

Theory of matroids and Tutte polynomial

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Independents

A **matroid** M is an ordered pair (E, \mathcal{I}) where E is a finite set ($E = \{1, \dots, n\}$) and \mathcal{I} is a family of subsets of E verifying the following conditions :

- (I1) $\emptyset \in \mathcal{I}$,
- (I2) If $I \in \mathcal{I}$ and $I' \subset I$ then $I' \in \mathcal{I}$,
- (I3) If $I_1, I_2 \in \mathcal{I}$ and $|I_1| < |I_2|$ then there exists $e \in I_2 \setminus I_1$ such that $I_1 \cup e \in \mathcal{I}$.

The members in \mathcal{I} are called the **independents** of M . A subset in E not belonging to \mathcal{I} is called **dependent**.

Representable Matroids

Theorem (Whitney 1935) Let $\{e_1, \dots, e_n\}$ a set of columns (vectors) of a matrix with coefficients in a field \mathbb{F} . Let \mathcal{I} be the family of subsets $\{i_1, \dots, i_m\} \subseteq \{1, \dots, n\} = E$ such that the columns $\{e_{i_1}, \dots, e_{i_m}\}$ are linearly independent in \mathbb{F} . Then, (E, \mathcal{I}) is a matroid.

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$$|I_2| \leq \dim(W) \leq |I_1| < |I_2| \quad !!!$$

Representable Matroids

Let A be the following matrix with coefficients in \mathbb{R} .

$$A = \begin{matrix} & \begin{matrix} 1 & 2 & 3 & 4 & 5 \end{matrix} \\ \begin{pmatrix} 1 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 0 & 1 \end{pmatrix} \end{matrix}$$

$$\{\emptyset, \{1\}, \{2\}, \{4\}, \{5\}, \{1,2\}, \{1,5\}, \{2,4\}, \{2,5\}, \{4,5\}\} \subseteq \mathcal{I}(M)$$

A matroid obtained from a matrix A with coefficients in \mathbb{F} is denoted by $M(A)$ and is called **representable** over \mathbb{F} or **\mathbb{F} -representable**.

Circuits

A subset $X \subseteq E$ is said to be **minimal dependent** if any proper subset of X is independent. A minimal dependent set of matroid M is called **circuit** of M .

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We denote by \mathcal{C} the set of circuits of a matroid.

\mathcal{C} is the set of circuits of a matroid on E if and only if \mathcal{C} verifies the following properties :

$$(C1) \quad \emptyset \notin \mathcal{C},$$

$$(C2) \quad C_1, C_2 \in \mathcal{C} \text{ and } C_1 \subseteq C_2 \text{ then } C_1 = C_2,$$

$$(C3) \quad (\textit{elimination property}) \text{ If } C_1, C_2 \in \mathcal{C}, C_1 \neq C_2 \text{ and } e \in C_1 \cap C_2 \text{ then there exists } C_3 \in \mathcal{C} \text{ such that } C_3 \subseteq \{C_1 \cup C_2\} \setminus \{e\}.$$

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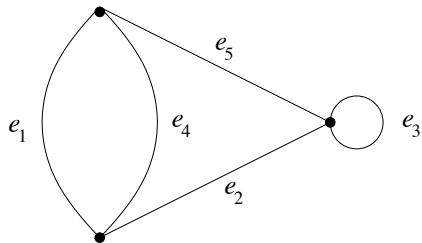
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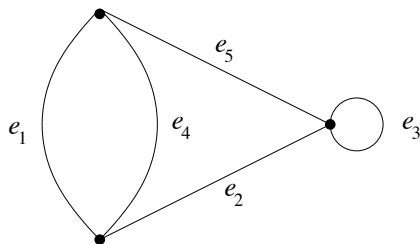
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A subset of edges $I \subset \{e_1, \dots, e_n\}$ of G is independent if the graph induced by I does not contain a cycle.

