

Non standard virtual element methods for the Helmholtz problem

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Joint work with

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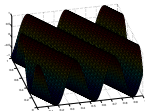
Trefftz finite element methods are FEM whose basis functions are **solutions** to the *homogeneous* PDE in each element of a mesh \mathcal{T}_h .

same accuracy with less d.o.f., as compared to standard polynomial FEM

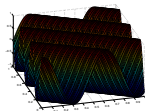
A Trefftz finite element method is defined by the choice of

- a family of (operator dependent) **discrete Trefftz spaces**
- a **variational framework** that allows to approximate interface and boundary conditions (e.g., least squares, Lagrange multipliers, discontinuous Galerkin)

- plane waves $\exp(ik\mathbf{d}_\ell \cdot (\mathbf{x} - \mathbf{x}_0))$, $\ell = 1, \dots, p$

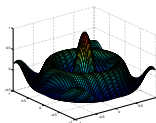


$$k = 20, \mathbf{d} = [\cos(\pi/6), \sin(\pi/6)]$$

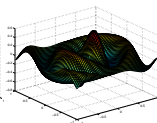


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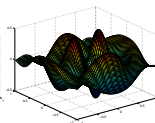
- Fourier-Bessel functions $J_\ell(k|\mathbf{x} - \mathbf{x}_0|)\exp(i\ell\vartheta)$, $\ell = -q, \dots, q$



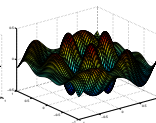
$$k = 10, \ell = 0$$



$$k = 10, \ell = 1$$

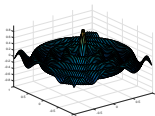


$$k = 10, \ell = 2$$

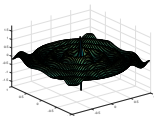


$$k = 10, \ell = 3$$

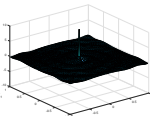
- fundamental solutions $H_0^{(1)}(k|\mathbf{x} - \mathbf{x}_\ell|)$, $\ell = 1, \dots, p$
- multipoles $H_\ell^{(1)}(k|\mathbf{x} - \mathbf{x}_0|) \exp(i\ell\vartheta)$, $\ell = -q, \dots, q$



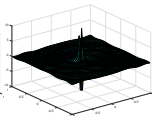
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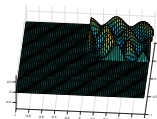


$k = 20, \ell = 3$

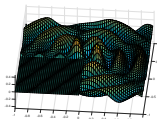
Basis functions for special situations

- near a corner of angle $\pi\alpha$: corner waves

$$J_{\ell/\alpha}(k|\mathbf{x} - \mathbf{x}_0|) \cos(\ell\vartheta/\alpha)$$



$k = 20, \alpha = 1/2$

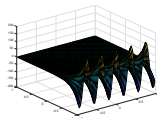


$k = 20, \alpha = 3/2$

- for interface problems: evanescent waves

$$\exp(ik\mathbf{d}_\ell \cdot (\mathbf{x} - \mathbf{x}_0)) \text{ with complex unit vectors } \mathbf{d}_\ell$$

$$k = 16, \mathbf{d} = [\sqrt{1.1}, 0] + i[0, \sqrt{0.1}]$$



[Stojeck, 1998]

- least squares^[1]
- ultra weak variational formulation^[2], discontinuous Galerkin^[3,4]
- Lagrange multipliers^[5,6]
- variational theory of complex rays^[7]
- wave-based method^[8]
- BEM-based FEM^[9]
- partition of unity^[10], virtual partition of unity^[11]

[1] Monk, Wang 1999

[2] Cessenat, Després, 1998-

[3] Buffa, Monk, 2008

[4] Gittelsohn, Hiptmair, Moiola, Perugia, 2009-

[5] Babuška, Ihlenburg, 1997

[6] Farhat, Harari, Hetmaniuk, 2003-

[7] Ladevèze, 1996-

[8] Desmet, 1998-

[9] Copeland, Langer, Pusch, 2009

[10] Melenk, Babuška, 1995-

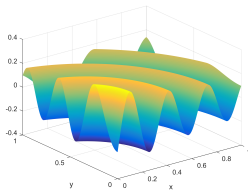
[11] Perugia, Pietra, Russo, 2016

Survey: Hiptmair, Moiola, Perugia, 2016

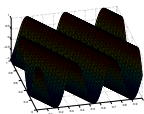
- Helmholtz BVP with exact solution

$$u(\mathbf{x}) = H_0^{(1)}(k|\mathbf{x} - \mathbf{x}_0|)$$

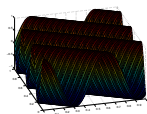
$$\mathbf{x}_0 = (-0.25, 0), \quad \Omega := (0, 1)^2$$



