$ . Let

$$\tilde{\gamma} = \varepsilon_{\mathbf{G}^{\circ}(s)} \varepsilon_{\mathbf{G}^{\circ}} R^{\mathbf{G}}_{\mathbf{G}(s)} (\tilde{\gamma}(s) \otimes \hat{s})$$

and

$$\gamma^{\circ} = \varepsilon_{\mathbf{G}^{\circ}(s)} \varepsilon_{\mathbf{G}^{\circ}} R^{\mathbf{G}^{\circ}}_{\mathbf{G}^{\circ}(s)} (\gamma^{\circ}(s) \otimes \hat{s}^{\circ}).$$

It follows from [DM2, Corollary 2.4] that the restriction of  $\tilde{\gamma}$  to  $\mathbf{G}^{\circ F}$  is equal to  $\gamma^{\circ}$ . Moreover, by [LS, Theorem 3.2],  $\gamma^{\circ}$  is irreducible and lies in  $\mathscr{E}(\mathbf{G}^{\circ F}, (s)^{\circ})$ . So we need only prove that  $\tilde{\gamma}$  is a character of  $\mathbf{G}^{F}$ . Let  $\mathbf{P}(s)$  be a parabolic subgroup of  $\mathbf{G}(s)$  that has  $\mathbf{G}(s)$  as Levi subgroup,

Let  $\mathbf{P}(s)$  be a parabolic subgroup of  $\mathbf{G}(s)$  that has  $\mathbf{G}(s)$  as Levi subgroup, and let **U** be its unipotent radical. We define

$$\mathbf{Y}_{\mathbf{U}} = \left\{ g \in \mathbf{G} | g^{-1} F(g) \in \mathbf{U} \right\}$$

and

$$\mathbf{Y}^{\circ}_{\mathbf{U}} = \{ g \in \mathbf{G}^{\circ} | g^{-1} F(g) \in \mathbf{U} \}.$$

Let  $H_c^i(\mathbf{Y}_{\mathbf{U}})$  be the *i*th cohomology group with compact support with coefficients in the constant sheaf  $\mathbb{Q}_{\ell}$  (where  $i \in \mathbb{N}$ ). The group  $\mathbf{G}^F$  (respectively,  $\mathbf{G}(s)^F$ ) acts on  $\mathbf{Y}_{\mathbf{U}}$  by left (respectively, right) translation. Hence  $H_c^i(\mathbf{Y}_{\mathbf{U}})$  inherits the structure of a  $\mathbf{G}^F$ -module- $\mathbf{G}(s)^F$ . Let V be an irreducible  $\mathbf{G}(s)^F$ -module affording  $\tilde{\gamma}(s)$  as character. Then the virtual  $\mathbf{G}^F$ -module

$$\sum_{i\in\mathbb{N}} (-1)^i H^i_c(\mathbf{Y}_{\mathbf{U}}) \otimes_{\overline{\mathbb{Q}}_{\mathcal{F}}\mathbf{G}(s)^F} V$$

affords  $\tilde{\gamma}$  as (virtual) character. We have similar results for  $\mathbf{G}^{\circ F}$ . We denote by  $V^{\circ}$  the restriction of V to  $\mathbf{G}^{\circ F}$ .

By [DM1, Theorem 13.25(i)] there exists j in  $\mathbb{N}$  such that

$$H^i_c(\mathbf{Y}^\circ_{\mathbf{U}}) \otimes_{\overline{\mathbb{Q}}_{\mathcal{L}}\mathbf{G}^\circ(s)^F} V^\circ = \mathbf{0}$$

if  $i \neq j$  and such that

 $H^j_c(\mathbf{Y}^\circ_{\mathbf{U}}) \otimes_{\overline{\mathbb{Q}}_{\mathscr{C}}\mathbf{G}^\circ(s)^F} V^\circ$ 

is irreducible (in [DM1], the statement and the proof of Theorem 13.25 are not entirely correct; a precise value for *j* is given, and it is not clear that this value is correct. However, the existence of *j* satisfying the above conditions has been established in a revised version of their book). Moreover,  $(-1)^j = \varepsilon_{\mathbf{G}^\circ(5)} \varepsilon_{\mathbf{G}^\circ}$ . But by [DM2, Proof of Proposition 2.3] we have

$$H^i_c(\mathbf{Y}_{\mathbf{U}}) = \overline{\mathbb{Q}}_{\mathscr{I}} \mathbf{G}^F \otimes_{\overline{\mathbb{Q}}_{\mathscr{I}} \mathbf{G}^{\circ F}} H^i_c(\mathbf{Y}^{\circ}_{\mathbf{U}})$$

as a  $\mathbf{G}^{F}$ -module. Hence we have

$$H^i_c(\mathbf{Y}_{\mathbf{U}}) \otimes_{\overline{\mathbb{Q}}_{\mathbf{Z}}\mathbf{G}^\circ(s)^F} V^\circ = \mathbf{0}$$

