

# Theory of matroids II: toric ideals and simplicial complexes

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## Quick recall

A **matroid**  $M$  is an ordered pair  $(E, \mathcal{I})$  where  $E$  is a finite set ( $E = \{1, \dots, n\}$ ) and  $\mathcal{I}$  is a family of subsets of  $E$  verifying the following conditions :

- (I1)  $\emptyset \in \mathcal{I}$ ,
- (I2) If  $I \in \mathcal{I}$  and  $I' \subset I$  then  $I' \in \mathcal{I}$ ,
- (I3) If  $I_1, I_2 \in \mathcal{I}$  and  $|I_1| < |I_2|$  then there exists  $e \in I_2 \setminus I_1$  such that  $I_1 \cup e \in \mathcal{I}$ .

The members in  $\mathcal{I}$  are called the **independents** of  $M$ . A subset in  $E$  not belonging to  $\mathcal{I}$  is called **dependent**.

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(B2) (*exchange property*)  $B_1, B_2 \in \mathcal{B}$  and  $x \in B_1 \setminus B_2$  then there exist  $y \in B_2 \setminus B_1$  such that  $(B_1 \setminus x) \cup y \in \mathcal{B}$ .

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If  $\mathcal{I}$  is the family of subsets contained in a set of  $\mathcal{B}$  then  $(E, \mathcal{I})$  is a matroid.

## Toric ideal associated to a matroid

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For each base  $B \in \mathcal{B}$ , we introduce a variable  $y_B$  and we denote by  $R$  the polynomial ring in the variables  $y_B$ , i.e.,  $R := k[y_B \mid B \in \mathcal{B}]$ .



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A **binomial** in  $R$  is a difference of two monomials, an ideal generated by binomials is called a *binomial ideal*.

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We consider the homomorphism of  $k$ -algebras

$\varphi : R \longrightarrow k[x_1, \dots, x_n]$  induced by

$$y_B \mapsto \prod_{i \in B} x_i.$$

The image of  $\varphi$  is a standard graded  $k$ -algebra, which is called the bases monomial ring of the matroid  $M$  and it is denoted by  $S_M$ .

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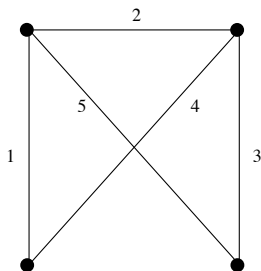
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**Observation** Let  $b$  be the number of bases of a matroid  $M$  on  $n$  elements. Then,  $I_M$  is generated by the kernel of the integer  $n \times b$  matrix whose columns are the zero-one incidence vectors of the bases of  $M$ .

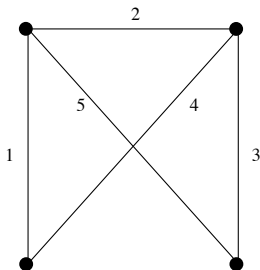
# Example

Matroid  $M(G)$  associated to graph  $G$ . We have  $r(M(G)) = 3$ .



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By considering  $\varphi : k[y_{B_1}, \dots, y_{B_8}] \longrightarrow k[x_1, \dots, x_5]$  we have that

$$y_{B_1} \mapsto x_1 x_2 x_3, \quad y_{B_2} \mapsto x_1 x_2 x_5, \quad y_{B_3} \mapsto x_1 x_3 x_4, \quad \dots$$



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An element of the kernel of  $\varphi$  (i.e.,  $I_{M(G)}$ ) is :  $y_{B_7} y_{B_4} - y_{B_2} y_{B_8}$ .

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**Observation** Since  $R/I_M \simeq S_M$ , it follows that the height of  $I_M$  is  $\text{ht}(I_M) = |\mathcal{B}| - \dim(S_M) = |\mathcal{B}| - (n - c + 1)$ , where  $c$  is the number of connected components of  $M$ .