- plane wave basis functions



$k = 20$, angle $\pi/6$

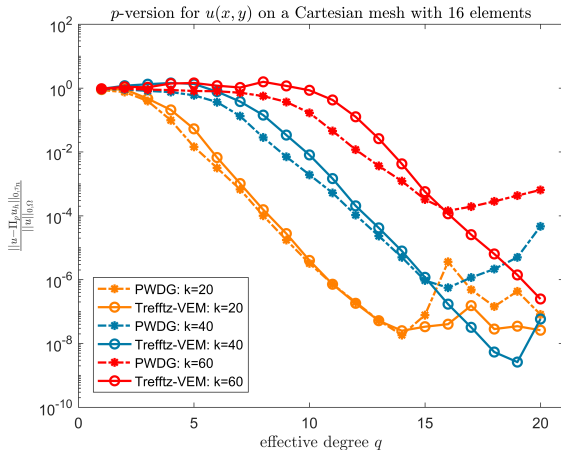


$k = 20$, angle $\pi/3$

- p -version results obtained with PW-DG and with a new PW virtual element method

[Mascotto, Perugia, Pichler, 2019]

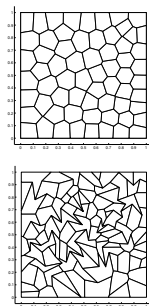
p -version, Cartesian mesh made of 16 elements, L^2 -error



Virtual element method (VEM)

[Beirão da Veiga, Brezzi, Cangiani, Manzini, Marini, Russo, 2013]

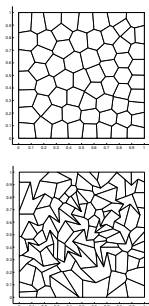
- generalization of FEM to **polytopal** meshes
- the local basis functions are known explicitly on the boundary but *not in the interior* of each element
- the degrees of freedom are chosen so that H^1 **conformity** can be imposed
- the local VEM spaces contain **subspaces** that are known in closed form and possess good approximation properties



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Nonconforming VEM (à la Crouzeix-Raviart)

[Ayuso, Lipnikov, Manzini, 2016], [Cangiani, Manzini, Sutton, 2016]

- nonconforming *Trefftz* VEM for the Laplacian [Mascotto, Perugia, Pichler, 2018]

Helmholtz problem with impedance boundary condition

$$\begin{cases} -\Delta u - k^2 u = 0 & \text{in } \Omega \\ \nabla u \cdot \mathbf{n}_\Omega + iku = g & \text{on } \partial\Omega, \end{cases}$$

where $\Omega \subset \mathbb{R}^2$ is a bounded convex polygon, $k > 0$ is the wave number.

variational formulation

Find $u \in H^1(\Omega)$:

$$a(u, v) + ik \int_{\partial\Omega} u \bar{v} \, ds = \int_{\partial\Omega} g \bar{v} \, ds \quad \forall v \in H^1(\Omega),$$

where

$$a(u, v) = \int_{\Omega} \nabla u \cdot \overline{\nabla v} \, dx - k^2 \int_{\Omega} u \bar{v} \, dx.$$

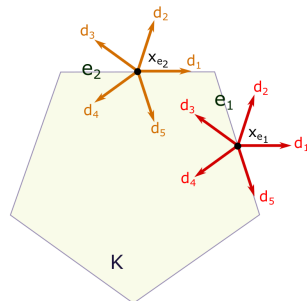
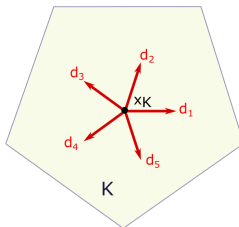
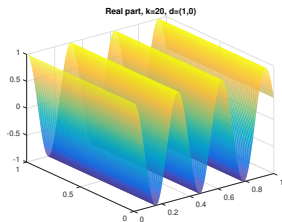
$\mathcal{T}_n := \{K\}$ mesh of polygons; $\{\mathbf{d}_\ell\}_{\ell=1}^{p=2q+1}$ directions; \mathcal{E}_n edges

plane waves: $w_\ell(\mathbf{x}) := e^{i\mathbf{k}\mathbf{d}_\ell \cdot \mathbf{x}}$, $\ell = 1, \dots, p$