for all  $i \neq j$ . But

$$\begin{aligned} H_{c}^{i}(\mathbf{Y}_{\mathbf{U}}) \otimes_{\overline{\mathbb{Q}}_{\mathcal{A}}\mathbf{G}^{\circ}(s)^{F}} V^{\circ} &= \left( H_{c}^{i}(\mathbf{Y}_{\mathbf{U}}) \otimes_{\overline{\mathbb{Q}}_{\mathcal{A}}\mathbf{G}(s)^{F}} \overline{\mathbb{Q}}_{\mathcal{A}}\mathbf{G}(s)^{F} \right) \otimes_{\overline{\mathbb{Q}}_{\mathcal{A}}\mathbf{G}^{\circ}(s)^{F}} V^{\circ} \\ &= H_{c}^{i}(\mathbf{Y}_{\mathbf{U}}) \otimes_{\overline{\mathbb{Q}}_{\mathcal{A}}\mathbf{G}(s)^{F}} \left( \overline{\mathbb{Q}}_{\mathcal{A}}\mathbf{G}(s)^{F} \otimes_{\overline{\mathbb{Q}}_{\mathcal{A}}\mathbf{G}^{\circ}(s)^{F}} V^{\circ} \right) \\ &= H_{c}^{i}(\mathbf{Y}_{\mathbf{U}}) \otimes_{\overline{\mathbb{Q}}_{\mathcal{A}}\mathbf{G}(s)^{F}} \operatorname{Ind}_{\mathbf{G}^{\circ}(s)^{F}}^{\mathbf{G}(s)^{F}} V^{\circ}. \end{aligned}$$

Since V is a direct summand of the  $\mathbf{G}(s)^F$ -module  $\mathrm{Ind}_{\mathbf{G}^\circ(s)^F}^{\mathbf{G}(s)^F}V^\circ$ , it follows that

$$H_c^i(\mathbf{Y}_{\mathbf{U}}) \otimes_{\overline{\mathbb{Q}}_{\mathscr{L}}\mathbf{G}(s)^F} V = \mathbf{0}$$

if  $i \neq j$  and that  $\tilde{\gamma}$  is the character of the module

$$H^j_c(\mathbf{Y}_{\mathbf{U}}) \otimes_{\overline{\mathbb{Q}}_{\mathbf{Z}}\mathbf{G}(s)^F} V.$$

# 1.6. Clifford Theory

Let  $\gamma^{\circ} \in \mathscr{E}(\mathbf{G}^{\circ F}, (s)^{\circ})$ . By [LS, Theorem 3.2] there exists a unique unipotent character  $\gamma^{\circ}(s)$  of  $\mathbf{G}^{\circ}(s)^{F}$  such that

$$\gamma^{\circ} = \varepsilon_{\mathbf{G}^{\circ}(s)} \varepsilon_{\mathbf{G}^{\circ}} R_{\mathbf{G}^{\circ}(s)}^{\mathbf{G}^{\circ}} (\gamma^{\circ}(s) \otimes \hat{s}^{\circ}).$$
(1.6.1)

Let  $A(\gamma^{\circ})$  be the stabilizer of  $\gamma^{\circ}$  in A. Its dual  $A^{*}(\gamma^{\circ})$  stabilizes the  $\mathbf{G}^{*\circ F^{*}}$ -conjugacy class of s and hence is contained in  $A^{*}(s)$ . By duality  $A(\gamma^{\circ})$  is contained in A(s). The uniqueness of  $\gamma^{\circ}(s)$  implies that  $A(\gamma^{\circ})$  is the stabilizer  $A(s, \gamma^{\circ}(s))$  of  $\gamma^{\circ}(s)$  in A(s).

We denote by  $\tilde{\gamma}(s)$  the canonical extension of  $\gamma^{\circ}(s)$  to  $\mathbf{G}^{\circ}(s)^F \rtimes A(\gamma^{\circ})$  (as defined in [B, Definition 7.3.3]). We put

$$\tilde{\gamma} = \varepsilon_{\mathbf{G}^{\circ}(s)} \varepsilon_{\mathbf{G}^{\circ}} R_{\mathbf{G}^{\circ}(s) \rtimes A(\gamma^{\circ})}^{\mathbf{G}^{\circ} \rtimes A(\gamma^{\circ})} (\tilde{\gamma}(s) \otimes \hat{s}).$$
(1.6.2)

Then, by Lemma 1.5.1,  $\tilde{\gamma}$  is an irreducible character of  $\mathbf{G}^{\circ F} \rtimes A(\gamma^{\circ})$  extending  $\gamma^{\circ}$ .

DEFINITION 1.6.3. The irreducible character  $\tilde{\gamma}$  of  $\mathbf{G}^{\circ F} \rtimes A(\gamma^{\circ})$  will be called the *canonical* extension of  $\gamma^{\circ}$ .