## White's conjecture

Let  $\mathcal{B}$  denote the set of bases of  $M$ . By definition  $\mathcal{B}$  is not empty and satisfies the following **exchange axiom** :

*For every  $B_1, B_2 \in \mathcal{B}$  and for every  $e \in B_1 \setminus B_2$ , there exists  $f \in B_2 \setminus B_1$  such that  $(B_1 \cup \{f\}) \setminus \{e\} \in \mathcal{B}$ .*

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Brualdi proved that the exchange axiom is equivalent to the **symmetric exchange axiom** :

*For every  $B_1, B_2$  in  $\mathcal{B}$  and for every  $e \in B_1 \setminus B_2$ , there exists  $f \in B_2 \setminus B_1$  such that both  $(B_1 \cup \{f\}) \setminus \{e\} \in \mathcal{B}$  and  $(B_2 \cup \{e\}) \setminus \{f\} \in \mathcal{B}$ .*

## White's conjecture

Suppose that a pair of bases  $D_1, D_2$  is obtained from a pair of bases  $B_1, B_2$  by a symmetric exchange. That is  $D_1 = (B_1 \setminus e) \cup f$  and  $D_2 = (B_2 \setminus f) \cup e$  for some  $e \in B_1$  and  $f \in B_2$ .

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**Conjecture (White 1980)** For every matroid  $M$  its toric ideal  $I_M$  is generated by quadratic binomials corresponding to symmetric exchanges.

## White's conjecture

**Observation** for  $B_1, \dots, B_s, D_1, \dots, D_s \in \mathcal{B}$ , the homogeneous binomial  $y_{B_1} \cdots y_{B_s} - y_{D_1} \cdots y_{D_s}$  belongs to  $I_M$  if and only if  $B_1 \cup \cdots \cup B_s = D_1 \cup \cdots \cup D_s$  as multisets.

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Since  $I_M$  is a homogeneous binomial ideal, it follows that

$$I_M = \left( \{y_{B_1} \cdots y_{B_s} - y_{D_1} \cdots y_{D_s} \mid B_1 \cup \cdots \cup B_s = D_1 \cup \cdots \cup D_s \text{ as multisets}\} \right)$$

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**White's original formulation** Two sets of bases of a matroid have equal union (as multiset), then one can pass between them by a sequence of symmetric exchanges.

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**Observation** White's conjecture does not depend on the field  $k$ .

## Example continued

We had  $\mathcal{B}(M(G)) = \{B_1 = \{123\}, B_2 = \{125\}, B_3 = \{134\}, B_4 = \{135\}, B_5 = \{145\}, B_6 = \{234\}, B_7 = \{245\}, B_8 = \{345\}\}$ .

We also had that  $y_{B_7}y_{B_4} - y_{B_2}y_{B_8} \in I_{M(G)}$ .

We can check that  $B_7 \cup B_4 = \{2, 4, 5, 1, 3, 5\} = B_2 \cup B_8$ .

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- Lasoń, Michałek (2014) proved for strongly base orderables matroids.

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$$|E| = \bigcup_{i=1}^n B_i.$$

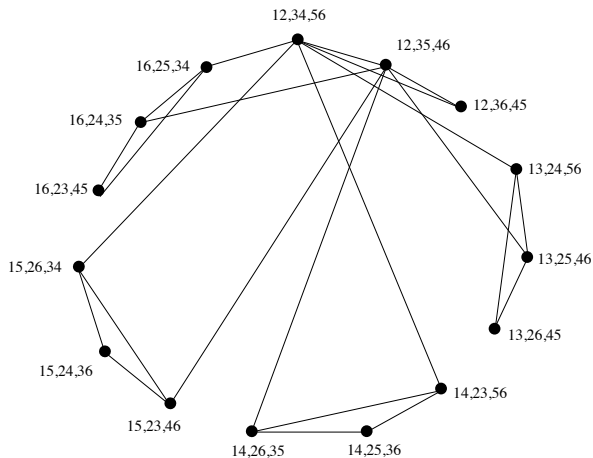
There is an edge between  $\{B_1, \dots, B_n\}$  and  $\{D_1, \dots, D_n\}$  if and only if  $B_i = D_j$  for some  $i, j$ .

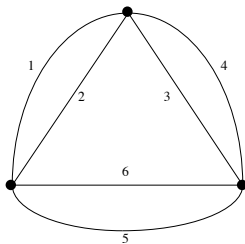
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We have that  $r(U_{2,6}) = 2$ , and let us take  $n = 3$ .