• $\mathbb{PW}_p(K) := \text{span}\{w_\ell|_K\}_{\ell=1}^p$, $\dim(\mathbb{PW}_p(K)) = p$, $K \in \mathcal{T}_n$

• $\mathbb{PW}_p(e) := \text{span}\{w_\ell|_e\}_{\ell=1}^p$, $\dim(\mathbb{PW}_p(e)) \leq p$, $e \in \mathcal{E}_n$

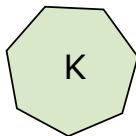
\rightsquigarrow remove redundant directions & include constants $\rightarrow \mathbb{PW}_p^c(e)$



- local **Trefftz-VE** space (for any $K \in \mathcal{T}_n$)

$$V_h(K) := \left\{ v_h \in H^1(K) \mid \begin{aligned} &\Delta v_h + k^2 v_h = \mathbf{0} \text{ in } K, \\ &(\nabla v_h \cdot \mathbf{n}_K + i k v_h)|_e \in \mathbb{PW}_p^c(e) \quad \forall e \in \mathcal{E}^K \end{aligned} \right\}$$

- $\mathbb{PW}(K) \subset V_h(K)$
- functions $v_h \in V_h(K)$ are known neither inside K nor on ∂K

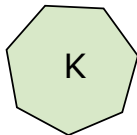


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- degrees of freedom (on any $e_r \in \mathcal{E}^K$)

$$\text{dof}_{r,j}(v_h) := \frac{1}{h_{e_r}} \int_{e_r} v_h \overline{w_j^{e_r}} \, ds \quad \forall w_j^{e_r} \in \mathbb{PW}_p^c(e_r)$$



- local **Trefftz-VE** space (for any $K \in \mathcal{T}_n$)

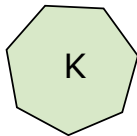
$$V_h(K) := \{v_h \in H^1(K) \mid \Delta v_h + k^2 v_h = \mathbf{0} \text{ in } K, \\ (\nabla v_h \cdot \mathbf{n}_K + ikv_h)|_e \in \mathbb{PW}_p^c(e) \quad \forall e \in \mathcal{E}^K\}$$

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- local basis functions

$$\text{dof}_{r,j}(\varphi_{s,\ell}) = \delta_{r,s} \delta_{j,\ell}$$



Provided that k^2 is not a Dirichlet-Laplace eigenvalue on K , the set of d.o.f. is unisolvent. In fact, assume that $v_h \in V_h(K)$ and all its d.o.f. are zero. Then,

$$\begin{aligned}
 & |v_h|_{1,K}^2 - k^2 \|v_h\|_{0,K}^2 - ik \|v_h\|_{0,\partial K}^2 \\
 &= \int_K \nabla v_h \cdot \overline{\nabla v_h} \, dx - k^2 \int_K v_h \overline{v_h} \, dx - ik \int_{\partial K} v_h \overline{v_h} \, ds \\
 &= \int_K v_h \underbrace{(-\Delta v_h - k^2 v_h)}_{=0} \, dx + \int_{\partial K} v_h \overline{(\nabla v_h \cdot \mathbf{n}_K + ikv_h)} \, ds \\
 &= \sum_{e \in \mathcal{E}^K} \int_e v_h \overline{(\nabla v_h \cdot \mathbf{n}_K + ikv_h)}|_e \, ds = 0
 \end{aligned}$$

- imaginary part $\rightarrow v_h = 0$ on ∂K
- since $\Delta v_h + k^2 v_h = 0$, we conclude

Dirichlet/Neumann boundary conditions

- modification of local VE spaces on Dirichlet/Neumann boundary edges

$$\begin{aligned}
 V_h(K) := & \{v_h \in H^1(K) \mid \Delta v_h + k^2 v_h = 0 \text{ in } K, \\
 & (\nabla v_h \cdot \mathbf{n}_K + ikv_h)|_e \in \mathbb{PW}_\rho^c(e) \quad \forall e \in \mathcal{E}^K \setminus (\Gamma_D \cup \Gamma_N) \\
 & v_h|_e \in \mathbb{PW}_\rho^c(e) \quad \forall e \in \mathcal{E}^K \cap (\Gamma_D \cup \Gamma_N)\}
 \end{aligned}$$