Graphic Matroid



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It can be checked that $M(G)$ is **isomorphic** to $M(A)$ (under the bijection $e_i \rightarrow i$).

$$A = \begin{pmatrix} & 1 & 2 & 3 & 4 & 5 \\ 1 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 0 & 1 \end{pmatrix}$$

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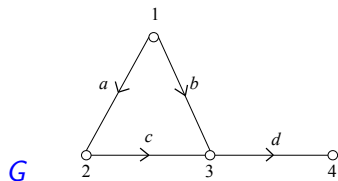
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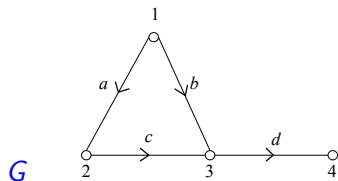
Exercice : Verify that the graph $G = (V, E)$ gives the same matroid that the one given by the set of vectors $y_e = x_i - x_j$ where $e = (i, j) \in E$.

Graphic Matroid



$$A = \begin{pmatrix} y_a & y_b & y_c & y_d \\ 1 & 1 & 0 & 0 \\ -1 & 0 & 1 & 0 \\ 0 & -1 & -1 & 1 \\ 0 & 0 & 0 & -1 \end{pmatrix}$$

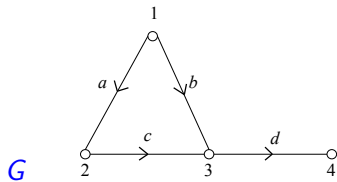
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$M(G)$ is isomorphic to $M(A)$ ($a \rightarrow y_a, b \rightarrow y_b, c \rightarrow y_c, d \rightarrow y_d$).

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The cycle formed by the edges $a = \{1, 2\}$, $b = \{1, 3\}$ et $c = \{2, 3\}$ in the graph correspond to the linear dependency $y_b - y_a = y_c$.

Bases

A **base** of a matroid is a maximal independent set. We denote by \mathcal{B} the set of all bases of a matroid.

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The family \mathcal{B} verifies the following conditions :

(B1) $\mathcal{B} \neq \emptyset$,

(B2) (*exchange property*) $B_1, B_2 \in \mathcal{B}$ and $x \in B_1 \setminus B_2$ then there exist $y \in B_2 \setminus B_1$ such that $(B_1 \setminus x) \cup y \in \mathcal{B}$.

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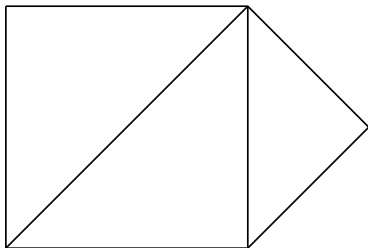
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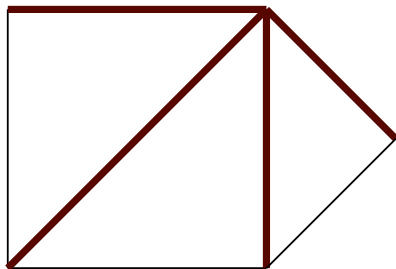
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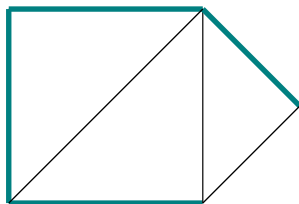
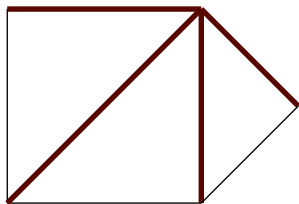
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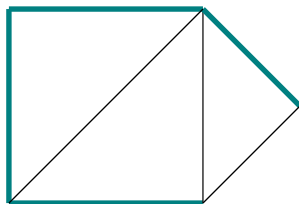
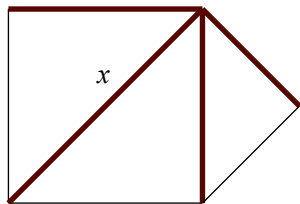
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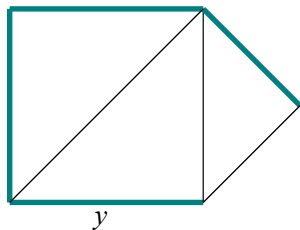
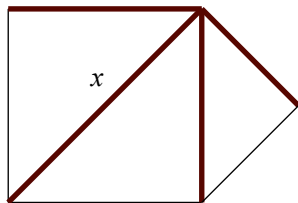
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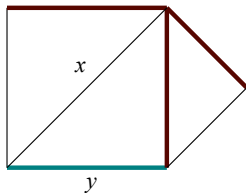
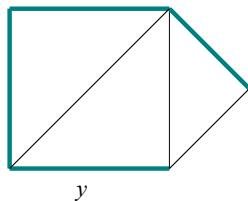
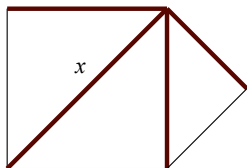
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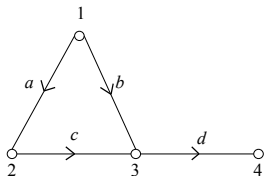
$$r_M(X) = \max\{|Y| : Y \subseteq X, Y \in \mathcal{I}\}.$$