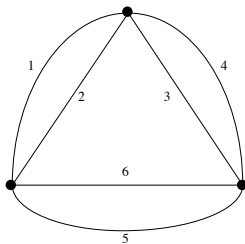
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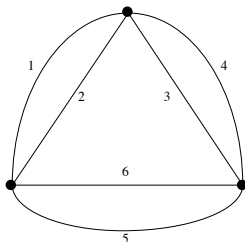


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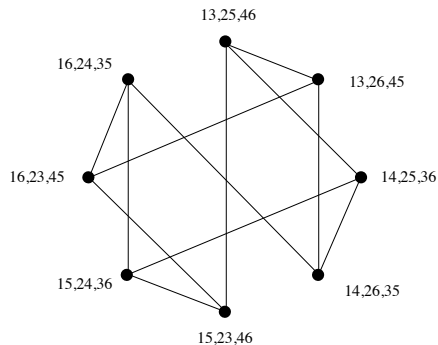
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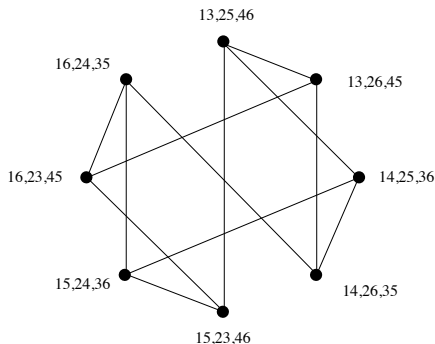
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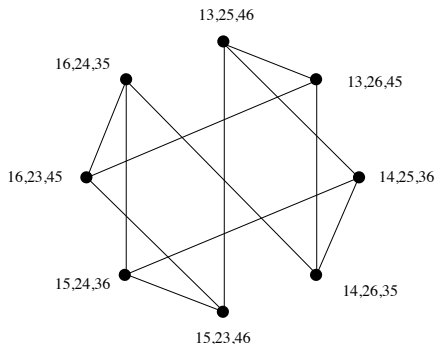
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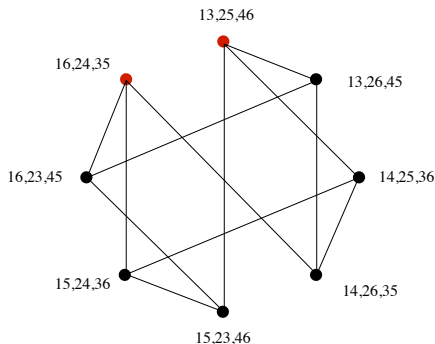
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**Lemma (Blasiak)** Let  $\mathcal{C}$  be a collection of matroids that is closed under deletions and adding parallel elements. Suppose that for each  $n \geq 3$  and for every matroid  $M$  in  $\mathcal{C}$  on a ground set of size  $nr(M)$  the  $n$ -base graph of  $M$  is connected. Then, for every matroid  $M$  in  $\mathcal{C}$ ,  $I_M$  is generated by quadratics polynomials.

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This will prove the result because  $I_M$ , as a toric ideal, is generated by binomials.

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The binomial  $b$  is necessarily of the form  $b = \prod_{i=1}^n y_{B_i} - \prod_{i=1}^n y_{D_i}$  for some bases  $\{B_1, \dots, B_n\}$  and  $\{D_1, \dots, D_n\}$  of  $M$  such that the  $B_i$  and  $D_i$  have the same multiset union.

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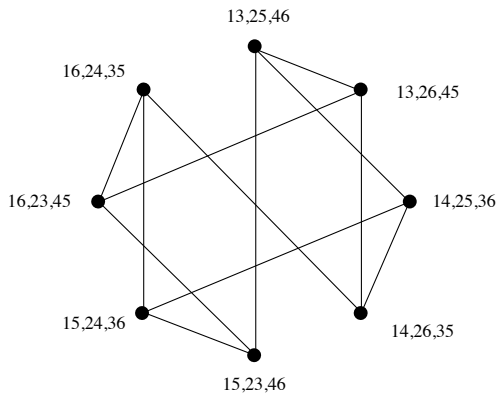
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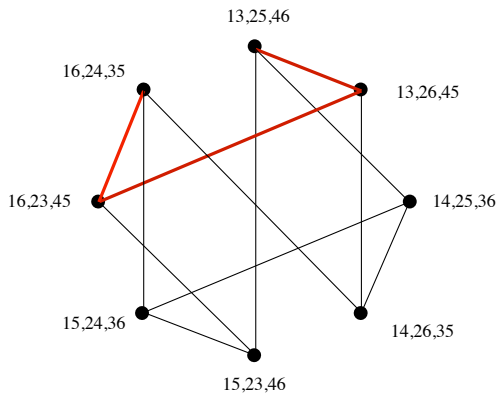
By induction the degree  $n - 1$  binomials are in the ideal generated by the quadratics of  $I_M$  which is properly used the proof de result for  $n$ .