- d.o.f. on Dirichlet/Neumann boundary edges: as for all the other edges

piecewise constant wave numbers

- combination of plane waves and evanescent waves

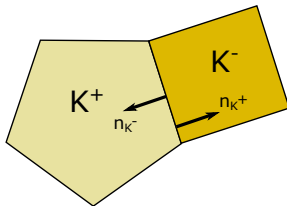
[Mascotto, Pichler, 2019]

- global nonconforming Sobolev space

$$H^{1,nc}(\mathcal{T}_n) := \left\{ v \in \prod_{K \in \mathcal{T}_n} H^1(K) : \int_e (v|_{K^+} - v|_{K^-}) \overline{w}^e ds = 0 \right. \\ \left. \forall w^e \in \mathbb{PW}_p^c(e), \forall e \in \mathcal{E}'_n \right\}$$

- global nonconforming Trefftz-VE space

$$V_h := \{ v_h \in H^{1,nc}(\mathcal{T}_n) : v_h|_K \in V_h(K) \quad \forall K \in \mathcal{T}_n \}$$



- local sesquilinear form

$$a^K(u, v) := \int_K \nabla u \cdot \overline{\nabla v} \, dx - k^2 \int_K u \overline{v} \, dx$$

discrete variational formulation

Find $u_h \in V_h$:

$$\sum_{K \in \mathcal{T}_n} a^K(u_h, v_h) + ik \int_{\partial\Omega} u_h \overline{v_h} \, ds = \int_{\partial\Omega} g \overline{v_h} \, ds \quad \forall v_h \in V_h.$$

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Problem: none of these terms is computable

- bulk projector

$$\Pi_p^K : V_h(K) \rightarrow \mathbb{PW}_p(K)$$

$$a^K(\Pi_p^K u_h, w^K) = a^K(u_h, w^K) \quad \forall w^K \in \mathbb{PW}_p(K)$$

- L^2 (boundary) edge projector ($e \in \mathcal{E}_n^B$)

$$\Pi_p^{0,e} : V_h(K)|_e \rightarrow \mathbb{PW}_p^c(e)$$

$$\int_e (\Pi_p^{0,e} u_h) \overline{w^e} ds = \int_e u_h \overline{w^e} ds \quad \forall w^e \in \mathbb{PW}_p^c(e)$$

Π_p^K and $\Pi_p^{0,e}$ are **computable** in terms of the d.o.f.

(obvious for $\Pi_p^{0,e}$, by integration by parts for Π_p^K)

for $u_h \in V_h(K)$ and $w^K \in \mathbb{P}\mathbb{W}_p(K)$, integration by part and $\Delta w^K + k^2 w^K = 0$ give

$$\begin{aligned} a^K(u_h, w^K) &= \int_K \nabla u_h \cdot \overline{\nabla w^K} \, dx - k^2 \int_K u_h \overline{w^K} \, dx \\ &= \sum_{e \in \mathcal{E}^K} \int_e u_h \underbrace{(\nabla w^K \cdot \mathbf{n}_K)}_{\in \mathbb{P}\mathbb{W}_p^c(e)} \, ds \end{aligned}$$

Replace each term of the variational formulation with something computable

$$\sum_{K \in \mathcal{T}_n} \underbrace{a^K(u_h, v_h)}_{=: (I)} + ik \underbrace{\int_{\partial\Omega} u_h \bar{v}_h ds}_{=: (II)} = \underbrace{\int_{\partial\Omega} g \bar{v}_h ds}_{=: (III)} \quad \forall v_h \in V_h$$