$r = r_M$ is the rank function of a matroid (E, \mathcal{I}) (where $\mathcal{I} = \{I \subseteq E : r(I) = |I|\}$) if and only if r verifies the following conditions :

- (R1) $0 \leq r(X) \leq |X|$, for all $X \subseteq E$,
- (R2) $r(X) \leq r(Y)$, for all $X \subseteq Y$,
- (R3) (*sub-modularity*) $r(X \cup Y) + r(X \cap Y) \leq r(X) + r(Y)$ for all $X, Y \subseteq E$.

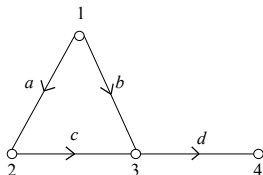
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It can be verified that :

$$r_M(\{a, b, c\}) = r_M(\{c, d\}) = r_M(\{a, d\}) = 2 \text{ et} \\ r(M(G)) = r_M(\{a, b, c, d\}) = 3 .$$

Duality

Let M be a matroid on the ground set E and let \mathcal{B} the set of bases of M . Then,

$$\mathcal{B}^* = \{E \setminus B \mid B \in \mathcal{B}\}$$

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The matroid on E having \mathcal{B}^* as set of bases, denoted by M^* , is called the **dual** of M .

A base of M^* is also called **cobase** of M .

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- The rank function of M^* is given by

$$r_{M^*}(X) = |X| + r_M(E \setminus X) - r_M,$$

for $X \subset E$.

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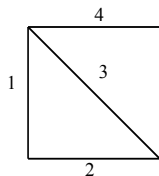
Theorem Let $\mathcal{C}(G)^*$ be the set of minimal (by inclusion) cocycles of a graph G . Then, $\mathcal{C}(G)^*$ is the set of circuits of a matroid on E . The matroid obtained on this way is called the matroid of **cocycle** of G or **bond matroid**, denoted by $B(G)$.

Bond Matroid

Theorem $M^*(G) = B(G)$ and $M(G) = B^*(G)$.

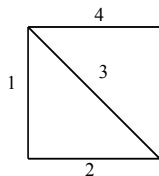
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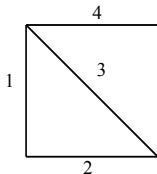
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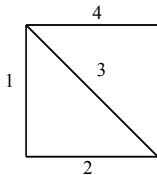


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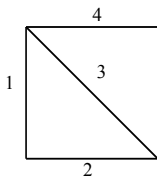
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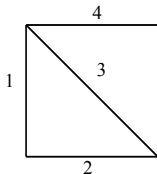
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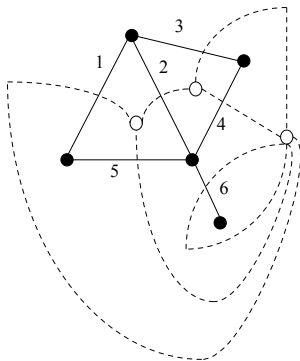
$\mathcal{C}(M^*(G)) = \{\{4\}, \{1, 2\}, \{1, 3\}, \{2, 3\}\}$ that are precisely the cocycles of G .