# Blasiak's reduction



$$y_{16}y_{24}y_{35} - y_{13}y_{25}y_{46} \in I_{M(G)}.$$

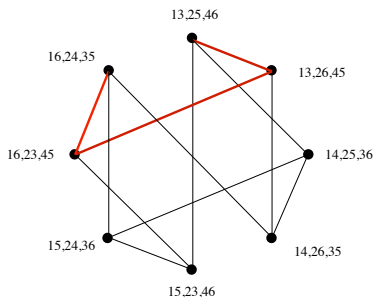
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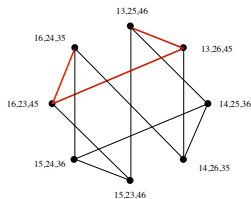
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By following the path we construct

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Or equivalently

$$y_{16}(y_{24}y_{35} - y_{23}y_{45}) + y_{45}(y_{16}y_{23} - y_{13}y_{26}) + y_{13}(y_{26}y_{55} - y_{25}y_{46}) = y_{16}y_{24}y_{35} - y_{13}y_{25}y_{46} \in I_{M(G)}.$$

## Strongly base orderable matroid

A matroid is strongly base orderable if for any two bases  $B_1$  and  $B_2$  there is a bijection  $\pi : B_1 \rightarrow B_2$  satisfying the multiple symmetric exchange property, that is :  $(B_1 \setminus A) \cup \pi(A)$  is a basis for every  $A \subset B_1$ .

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- The class of strongly base orderable matroids is closed under taking minors.

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Fix  $n \geq 2$ . We shall prove by decreasing induction on the overlap function

$$d(y_{B_1} \cdots y_{B_n}, y_{D_1} \cdots y_{D_n}) := \max_{\pi \in S_n} \sum_{i=1}^n |B_i \cap D_{\pi(i)}|$$

that a binomial  $y_{B_1} \cdots y_{B_n} - y_{D_1} \cdots y_{D_n} \in I_M$  belongs to  $J_M$ .

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**Conjecture 1** For any matroid  $M$ , the toric ideal  $I_M$  has a Gröbner basis consisting of quadratics binomials.

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**Remark** : Conjectures 2 and 3 together imply White's conjecture.

## Complete Intersection

The toric ideal  $I_M$  is a **complete intersection** if and only if there exists a set of homogeneous binomials  $g_1, \dots, g_s \in R$  such that  $s = \text{ht}(I_M)$  and  $I_M = (g_1, \dots, g_s)$ .

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Equivalently,  $I_M$  is a **complete intersection** if

$$\mu(I_M) = \text{ht}(I_M) = |\mathcal{B}| - (n - c + 1)$$

where  $\mu(I_M)$  denotes the minimal number of generators of  $I_M$  and  $c$  the number of **connected components** of  $M$ .

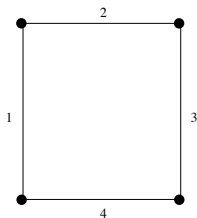


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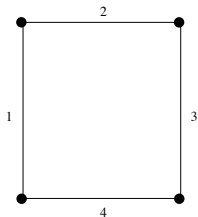
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We have  $\mathcal{B}(M(G)) = \{123, 124, 134, 234\}$ . There is one equivalent class, and thus  $\text{ht}(I_M) = 4 - (4 - 1 + 1) = 0$ .

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Recall that

$$I_M = \left( \{y_{B_1} \cdots y_{B_s} - y_{D_1} \cdots y_{D_s} \mid B_1 \cup \cdots \cup B_s = D_1 \cup \cdots \cup D_s\} \right) \quad (1)$$

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- Thus, we only consider the case  $r \leq n - 2$ .

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**Proposition** Let  $M'$  be a minor of  $M$ . If  $I_M$  is a complete intersection, then  $I_{M'}$  also is.