If  $\xi$  is an irreducible character of  $A(\gamma^{\circ})$ , then by Clifford theory  $\tilde{\gamma} \otimes \xi$  is an irreducible character of  $\mathbf{G}^{\circ F} \rtimes A(\gamma^{\circ})$ , and  $\mathrm{Ind}_{\mathbf{G}^{\circ F} \rtimes A(\gamma^{\circ})}^{\mathbf{G}^{\circ F}}$  ( $\tilde{\gamma} \otimes \xi$ ) is an irreducible character of  $\mathbf{G}^{F}$  (where  $\xi$  is lifted to  $\mathbf{G}^{\circ F} \rtimes A(\gamma^{\circ})$  in the natural way). Moreover,

$$\operatorname{Ind}_{\mathbf{G}^{\circ F}}^{\mathbf{G}^{F}}\gamma^{\circ} = \sum_{\xi \in \operatorname{Irr} A(\gamma^{\circ})} \xi(1) \operatorname{Ind}_{\mathbf{G}^{\circ F} \rtimes A(\gamma^{\circ})}^{\mathbf{G}^{F}}(\tilde{\gamma} \otimes \xi).$$
(1.6.4)

### 1.7. Jordan Decomposition

Let  $\gamma$  be an irreducible character in  $\mathscr{C}(\mathbf{G}^F, (s))$ . By Corollary 1.2.4 there exists an irreducible character  $\gamma^{\circ} \in \mathscr{C}(\mathbf{G}^{\circ F}, (s)^{\circ})$  occurring in the restriction of  $\gamma$  to  $\mathbf{G}^{\circ F}$ . Let  $\tilde{\gamma}$  be the canonical extension of  $\gamma^{\circ}$  to  $\mathbf{G}^{\circ F} \rtimes A(\gamma^{\circ})$  defined in Definition 1.6.3. Then by Clifford theory there exists a unique irreducible character  $\xi$  of  $A(\gamma^{\circ})$  such that

$$\gamma = \operatorname{Ind}_{\mathbf{G}^{\circ F} \rtimes A(\gamma^{\circ})}^{\mathbf{G}^{r}} ( \, \widetilde{\gamma} \otimes \, \xi \, ).$$

Let  $\gamma^{\circ}(s)$  be the unipotent character of  $\mathbf{G}^{\circ}(s)^{F}$  satisfying (1.6.1), and let  $\tilde{\gamma}(s)$  be its canonical extension to  $\mathbf{G}^{\circ}(s)^{F} \rtimes A(\gamma^{\circ})$  (recall that  $A(\gamma^{\circ})$  is the stabilizer of  $\gamma^{\circ}(s)$  in A(s)). Then

$$\gamma(s) = \operatorname{Ind}_{\mathbf{G}^{\circ}(s)^{F} \rtimes A(\gamma^{\circ})}^{\mathbf{G}(s)} (\tilde{\gamma}(s) \otimes \xi)$$

is an irreducible character of  $\mathbf{G}(s)^F$  and is unipotent by definition. It follows from [B, Propositions 6.3.2 and 6.3.3] that

$$\gamma = \varepsilon_{\mathbf{G}^{\circ}(s)} \varepsilon_{\mathbf{G}^{\circ}} R^{\mathbf{G}}_{\mathbf{G}(s)}(\gamma(s) \otimes \hat{s}).$$
(1.7.1)

*Remark.* The remark following Lemma 1.5.1 shows that the Lusztig functor  $R_{\mathbf{G}(s)}^{\mathbf{G}}$  does not depend on the choice of a parabolic subgroup of **G** that has  $\mathbf{G}(s)$  as Levi subgroup.

THEOREM 1.7.2 (Jordan Decomposition). With the above notation the map

$$\nabla_{\mathbf{G},s} \colon \mathscr{E}(\mathbf{G}^{F},(s)) \to \mathscr{E}(\mathbf{G}(s)^{F},1)$$
$$\gamma \mapsto \gamma(s)$$

is well-defined and bijective. The inverse map is given by Formula (1.7.1).

*Proof.* First we have to prove that  $\nabla_{\mathbf{G},s}$  is well defined. There is one ambiguity in the construction of  $\gamma(s)$ : in the first step, we chose an irreducible character  $\gamma^{\circ} \in \mathscr{C}(\mathbf{G}^{\circ F}, (s)^{\circ})$  occurring in the restriction of  $\gamma$  to  $\mathbf{G}^{\circ F}$ . If  $\delta^{\circ}$  is another element of the Lusztig series  $\mathscr{C}(\mathbf{G}^{\circ F}, (s)^{\circ})$  occurring in the restriction of  $\gamma$  to  $\mathbf{G}^{\circ F}$ , then there exists  $\alpha \in A$  such that  $\delta^{\circ} = {}^{\alpha}\gamma^{\circ}$ . But both lie in  $\mathscr{C}(\mathbf{G}^{\circ F}, (s)^{\circ})$ , so we have  $\alpha \in A(s)$ . If we construct  $\delta^{\circ}(s)$ ,  $\tilde{\delta}(s)$ , and  $\delta(s)$  in the same way as  $\gamma^{\circ}(s)$ ,  $\tilde{\gamma}(s)$ , and  $\gamma(s)$ , respectively, then  $\delta^{\circ}(s) = {}^{\alpha}\gamma^{\circ}(s)$  (by uniqueness), so  $\tilde{\delta}(s) = {}^{\alpha}\tilde{\gamma}(s)$ , and so  $\delta(s) = {}^{\alpha}\delta(s) = \delta(s)$ because  $\alpha \in A(s)$ . Thus  $\nabla_{\mathbf{G},s}$  is well defined.