(II), (III): $v_h \mapsto \Pi_p^{0, \partial\Omega} v_h$

(I): $a^K(u_h, v_h) = \underbrace{a^K(\Pi_p^K u_h, \Pi_p^K v_h)}_{\text{computable}} + \underbrace{a^K((I - \Pi_p^K)u_h, (I - \Pi_p^K)v_h)}_{\approx S^K((I - \Pi_p^K)u_h, (I - \Pi_p^K)v_h)}$

$$S^K(u_h, v_h) = \sum_{e \in \mathcal{E}^K} \sum_{\ell=1}^{\dim(\mathbb{P}_p^c(e))} a^K(\Pi_p^K \varphi_{e,\ell}, \Pi_p^K \varphi_{e,\ell}) \text{dof}_{e,\ell}(u_h) \overline{\text{dof}_{e,\ell}(v_h)}$$

(diagonal recipe, [Beirão da Veiga, Dassi, Russo, 2017])

nonconforming Trefftz-VEM

Find $u_h \in V_h$:

$$a_h(u_h, v_h) + ik \int_{\partial\Omega} u_h \overline{(\Pi_p^{0,\partial\Omega} v_h)} ds = \int_{\partial\Omega} g \overline{(\Pi_p^{0,\partial\Omega} v_h)} ds \quad \forall v_h \in V_h,$$

where

$$a_h(u_h, v_h) = \sum_{K \in \mathcal{T}_h} [a^K(\Pi_p^K u_h, \Pi_p^K v_h) + S^K((I - \Pi_p^K)u_h, (I - \Pi_p^K)v_h)].$$

\rightsquigarrow well-posedness and h -convergence; pollution \sim PWDG

General remark on interpolation error in nonconforming spaces: next slide

[Mascotto, Perugia, Pichler, 2018]

- Poisson problem, homogeneous Dirichlet boundary conditions
- $H_p^{1,nc}(\mathcal{T}_h) = \{v \in H^1(\mathcal{T}_h) : \int_e [[v]] q_{p-1} = 0 \quad \forall q_{p-1} \in \mathbb{P}_{p-1}(e), \forall e \in \mathcal{E}_h\}$
- nc-VEM space

$$V(K) = \{v \in H^1(K) : \Delta v \in \mathbb{P}_{p-2}(K), (\partial_{n_K} v)|_e \in \mathbb{P}_{p-1}(e) \quad \forall e \subset \partial K\}$$

$$V_p^{nc}(\mathcal{T}_h) = \{v \in H_p^{1,nc}(\mathcal{T}_h) : v|_K \in V(K) \quad \forall K \in \mathcal{T}_h\}$$

- $\psi \in H_0^1(\Omega)$, nc-VEM interpolant $\psi^I \in V_p^{nc}(\mathcal{T}_h)$: for all $K \in \mathcal{T}_h$,

$$\frac{1}{h_e} \int_e (\psi^I - \psi) q_{p-1}^e = 0 \quad \forall q_{p-1}^e \in \mathbb{P}_{p-1}(e), \quad \forall e \subset \partial K$$

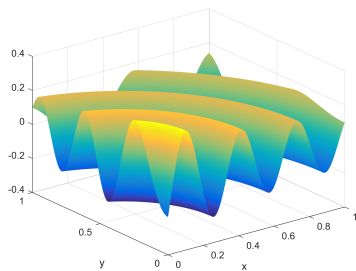
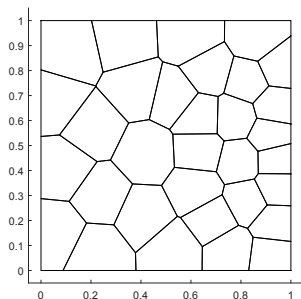
$$\frac{1}{|K|} \int_K (\psi^I - \psi) q_{p-2} = 0 \quad \forall q_{p-2} \in \mathbb{P}_{p-2}(K)$$