## Complete Intersection : rank 2 case

If  $M$  has rank 2 then we associate to  $M$  the graph  $H_M$  with vertex set  $E$  and edge set  $\mathcal{B}$ .

## Complete Intersection : rank 2 case

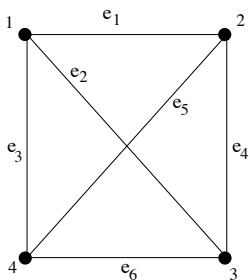
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**Example :**

$$\mathcal{B}(U_{2,4}) = \{B_1 = \{1, 2\}, B_2 = \{1, 3\}, B_3 = \{1, 4\}, B_4 = \{2, 3\}, B_5 = \{2, 4\}, B_6 = \{3, 4\}\}$$

$$\begin{pmatrix} & B_1 & B_2 & B_3 & B_4 & B_5 & B_6 \\ \begin{pmatrix} 1 & 1 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 \end{pmatrix} \end{pmatrix}$$

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 $H_{U_{2,4}}$ 

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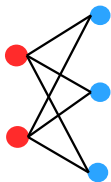
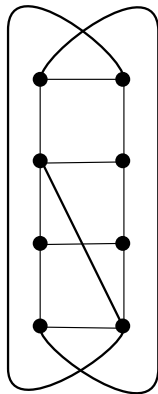
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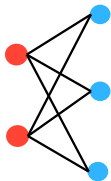
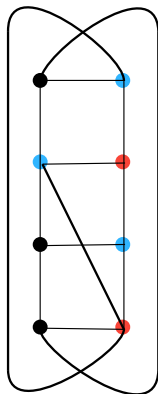
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**Theorem (I. Bermejo, I. Garcia-Marco, E. Reyes)** Whenever  $I_{H(M)}$  is a complete intersection, then  $H_M$  does not contain  $K_{2,3}$  as subgraph.

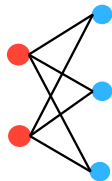
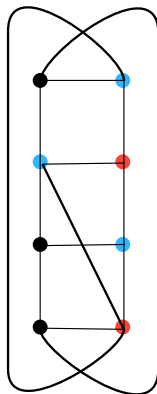
## Complete Intersection : rank 2 case

 $K_{2,3}$  $G$

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 $G$

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Therefore  $I_G$  is not complete intersection.

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( $\Leftarrow$ ) By computer.

## Complete Intersection : general case

**Theorem (Garcia-Marco, R.A, 2014)** Let  $M$  be a matroid without loops or coloops and with  $n > r + 1$ . Then,  $I_M$  is a complete intersection if and only if  $n = 4$  and  $M$  is the matroid whose set of bases is :

- 1  $\mathcal{B} = \{\{1, 2\}, \{3, 4\}, \{1, 3\}, \{2, 4\}\},$
- 2  $\mathcal{B} = \{\{1, 2\}, \{3, 4\}, \{1, 3\}, \{2, 4\}, \{1, 4\}\},$  or
- 3  $\mathcal{B} = \{\{1, 2\}, \{3, 4\}, \{1, 3\}, \{2, 4\}, \{1, 4\}, \{2, 3\}\},$  i.e.,  
 $M = U_{2,4}.$

## Detecting minors

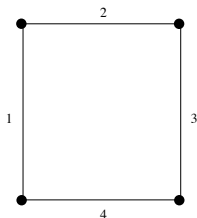
We consider the following binary equivalence relation  $\sim$  on the set of pairs of bases :

$$\{B_1, B_2\} \sim \{B_3, B_4\} \iff B_1 \cup B_2 = B_3 \cup B_4 \text{ as multisets,}$$

and we denote by  $\Delta_{\{B_1, B_2\}}$  the cardinality of the equivalence class of  $\{B_1, B_2\}$ .

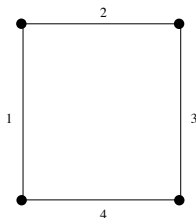
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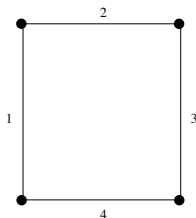


Therefore,

$$\mathcal{B}(M(G)) = \{B_1 = \{123\}, B_2 = \{124\}, B_3 = \{134\}, B_4 = \{234\}\}.$$

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It can be checked that the equivalent class of  $\{B_i, B_j\}$  is  $\{B_i, B_j\}$ , that is,  $\Delta_{\{B_i, B_j\}} = 1$  for any pair  $1 \leq i \neq j \leq 4$ .