 $\nabla_{\mathbf{G},s}$  is injective by Formula (1.7.1) and surjective by Lemma 1.5.1, which proves that Formula (1.7.1) always defines an element of  $\mathscr{C}(\mathbf{G}^{F},(s))$ .

## 2. UNIFORM FUNCTIONS

In [B, Formula 7.3.1 and Theorem 7.3.2] the unipotent characters of  $\mathbf{G}^{F}$  are described as linear combinations of generalized Deligne–Lusztig characters. It is possible using Formula (1.7.1) to describe all of the irreducible characters of  $\mathbf{G}^{F}$  as linear combinations of generalized Deligne–Lusztig characters.

#### 2.1. Notation

Let *s* be a nice semisimple element of  $\mathbf{G}^{* \circ F^*}$ .

We fix an *F*-stable and A(s)-stable Borel subgroup  $\mathbf{B}_{1}^{\circ}(s)$  of  $\mathbf{G}^{\circ}(s)$  and an *F*-stable and A(s)-stable maximal torus  $\mathbf{T}_{1}^{\circ}(s)$  of  $\mathbf{B}_{1}^{\circ}(s)$ . We denote by W(s) (respectively,  $W^{\circ}(s)$ ) the Weyl group of  $\mathbf{G}(s)$  (respectively,  $\mathbf{G}^{\circ}(s)$ ) to  $\mathbf{T}_{1}^{\circ}(s)$ .

For each  $\alpha \in A(s)$ , we define  $\mathbf{T}_{1}^{\circ}(s, \alpha)$  to be the semidirect product  $\mathbf{T}_{1}^{\circ}(s) \rtimes \langle \alpha \rangle$ . For each  $w \in W^{\circ}(s)^{\alpha}$  (that is, the subgroup of  $W^{\circ}(s)$  consisting of elements centralized by  $\alpha$ ), we denote by  $\mathbf{T}_{w}(s, \alpha)$  the *quasi-maximal torus* of  $\mathbf{G}^{\circ}(s) \rtimes \langle \alpha \rangle$  associated with w as in [DM2, Proposition 1.40] (for the definition of a quasi-maximal torus, cf. [B, Definition 6.1.3]).  $\mathbf{T}_{w}(s, \alpha)$  is defined by the following property:  $(\mathbf{T}_{w}(s, \alpha)^{\alpha})^{\circ}$  is an *F*-stable maximal torus of  $\mathbf{G}^{\circ}(s)^{\alpha}$  of type w with respect to  $\mathbf{T}_{1}^{\circ}(s)^{\alpha}$ .

The group  $W^{\circ}(s)$  is a product of symmetric groups, and A(s) and F act on  $W^{\circ}(s)$  by permutations of the components (F acts on  $W^{\circ}(s)$  as  $\sigma$ ). By the argument used in [B, Sect. 7.3] we can associate canonically with each irreducible character  $\chi^{\circ}$  of  $W^{\circ}(s)^{F}$  and each  $\alpha$  in the stabilizer  $A(s, \chi^{\circ})$ of  $\chi^{\circ}$  in A(s) an irreducible character  $\tilde{\chi}_{\alpha}$  of  $W^{\circ}(s)^{\alpha} \rtimes \langle \sigma \rangle$ .

# 2.2. Irreducible Characters in $\mathscr{E}(\mathbf{G}^{\circ F}, (s)^{\circ})$ as Uniform Functions

Let  $\chi^{\circ}$  be an irreducible character of  $W^{\circ}(s)^{F}$ . We define

$$R_{\chi^{\circ}}^{\circ}(s) = R_{\chi^{\circ}}^{\mathbf{G}^{\circ}}(s) = \frac{\varepsilon_{\mathbf{G}^{\circ}(s)}\varepsilon_{\mathbf{G}^{\circ}}}{|W^{\circ}(s)|} \sum_{w \in W^{\circ}(s)} \tilde{\chi}_{1}(w\sigma) R_{\mathbf{T}_{w}(s,1)}^{\mathbf{G}^{\circ}}(\tilde{s}^{\circ}). \quad (2.2.1)$$

PROPOSITION 2.2.2 (Lusztig–Srinivasan [LS, Theorem 3.2]). (a) For all  $\chi^{\circ} \in \operatorname{Irr} W^{\circ}(s)^{F}, R_{\chi^{\circ}}^{\circ}(s)$  is an irreducible character of  $\mathbf{G}^{\circ F}$  in  $\mathscr{C}(\mathbf{G}^{\circ F}, (s)^{\circ})$ . (b) The map

Irr 
$$W^{\circ}(s)^{F} \to \mathscr{C}(\mathbf{G}^{\circ F}, (s)^{\circ})$$
  
 $\chi^{\circ} \mapsto R^{\circ}_{\chi^{\circ}}(s)$ 

is bijective.

COROLLARY 2.2.3. (a) If  $\chi^{\circ} \in \operatorname{Irr} W^{\circ}(s)^{F}$  and  $\alpha \in A(s)$ , then  ${}^{\alpha}R_{\chi^{\circ}}^{\circ}(s) = R_{\alpha_{\chi^{\circ}}}^{\circ}(s)$ .