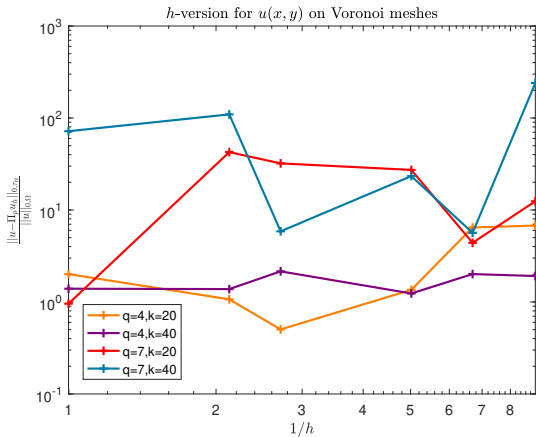
for all $q_p \in \mathbb{P}_p(K)$,

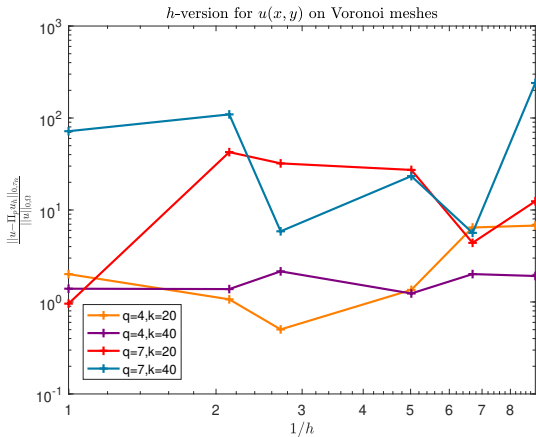
$$\begin{aligned}
 |\psi - \psi'|_{1,K}^2 &= \int_K \nabla(\psi - \psi') \cdot \nabla(\psi - \psi') \\
 &= \int_K \nabla(\psi - \psi') \cdot \nabla(\psi - q_p) + \int_K \nabla(\psi - \psi') \cdot \nabla(q_p - \psi') \\
 &= \int_K \nabla(\psi - \psi') \cdot \nabla(\psi - q_p) - \underbrace{\int_K (\psi - \psi') \Delta(q_p - \psi')}_{=0} + \underbrace{\int_{\partial K} (\psi - \psi') \partial_{n_K}(q_p - \psi')}_{=0} \\
 &= \int_K \nabla(\psi - \psi') \cdot \nabla(\psi - q_p) \leq |\psi - \psi'|_{1,K} |\psi - q_p|_{1,K}
 \end{aligned}$$

interpolation error in $V_p^{\text{nc}}(\mathcal{T}_h) \leq$ best approximation in $\mathbb{P}_p^{\text{disc}}(\mathcal{T}_h)$

- $\Omega := (0, 1)^2$
- Voronoi meshes
- exact solution: $u(\mathbf{x}) = H_0^{(1)}(k|\mathbf{x} - \mathbf{x}_0|)$, $\mathbf{x}_0 = (-0.25, 0)$,





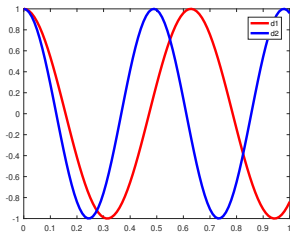
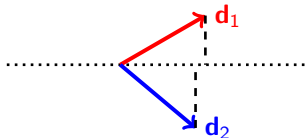


no convergence!

The ill-conditioning is *much more* serious than for other plane wave methods

- **primary source:** almost singularity of local plane wave mass matrices on boundary edges (in spite of the applied filtering), which need to be inverted for the computation of

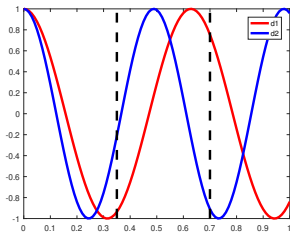
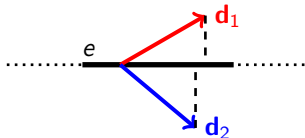
$$ik \int_{\partial\Omega} u_h \overline{(\Pi_p^{0,\partial\Omega} v_h)} ds \quad \text{and} \quad \int_{\partial\Omega} g \overline{(\Pi_p^{0,\partial\Omega} v_h)} ds$$



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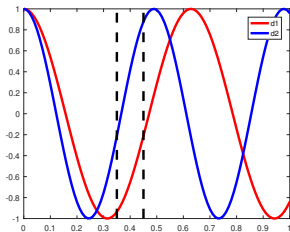
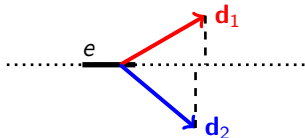
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- **secondary source:** ill-conditioning of the (bulk) plane wave basis

Idea: act directly on the edge mass matrices (and for *all* the edges)