## Detecting minors

**Lemma** For every  $B_1, B_2 \in \mathcal{B}$ , then  $2^{d-1} \leq \Delta_{\{B_1, B_2\}} \leq \binom{2^{d-1}}{d}$ ,  
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**Lemma** For every  $B_1, B_2 \in \mathcal{B}$ , then  $2^{d-1} \leq \Delta_{\{B_1, B_2\}} \leq \binom{2d-1}{d}$ ,  
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$\Delta_{\{B_1, B_2\}} \leq \binom{2d-1}{d}$  easy.

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**Lemma** Let  $B_1, B_2 \in \mathcal{B}$  of a matroid  $M$  and consider the matroid  $M' := (M/(B_1 \cap B_2))|_{(B_1 \triangle B_2)}$  on the ground set  $B_1 \triangle B_2$ . Then, the number of bases-cobases of  $M'$  is equal to  $2\Delta_{\{B_1, B_2\}}$ .

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## System of generators

$\nu(I_M)$  = the number of minimal sets of binomial generators of  $I_M$ ,  
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- 1  $\mu(I_M) \geq (b^2 - b - 2s)/2$ , where  $b := |\mathcal{B}|$ , and
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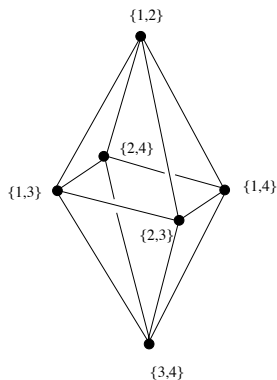
Moreover, in both cases equality holds whenever  $I_M$  is generated by quadratics.

**Question** Can we characterize those matroids  $M$  with  $\nu(I_M) = 1$ ?

The **basis graph** of a matroid  $M$  is the undirected graph  $G_M$  with vertex set  $\mathcal{B}$  and edges  $\{B, B'\}$  such that  $|B \setminus B'| = 1$ . The **diameter of a graph** is the maximum distance between two vertices of the graph.

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Basis graph  $G_{U_{2,4}}$



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**Theorem (Garcia-Marco, R.A, 2014)** Let  $M$  be a rank  $r \geq 2$  matroid. Then,  $\nu(I_M) = 1$  if and only if  $M$  is binary and the diameter of  $G_M$  is at most 2.

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By above Lemmas and Theorem binary, this is equivalent to  $M$  is binary and  $|B_1 \setminus B_2| \in \{1, 2\}$  for all  $\overline{B_1}, B_2 \in \mathcal{B}$ . Clearly this implies that the diameter of  $G_M$  is less or equal to 2.



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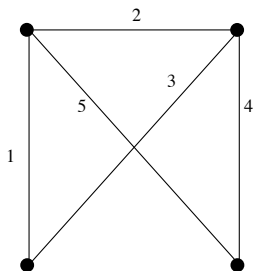
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( $\Leftarrow$ ) More complicated.

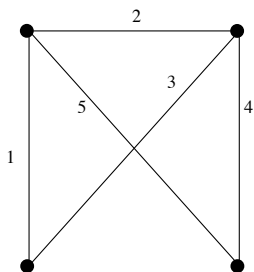
# Example

Matroid  $M(G)$  associated to graph  $G$ .



# Example

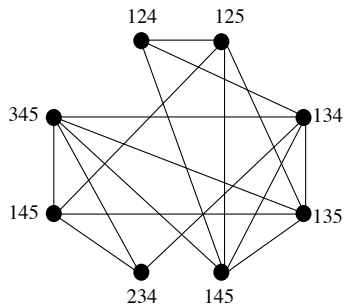
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$$\mathcal{B}(M(G)) = \{B_1 = \{124\}, B_2 = \{125\}, B_3 = \{134\}, B_4 = \{135\}, B_5 = \{145\}, B_6 = \{234\}, B_7 = \{235\}, B_8 = \{345\}\}$$

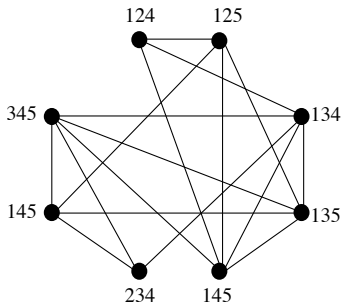
# Example

The base graph  $G_{M(G)}$



# Example

The base graph  $G_{M(G)}$



Since diameter of  $G_{M(G)}$  is at most two, and  $M(G)$  is binary then  $\nu(I_M) = 1$ .