(b) If  $\chi^{\circ} \in \operatorname{Irr} W^{\circ}(s)^{F}$ , then  $A(R_{\chi^{\circ}}^{\circ}(s)) = A(s, \chi^{\circ})$ .

2.3. Canonical Extensions as Uniform Functions

Let  $\chi^{\circ}$  be an irreducible character of  $W^{\circ}(s)^{F}$ . We define a function  $\tilde{R}_{\chi^{\circ}}(s)$  on  $G^{\circ F} \rtimes A(s, \chi^{\circ})$  by

$$\operatorname{Res}_{\mathbf{G}^{\circ}}^{\mathbf{G}^{\circ}}_{\cdot,\alpha}^{\mathcal{A}(s,\chi^{\circ})}\tilde{R}_{\chi^{\circ}}(s) = \frac{\varepsilon_{\mathbf{G}^{\circ}(s)}\varepsilon_{\mathbf{G}^{\circ}}}{\left|W^{\circ}(s)^{\alpha}\right|} \sum_{w \in W^{\circ}(s)^{\alpha}} \tilde{\chi}_{\alpha}(w\sigma) \quad \operatorname{Res}_{\mathbf{G}^{\circ}}^{\mathbf{G}^{\circ}}_{\cdot,\alpha}^{\mathcal{F}} \langle \alpha \rangle} R_{\mathbf{T}_{w}(s,\alpha)}^{\mathbf{G}^{\circ}}(\hat{s}) \quad (2.3.1)$$

for all  $\alpha \in A(s, \chi^{\circ})$ .

**PROPOSITION 2.3.2.**  $\tilde{R}_{\chi^{\circ}}(s)$  is an irreducible character of  $\mathbf{G}^{\circ F} \rtimes A(s, \chi^{\circ})$  and is in fact the canonical extension of  $R_{\chi^{\circ}}^{\circ}(s)$  (cf. Definition 1.6.3).

*Proof.* This follows immediately from Formula (1.6.2), from [B, Theorem 7.3.2], and from [DM2, Proposition 2.3].

2.4. Parameterization of  $\mathscr{E}(\mathbf{G}^{F},(s))$ 

We denote by  $\mathcal{I}(s)$  the set of pairs  $(\chi^{\circ}, \xi)$  where  $\chi^{\circ}$  is an irreducible character of  $W^{\circ}(s)^{F}$  and  $\xi$  is an irreducible character of  $A(s, \chi^{\circ})$ . The group A(s) acts by conjugation on  $\mathcal{I}(s)$ , and we denote by  $\overline{\mathcal{I}}(s)$  the set of orbits of A(s) in  $\mathcal{I}(s)$ . Moreover, if  $(\chi^{\circ}, \xi) \in \mathcal{I}(s)$ , we denote by  $\chi^{\circ} * \xi$  its orbit under A(s).

For all  $\chi^{\circ} * \xi \in \overline{\mathscr{I}}(s)$ , we define

$$R^{\mathbf{G}}_{\chi^{\circ}*\xi}(s) = R_{\chi^{\circ}*\xi}(s) = \operatorname{Ind}_{\mathbf{G}^{\circ}F \rtimes \mathcal{A}(s,\chi^{\circ})}^{\mathbf{G}^{F}}\left(\tilde{R}_{\chi^{\circ}}(s) \otimes \xi\right). \quad (2.4.1)$$

It follows from Corollary 2.2.2(a) that  $R_{\chi^{\circ} * \xi}(s)$  only depends on the orbit of  $(\chi^{\circ}, \xi)$  under A(s). Moreover, it follows from Clifford theory and from Corollary 2.2.2(b) that we have

LEMMA 2.4.2. The map

$$\overline{\mathscr{I}}(s) \to \mathscr{E}(\mathbf{G}^F, (s))$$
$$\chi^{\circ} * \xi \mapsto R_{\chi^{\circ} * \xi}(s)$$

is bijective.

By [B, Proposition 2.3.1],  $\chi^{\circ}$  has a canonical extension  $\tilde{\chi}$  to the semidirect product  $W^{\circ}(s) \rtimes A(s, \chi^{\circ})$ . By Clifford theory again we have

LEMMA 2.4.3. The map

$$\overline{\mathscr{I}}(s) \to \operatorname{Irr} W(s)^{F}$$
$$\chi^{\circ} * \xi \mapsto \operatorname{Ind}_{W^{\circ}(s)^{F} \rtimes A(s, \chi^{\circ})}^{W(s)^{F}}(\tilde{\chi} \otimes \xi)$$

is bijective.