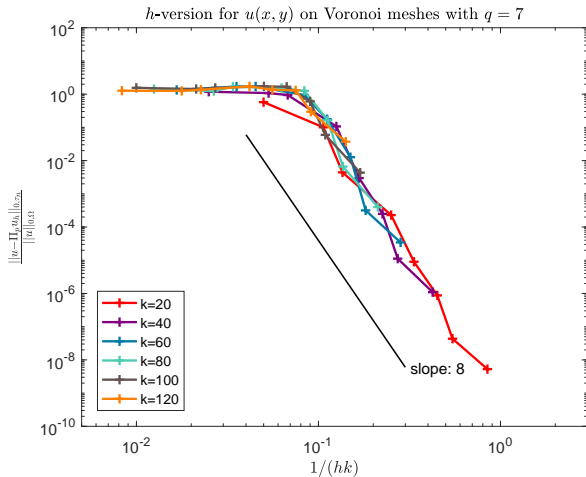
Algorithm: Orthogonalization-and-filtering

Let $\sigma > 0$ be a given tolerance. For *any* edge $e \in \mathcal{E}_n$:

1. assemble the matrix \mathbf{G}_0^e with entries $(\mathbf{G}_0^e)_{j,\ell} = (w_\ell^e, w_j^e)_{0,e}$.
2. compute the eigendecomposition: $\mathbf{G}_0^e \mathbf{Q}^e = \mathbf{Q}^e \mathbf{\Lambda}^e$.
3. remove the columns of \mathbf{Q}^e corresponding to the eigenvalues smaller than σ
4. define the new orthonormal edge functions in terms of the old ones

($\sigma = 10^{-13}$ in our experiments)

Remark: On structured meshes, the local orthonormal bases can be computed once for all in the elements of the reference patch.

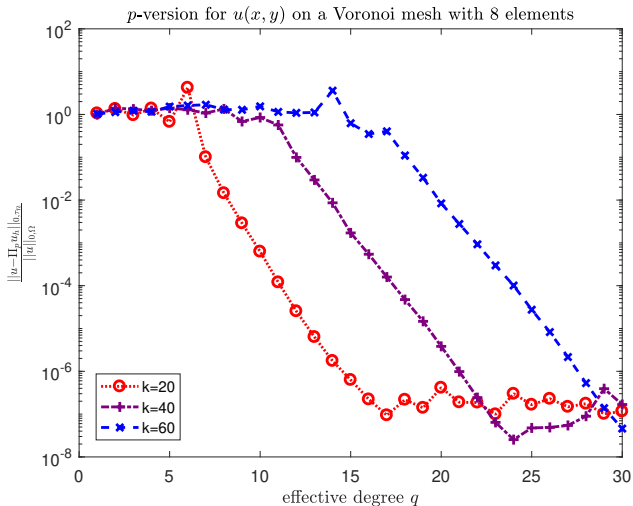


\rightsquigarrow algebraic convergence (reduction of #dofs: up to almost 70%)

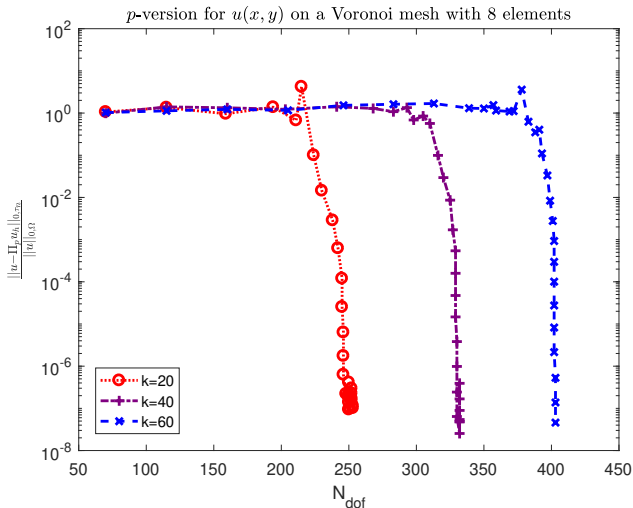
- Voronoi meshes
- $k = 20$, $q = 7$

it.	L^2 -error	# dofs (practice)	# dofs (theory)	reduct. (%)
0	5.75e-01	131	174	24.7
1	1.00e-01	224	340	34.1
2	4.42e-03	394	672	41.4
3	2.28e-04	695	1392	50.1
4	8.99e-06	1243	2783	55.3
5	8.73e-07	2206	5635	60.1
6	4.33e-08	4002	11353	64.7
7	5.16e-09	7282	22810	68.1