## Definitions

Let  $V = \{v_1, \dots, v_n\}$  be a set of distinct elements. A collection  $\Delta$  of subsets of  $V$  is called a **simplicial complex** if for every  $F \in \Delta$  and  $G \subseteq F$ ,  $G \in \Delta$ .

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If  $\{v\} \in \Delta$  then we call  $v$  a **vertex** of  $\Delta$ .

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## Definitions

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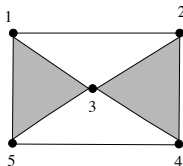
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- Typically, we will describe a simplicial complex by listing its facets.

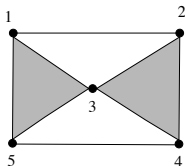
# Example

Simplicial complex  $\Delta$  of dimension 2



## Example

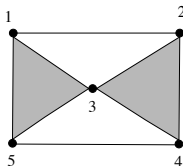
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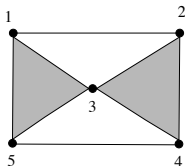


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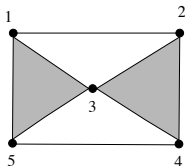
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- The  $link_{\Delta}(3)$  is the complex with facets 15 and 24, while the  $link_{\Delta}(5)$  has facets 13 and 4.
- The deletion of 3 has facets 12, 24, 45 and 15. The deletion of 5 has facets 234, 13 and 12.

## Matroid complex

Recall that axioms (I1), (I2) for the independent set  $\mathcal{I}(M)$  of a matroid  $M$  on a set  $V$  are equivalent to  $\mathcal{I}$  being an abstract simplicial complex on  $V$ .

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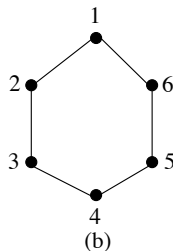
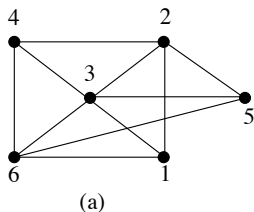
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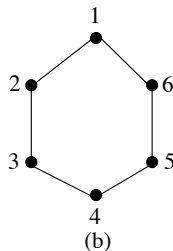
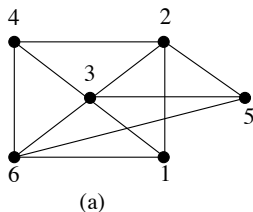
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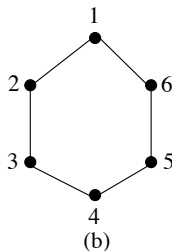
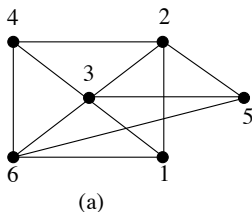


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Two 1-dimensional simplicial complexes.



(a) Matroid complex (this can be checked by verifying that every  $A \subseteq \{1, \dots, 6\}$ ,  $\Delta_A$  is pure).

(b) is not a matroid complex since it admits a restriction that is not pure, for instance, the facets of  $\Delta_{1,3,4}$  are  $\{1\}$  and  $\{3, 4\}$  as facets so the restriction is not pure.

## Standard constructions

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A matroid complex  $\Delta_M$  is a cone if and only if  $M$  has a coloop (or isthme), which corresponds to the apex defined above.

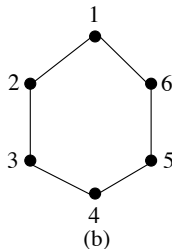
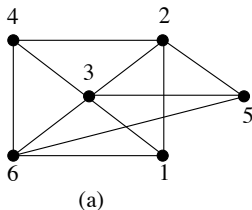


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**Lemma** Let  $\Delta$  be a 1-dimensional simplicial complex. Then,  $\Delta$  is matroid if and only if for every vertex  $v$  and every edge  $E$ ,  $link_{\Delta}(v) \cap E \neq \emptyset$ .