Lemmas 2.4.2 and 2.4.3 imply the following:

THEOREM 2.4.4. There is a well-defined bijection

Irr 
$$W(s)^F \to \mathscr{C}(\mathbf{G}^F, (s))$$
  
 $\chi \mapsto \mathbf{R}_{\chi}(s).$ 

*Remark.* If necessary, we will write  $\mathbf{R}_{\chi}^{\mathbf{G}}(s)$  for the irreducible character  $\mathbf{R}_{\chi}(s)$  of  $\mathbf{G}^{F}$ . By applying Theorem 2.4.4 in the case where  $\mathbf{G} = \mathbf{G}(s)$  and s = 1, we obtain a bijection,

Irr 
$$W(s)^F \to \mathscr{C}(\mathbf{G}(s)^F, 1)$$
  
 $\chi \mapsto \mathbf{R}_{\chi}^{\mathbf{G}(s)}(1),$ 

and it is easy to check that the following diagram is commutative:

$$\mathscr{E}(\mathbf{G}^{F},(s)) \xrightarrow{\nabla_{\mathbf{G},s}} \mathscr{E}(\mathbf{G}(s)^{F},1).$$

$$(2.4.5)$$

2.5. Induction from a Particular Subgroup of G

Let **G**' be a subgroup of **G** containing  $\mathbf{G}^{\circ}$ . It is *F*-stable because *F* acts trivially on *A*. There exists a subgroup *A*' of *A* such that

$$\mathbf{G}' = \mathbf{G}^{\circ} \rtimes A'.$$

If we construct  $\mathbf{G}'^*$  in the way we construct  $\mathbf{G}$ , then  $\mathbf{G}'^*$  may be identified with a subgroup of  $\mathbf{G}^*$ . We can also construct  $\mathbf{G}'(s)$  so that it is contained in  $\mathbf{G}(s)$ , and we denote by W'(s) the Weyl group of  $\mathbf{G}'(s)$  relative to  $\mathbf{T}_1^\circ(s)$ so that W'(s) is a subgroup of W(s).

**PROPOSITION 2.5.1.** Let  $\chi'$  be an irreducible character of  $W'(s)^F$ . Suppose

$$\operatorname{Ind}_{W'(s)^{F}}^{W(s)^{F}}\chi' = \sum_{\chi \in \operatorname{Irr} W(s)^{F}} n_{\chi}\chi.$$

Then

$$\operatorname{Ind}_{\mathbf{G}'^{F}}^{\mathbf{G}^{F}}\mathbf{R}_{\chi'}^{\mathbf{G}'}(s) = \sum_{\chi \in \operatorname{Irr} W(s)^{F}} n_{\chi}\mathbf{R}_{\chi}^{\mathbf{G}}(s).$$

#### 3. LUSZTIG FUNCTORS

HYPOTHESIS. Throughout this section, and only in this section, A will be assumed abelian.

#### 3.1. Notation

Let **L** be an *F*-stable Levi subgroup of a parabolic subgroup **P** of **G**. Let  $A_{\mathbf{L}}$  be the image of **L** through the composite morphism

$$\mathbf{L} \to \mathbf{G} \to \mathbf{G}/\mathbf{G}^\circ \to A$$

 $(A_{\mathbf{L}} \text{ is a subgroup of } A)$ . Because A is abelian, we can use the same argument as in [B, 7.6] to assume that  $\mathbf{L}$  contains  $A_{\mathbf{L}}$ . Let  $A_{\mathbf{L}}^*$  be the image of  $A_{\mathbf{L}}$  under the anti-isomorphism  $A \to A^*$ .

Let  $\mathbf{L}^{\circ *}$  be an  $F^*$ -stable Levi subgroup of a parabolic subgroup of  $\mathbf{G}^{*\circ}$  that is a dual of  $\mathbf{L}^{\circ}$ . We can choose  $\mathbf{L}^{\circ *}$  to be  $\mathcal{A}^*_{\mathbf{L}}$ -stable, and we define

$$\mathbf{L}^* = \mathbf{L}^{\circ *} \rtimes A^*_{\mathbf{L}}.$$

then  $\mathbf{L}^*$  is an  $F^*$ -stable Levi subgroup of a parabolic subgroup of  $\mathbf{G}^*$  and  $\mathbf{L}^{*\circ} = \mathbf{L}^{\circ*}$ .

#### 3.2. Jordan Decomposition and Lusztig Functors

Let *s* be a semisimple element in  $\mathbf{L}^{* \circ F^*}$ . We may assume that *s* is nice in  $\mathbf{G}^*$ . Then the subgroup  $\mathbf{L}(s)$  of  $\mathbf{L}$  following the construction of Section 1.4 can be chosen as a subgroup of  $\mathbf{G}(s)$ . The linear character of  $\mathbf{L}(s)^F$ associated with *s* as defined in Section 1.4 is then the restriction of  $\hat{s}$  to  $\mathbf{L}(s)^F$ . It results from this remark and from the transitivity of Lusztig induction functors (cf. [B, Proposition 6.3.3]) that the following diagram is commutative:

The description of the functor  $R_{\mathbf{L}(s)}^{\mathbf{G}(s)}$  in [B, Theorem 7.6.1] thus provides a description of the functor  $R_{\mathbf{L}}^{\mathbf{G}}$  via the commutative diagram (3.2.1).

# 4. SHINTANI DESCENT IN THE GENERAL LINEAR GROUP

In this section, we explain the link between the theory of irreducible characters of  $\mathbf{G}^{F}$  and the theory of Shintani descent for the general linear group. For this purpose, we need to consider a particular case:

HYPOTHESIS AND NOTATIONS. Throughout this section, we assume that r = 1. We will denote  $d = d_1$  and  $n = n_1$  for simplicity. We also assume that  $\sigma = (1, ..., d)$  and that A is generated by  $\sigma$ .