Planarity

Theorem If G is planar then $M^*(G) = M(G^*)$.

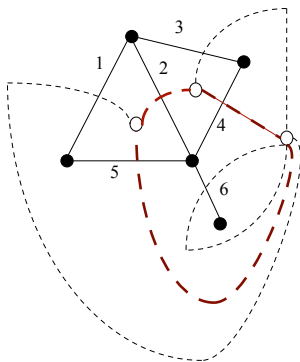
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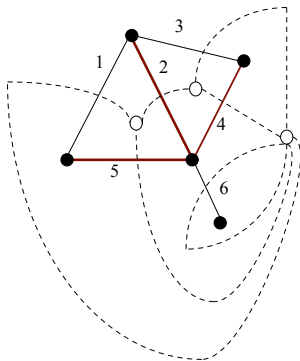
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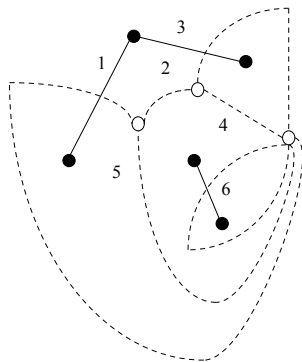
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Remark The dual of a graphic matroid is not necessarily graphic.

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(Exercise) M^* can be obtained from the set of columns of the matrix

$$(-{}^tA \mid I_{n-r})$$

where I_{n-r} is the identity $(n - r) \times (n - r)$ and tA is the transpose of A .

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The orthogonal space of the space generated by the columns of $(I \mid A)$ is given by the space generated by the columns of $(-{}^t A \mid I_{n-r})$.

Operation : deletion

Let M be a matroid on the set E and let $A \subset E$. Then,

$$\{X \subset E \setminus A \mid X \text{ is independent in } M\}$$

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Operations : deletion and contraction

Properties

$$(i) (M \setminus A) \setminus A' = M \setminus (A \cup A')$$

$$(ii) (M/A)/A' = M/(A \cup A')$$

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Question : Is it true that any family of matroids is closed under deletions/contractions operations ?

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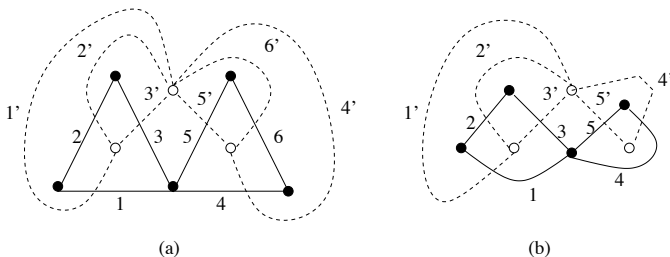
Contraction : it follows by using duality.

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Contracting element 6

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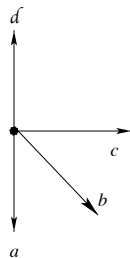
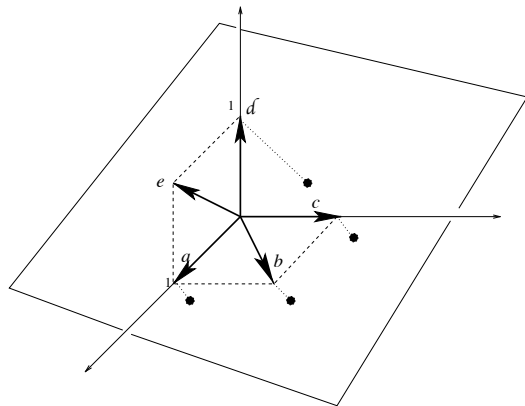
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- If $v_a = \bar{0}$ then a is a loop of M and thus $M/a = M \setminus a$.