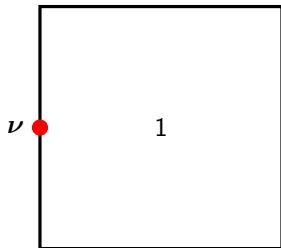
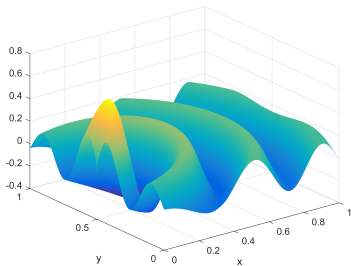
$$u(x, y) := H_0^{(1)}(k|\mathbf{x} - \mathbf{x}_0|), \quad \mathbf{x}_0 = (-0.25, 0), \quad \Omega = (0, 1)^2$$



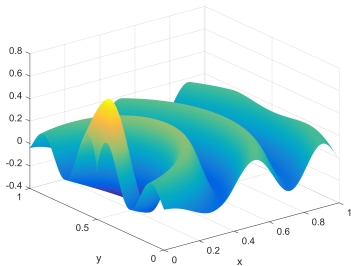
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- $\Omega := (0, 1)^2$
- singular solution: $v(x, y) := J_\xi(kr) \cos(\xi\theta)$, $\xi = \frac{2}{3}$
- $v \in H^{\frac{5}{3}-\epsilon}(\Omega)$, for all $\epsilon > 0$ arbitrarily small
- h -version: convergence rate $\frac{5}{3}$ in L^2 ; p -version: algebraic conv.
- hp -graded meshes ($\mu = 1/3$) \rightsquigarrow exponential convergence



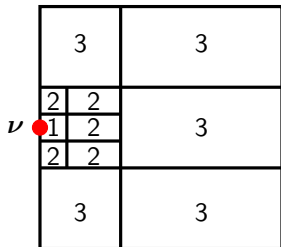
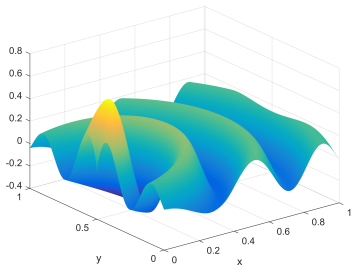
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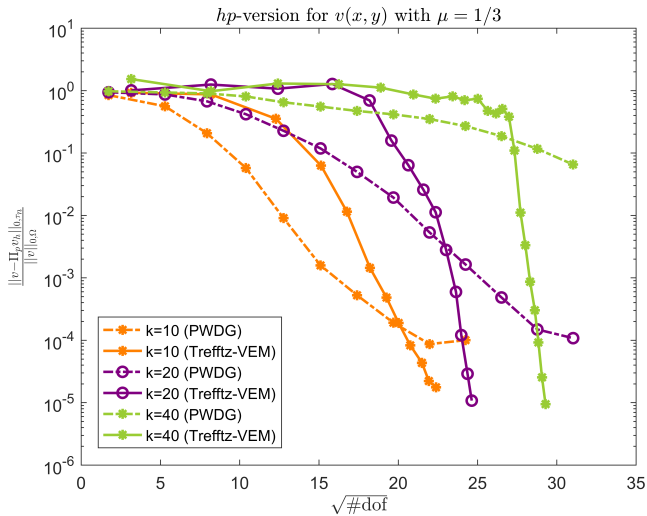
ν ●

2	2
1	2
2	2

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- \mathcal{T}_n mesh with $n + 1$ layers of elements near the singularity ν
- $h_K = \mu^n$ if K is in the 0-th layer
 $h_K = \frac{1-\mu}{\mu} \text{dist}(K, \nu)$ otherwise
- $p_K = 2q_K + 1$, with $q_K = \ell + 1$, if K is in the ℓ -th layer
- hierarchical sets of directions obtained by suitable removal from a set of $2q_{\max} + 1$ evenly spaced directions



- nc Trefftz-VEM combines the Trefftz framework with the nc-VE technology
 - general polygonal meshes
 - basis functions are not known in closed form but satisfy the homogeneous Helmholtz equation
- for stability reasons, we applied *edgewise* an orthogonalization-and-filtering procedure, which turned out to have positive effects in terms of
 - accuracy vs. number of d.o.f.
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Thank you for your attention!