## 4.1. The Group $\mathbf{G}^{F}$

We denote by  $\mathbf{G}_1$  the general linear group  $\mathbf{GL}_n$ , and we endow it with the split Frobenius endomorphism:

$$F_0: \mathbf{G}_1 \to \mathbf{G}_1$$
$$g \mapsto g^{(q)}$$

We denote by  $\phi_0$  the automorphism of  $\mathbf{G}_1^{F_0^d}$  induced by  $F_0$ . Then the map

$$\theta \colon \mathbf{G}_1^{F_0^d} \to \mathbf{G}^{\circ F}$$
$$g \mapsto \left(g, F_0(g), \dots, F_0^{d-1}(g)\right)$$

is an isomorphism of groups and the following diagram is commutative:

This implies that  $\theta$  can be extended to an isomorphism denoted by

$$\begin{split} \tilde{\theta} \colon \mathbf{G}_{1}^{F_{0}^{d}} \rtimes \langle \phi_{0} \rangle \to \mathbf{G}^{F} \\ g \phi_{0}^{k} \mapsto \theta(g) \sigma^{-k} \end{split}$$

for all  $g \in \mathbf{G}_1^{F_0^d}$  and  $k \in \mathbb{Z}$ .

# 4.2. Shintani Descent

Let  $g \in \mathbf{G}_1^{F_0}$ . By Lang's theorem, there exists  $x \in \mathbf{G}_1$  such that  $g = x^{-1}F_0^d(x)$ . Then  $g' = F_0(x)x^{-1}$  belongs to  $\mathbf{G}_1^{F_0^d}$ , and the map that sends the conjugacy class of g in  $\mathbf{G}_1^{F_0}$  to the  $\phi_0$ -conjugacy class of g' in  $\mathbf{G}_1^{F_0^d}$  is well-defined and is bijective. We denote it by

$$N_{F_0/F_0^d}$$
: Cl( $\mathbf{G}_1^{F_0}$ )  $\to H^1(\phi_0, \mathbf{G}_1^{F_0^a}),$ 

where  $H^1(\phi_0, \mathbf{G}_1^{F_0^d})$  denotes the set of  $\phi_0$ -conjugacy classes of  $\mathbf{G}_1^{F_0^d}$  and  $\operatorname{Cl}(\mathbf{G}_1^{F_0})$  denotes the set of conjugacy classes of  $\mathbf{G}_1^{F_0}$ . If we denote by  $\mathscr{C}(\mathbf{G}_1^{F_0} \cdot \phi_0)$  (respectively,  $\mathscr{C}(\mathbf{G}_1^{F_0})$ ) the space of class functions on  $\mathbf{G}_1^{F_0^d} \cdot \phi_0$  obtained by restrictions from class functions on the group  $\mathbf{G}_1^{F_0^d} \langle \phi_0 \rangle$  (respectively,  $\mathbf{G}_1^{F_0}$ ), then  $N_{F_0^d/F_0}$  induces an isomorphism

$$\operatorname{Sh}_{F_0^d/F_0} : \mathscr{C}(\mathbf{G}_1^{F_0^d} \cdot \phi_0) \to \mathscr{C}(\mathbf{G}_1^{F_0}),$$

called the Shintani descent from  $F_0^d$  to  $F_0$ .

We recall the following theorem:

THEOREM 4.2.1 (Shintani). Let  $\gamma_1$  be an irreducible character of  $\mathbf{G}_1^{F_0^d}$ stable under  $\phi_0$ . Then there exists an extension  $\tilde{\gamma}_1$  of  $\gamma_1$  to  $\mathbf{G}_1^{F_0^d} \rtimes \langle \phi_0 \rangle$  such that  $\mathbf{Sh}_{F_0^d/F_0} \tilde{\gamma}_1$  is, up to a sign, an irreducible character of  $\mathbf{G}_1^{F_0}$ . 4.3. Shintani Descent and Characters of  $\mathbf{G}^{F}$ 

We denote by  $\theta^*$  and  $\tilde{\theta}^*$  the isomorphisms of  $\overline{\mathbb{Q}}_{\mathcal{L}}$  vector spaces:

$$\theta^* \colon \mathscr{C}(\mathbf{G}^{\circ F}) \to \mathscr{C}(\mathbf{G}_1^{F_0^d})$$

and

$$\tilde{\theta}^* \colon \mathscr{C}(\mathbf{G}^F) \to \mathscr{C}(\mathbf{G}_1^{F_0^d} \rtimes \langle \phi_0 \rangle),$$

induced by  $\theta$  and  $\tilde{\theta}$ , respectively.

Let  $\gamma^{\circ}$  be an irreducible character of  $\mathbf{G}^{\circ F}$ , and let  $\gamma_1 = \theta^*(\gamma^{\circ})$ . Then  $\gamma_1$  is  $\phi_0$ -stable if and only if  $\gamma^{\circ}$  is  $\sigma$ -stable.

HYPOTHESIS. From now on, we assume that  $\gamma_1$  is  $\phi_0$ -stable.