Minors - representable matroids



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For $\mathbb{F} = GF(2) = \mathbb{Z}_2 = \mathbb{Z}/2\mathbb{Z}$ (**binary matroids**) : the list has only one matroid $U_{2,4}$ (3 pages proof)

$$\mathcal{B}(U_{2,4}) = \{\{1, 2\}, \{1, 3\}, \{1, 4\}, \{2, 3\}, \{2, 4\}, \{3, 4\}\}$$

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Theorem A matroid is regular if and only if has neither $U_{2,4}$, F_7 nor F_7^* as minors.

Tutte Polynomial

The **Tutte polynomial** of a matroid M is the generating function defined as follows

$$t(M; x, y) = \sum_{X \subseteq E} (x - 1)^{r(E) - r(X)} (y - 1)^{|X| - r(X)}.$$

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$$\begin{aligned} t(U_{2,3}; x, y) &= \sum_{X \subseteq E, |X|=0} (x-1)^{2-0} (y-1)^{0-0} + \sum_{X \subseteq E, |X|=1} (x-1)^{2-1} (y-1)^{1-1} \\ &+ \sum_{X \subseteq E, |X|=2} (x-1)^{2-2} (y-1)^{2-2} + \sum_{X \subseteq E, |X|=3} (x-1)^{2-2} (y-1)^{3-2} \\ &= (x-1)^2 + 3(x-1) + 3(1) + y - 1 \\ &= x^2 - 2x + 1 + 3x - 3 + 3 + y - 1 = x^2 + x + y. \end{aligned}$$

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The Tutte polynomial can be expressed recursively as follows

$$t(M; x, y) = \begin{cases} t(M \setminus e; x, y) + t(M/e; x, y) & \text{if } e \neq \text{isthmus, loop,} \\ x \cdot t(M \setminus e; x, y) & \text{if } e \text{ is an isthmus,} \\ y \cdot t(M/e; x, y) & \text{if } e \text{ is a loop.} \end{cases}$$

Acyclic Orientations

Let $G = (V, E)$ be a connected graph. An **orientation** of G is an orientation of the edges of G .

We say that the orientation is **acyclic** if the oriented graph do not contain an oriented cycle (i.e., a cycle where all its edges are oriented clockwise or anti-clockwise).

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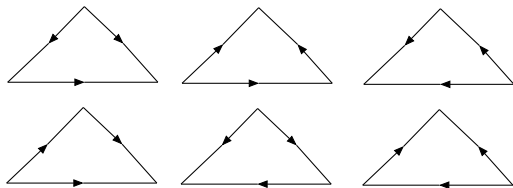
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Theorem The number of acyclic orientations of G is equals to

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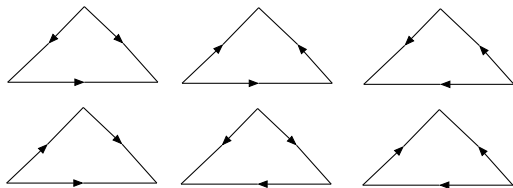
Example : There are 6 acyclic orientations of C_3



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Since $t(U_{2,3}; x, y) = x^2 + x + y$ then the number of acyclic orientations of C_3 is $t(U_{2,3}; 2, 0) = 2^2 + 2 + 0 = 6$.

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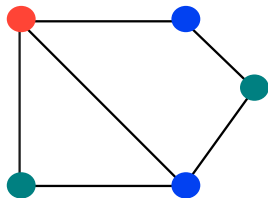
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Theorem $\chi(G, \lambda)$ is a polynomial on λ . Moreover

$$\chi(G, \lambda) = \sum_{X \subseteq E} (-1)^{|X|} \lambda^{\omega(G[X])}$$

where $\omega(G[X])$ denote the number of connected components of the subgraph generated by X .

Proof (idea) By using the inclusion-exclusion formula.

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Exemple : $\chi(K_3, 3) = 3^1 (-1)^{3-1} t(K_3; 1 - 3, 0)$

$$= 3 \cdot 1 \cdot t(U_{2,3}; -2, 0) = 3((-2)^2 - 2 + 0) = 6.$$

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A polytope is called **integer** if all its vertices have integer coordinates.