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## Stanley-Reisner ideal

Let  $k$  be a field. We can associate to a simplicial complex  $\Delta$ , a square free monomial ideal in  $S = k[x_1, \dots, x_n]$ ,

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The ideal  $I_\Delta$  is called the Stanley-Reisner ideal of  $\Delta$  and  $S/I_\Delta$  the Stanley-Reisner ring of  $\Delta$ .

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In particular, for any  $j = 0, \dots, d$ , we have

$$f_{j-1} = \sum_{i=0}^j \binom{d-i}{j-1} h_i$$

$$h_j = \sum_{i=0}^j (-1)^{j-i} \binom{d-i}{j-1} f_{i-1}.$$

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**Remark**  $v_j$  is externally passive in  $B$  if it is internally passive in  $E \setminus B$  in  $M^*$ .



## $h$ -vector of simplicial complexes

Björner proved that

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- Since the  $f$ -numbers (and hence the  $h$ -numbers) of a matroid depend only on its independent sets, then above equations hold for any ordering of the ground set of  $M$ .

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- $h$ -vector of a matroid complex  $\Delta_M$  is actually a specialization of the Tutte polynomial of the corresponding matroid; precisely we have  $T(M; x, 1) = h_0x^d + h_1x^{d_1} + \cdots + h_d$

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Obtaining that  $h(\Delta) = (1, 1, 1)$ .

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## Order ideal

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A vector  $\mathbf{h} = (h_0, \dots, h_d)$  is a **pure  $\mathcal{O}$ -sequence** if there is a pure ideal  $\mathcal{O}$  such that  $\mathbf{h} = F(\mathcal{O})$ .

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The pure monomial order ideal (inside  $k[x, y, z]$  with maximal monomials  $xy^3z$  and  $x^2z^3$ ) is :

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## Example

The pure monomial order ideal (inside  $k[x, y, z]$  with maximal monomials  $\mathbf{xy}^3\mathbf{z}$  and  $\mathbf{x}^2\mathbf{z}^3$ ) is :

$$X = \{ \mathbf{xy}^3\mathbf{z}, \mathbf{x}^2\mathbf{z}^3; y^3z, xy^2z, xy^3, xz^3, x^2z^2, y^2z, y^3, xyz, xy^2, xz^2, z^3, x^2z, yz, y^2, xz, xy, z^2, x^2, z, y, x, 1 \}.$$

Hence the  $h$ -vector of  $X$  is the pure  $O$ -sequence  $h = (1, 3, 6, 7, 5, 2)$ .

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Conjecture hold for several families of matroid complexes :

(Merino, Noble, Ramirez-Ibañez, Villarroel, 2010) Paving matroids

(Merino, 2001) Cographic matroids

(Oh, 2010) Cotransversal matroids

(Schweig, 2010) Lattice path matroids

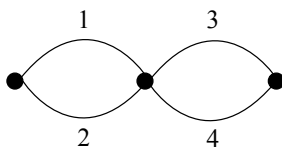
(Stokes, 2009) Matroids of rank at most three

(De Loera, Kemper, Klee, 2012) for all matroids on at most nine elements all matroids of corank two.



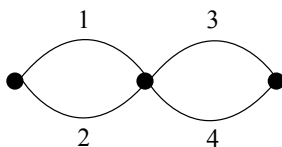
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We have that  $\dim \Delta = 1$  and  $f_{-1} = 1, f_0 = 4$  and  $f_1 = 4$ .

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$$\mathcal{B}(M(G)) = \{B_1 = \{1, 3\}, B_2 = \{1, 4\}, B_3 = \{2, 3\}, B_4 = \{2, 4\}\}.$$

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$$\sum_{i=0}^2 h_i t^i = \sum_{B \in \mathcal{B}(M(G))} t^{ip(B)} = 1 + t + t + t^2 = 1 + 2t + t^2.$$

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Obtaining the  $h$ -vector  $h(1, 2, 1)$ . Since  $\mathcal{O} = (1, x_1, x_2, x_1 x_2)$  is an order ideal then  $h(1, 2, 1)$  is pure  $\mathcal{O}$ -sequence.