Let *s* be a nice semisimple element of  $\mathbf{G}^{*\circ F^*}$  such that  $\gamma^{\circ} \in \mathscr{C}(\mathbf{G}^{\circ F}, (s)^{\circ})$ . Then A(s) = A because  $\gamma_1$  is  $\phi_0$ -stable. Let  $\chi^{\circ}$  be the irreducible character of  $W^{\circ}(s)$  (stable under *F*) such that  $\gamma^{\circ} = R_{\chi^{\circ}}^{\circ}(s)$ . Then  $A(s, \chi^{\circ}) = A$ .

THEOREM 4.3.1. With the above notations, we have

(a) There exists a unique extension  $\tilde{\gamma}_1$  of  $\gamma_1$  to  $\mathbf{G}_1^{F_0^d} \rtimes \langle \phi_0 \rangle$  such that  $\operatorname{Sh}_{F_0^d/F_0} \tilde{\gamma}_1$  is an irreducible character of  $\mathbf{G}_1^{F_0}$ . We call it the Shintani extension of  $\gamma_1$ .

(b) We have  $\tilde{\gamma}_1 = \tilde{\theta}^*(\tilde{R}_{\gamma^\circ}(s))$ .

(c) Let e be a divisor of d, and let  $\tilde{\gamma}_1^{(e)}$  be the Shintani extension of  $\gamma_1$  to  $\mathbf{G}_1^{F_0^d} \rtimes \langle \phi_0^e \rangle$ . Then  $\tilde{\gamma}_1^{(e)}$  is the restriction of  $\tilde{\gamma}_1$ .

*Remark.* The result stated in (a) of Theorem 4.3.1 is slightly stronger than Shintani's. It was already known for characters of the principal series [DM3].

*Proof.* By Theorem 4.2.1, (a), (b), and (c) are immediate consequences of the following:

LEMMA 4.3.2.  $\tilde{R}^{\mathbf{G}}_{\chi^{\circ}}(s)(\sigma^{e})$  is a positive integer for all  $e \in \mathbb{Z}$ .

*Proof of Lemma* 4.3.2. Let  $e \in \mathbb{Z}$ . We first prove that

$$\varepsilon_{\mathbf{G}^{\circ}(s)^{\sigma^{e}}} = \varepsilon_{\mathbf{G}^{\circ}(s)} \text{ and } \varepsilon_{(\mathbf{G}^{\circ})^{\sigma^{e}}} = \varepsilon_{\mathbf{G}^{\circ}}.$$
 ( $\bigstar$ )

Because  $\mathbf{G}^{\circ}(s)$  is a direct product of groups of the same type as  $\mathbf{G}^{\circ}$ , it is sufficient to prove the result for  $\mathbf{G}^{\circ}$ . But  $(\mathbf{T}_{0}^{\circ})^{\sigma}$  is a maximal split subtorus of  $\mathbf{G}^{\circ}$ , so it is a maximal split subtorus of  $(\mathbf{G}^{\circ})^{\sigma^{\circ}}$ . That proves  $(\bigstar)$ .

Let  $\tilde{\chi}_e$  be the irreducible character of  $W^{\circ}(s)^{\sigma^e} \rtimes \langle \sigma \rangle$  associated with  $\chi^{\circ}$  as in Section 2.1 (it was denoted  $\tilde{\chi}_{\sigma^e}$ , but we just want to have simpler notations).

Then, by formulas (2.3.1) and  $(\bigstar)$ , we have

$$\tilde{R}_{\chi^{\circ}}^{\mathbf{G}}(s)(\sigma^{e}) = \frac{\mathcal{E}_{\mathbf{G}^{\circ}(s)}^{\sigma^{e}} \mathcal{E}_{(\mathbf{G}^{\circ})}^{\sigma^{e}}}{\left|W^{\circ}(s)^{\sigma^{e}}\right|} \sum_{w \in W^{\circ}(s)} \tilde{\chi}_{e}(w\sigma) R_{\mathbf{T}_{w}(s,\sigma^{e})}^{\mathbf{G}^{\circ} \rtimes \langle \sigma^{e} \rangle}(\hat{s})(\sigma^{e}).$$

Using [DM2, Theorem 4.13], we get

$$\tilde{R}_{\chi^{\circ}}^{\mathbf{G}}(s)(\sigma^{e}) = \frac{\varepsilon_{\mathbf{G}^{\circ}(s)^{\sigma^{e}}}\mathcal{C}_{\mathbf{G}^{\circ}}^{\sigma^{e}}}{\left|W^{\circ}(s)^{\sigma^{e}}\right|} \sum_{w \in W^{\circ}(s)^{\sigma^{e}}} \tilde{\chi}_{e}(w\sigma) \dim R_{(\mathbf{T}_{w}(s,\sigma^{e})^{\circ})^{\sigma^{e}}}^{(\mathbf{G}^{\circ})^{\sigma^{e}}}.$$
 (1)

But this last formula gives the degree of an irreducible character of  $((\mathbf{G}^{\circ})^{\sigma^{e}})^{F}$  (cf. [LS, Theorem 3.2]).

## ACKNOWLEDGMENTS

The author is very grateful to Paul Fong for pointing out to him these open problems on Shintani descent and for reading a first version of this paper and making very useful comments.

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