Ehrhart studied the function i_P that counts the number of integer points in the polytope P *dilated* by a factor of t

$$\begin{aligned}i_P : \mathbb{N} &\longrightarrow \mathbb{N}^* \\ t &\mapsto |tP \cap \mathbb{Z}^d|\end{aligned}$$

Ehrhart Polynomial

Theorem (Ehrhart) i_P is a polynomial on t of degree d ,

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- $c_0 = 1$ is the Euler's characteristic of P .

Ehrhart Polynomial

Theorem (Ehrhart) i_P is a polynomial on t of degree d ,

$$i_P(t) = c_d t^d + c_{d-1} t^{d-1} + \cdots + c_1 t + c_0.$$

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All others coefficients remain a mystery !!

Ehrhart Polynomial

The **Minkowski's sum** of two sets A and B of \mathbb{R}^d is

$$A + B = \{a + b \mid a \in A, b \in B\}.$$

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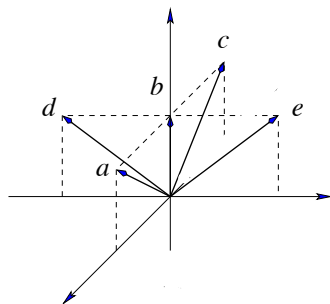
$$A + B = \{a + b \mid a \in A, b \in B\}.$$

Let $A = \{v_1, \dots, v_k\}$ be a finite set of elements of \mathbb{R}^d .

A **zonotope** generated by A , denoted by $Z(A)$, is a polytope formed by the Minkowski's sum of line segments

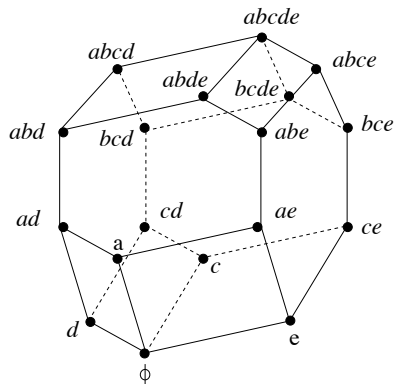
$$Z(A) = \{\alpha_1 v_1 + \dots + \alpha_k v_k \mid \alpha_i \in [0, 1]\}.$$

Ehrhart Polynomial



Ehrhart Polynomial

Permutahedron



Ehrhart Polynomial

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Ehrhart Polynomial

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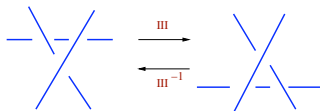
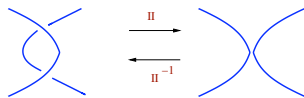
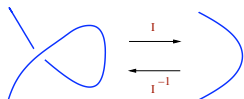
Theorem Let M be a regular matroid and let A be one of its representation matrix. Then, the Ehrhart polynomial associated to the zonotope $Z(A)$ is given by

$$i_{Z(A)}(q) = q^{r(M)} t \left(M; 1 + \frac{1}{q}, 1 \right).$$

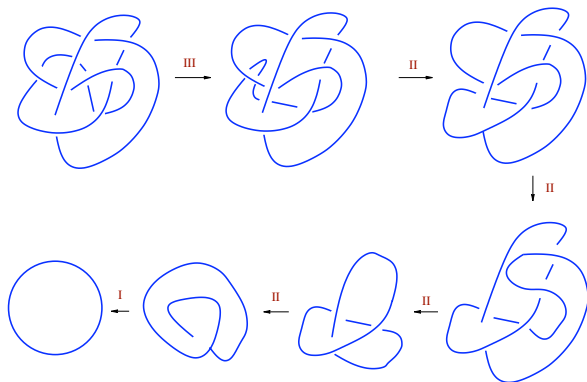
Knots



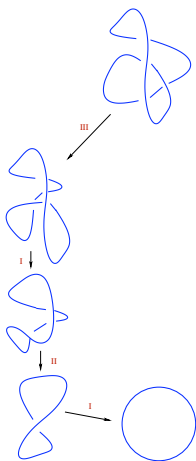
Reidemeister moves



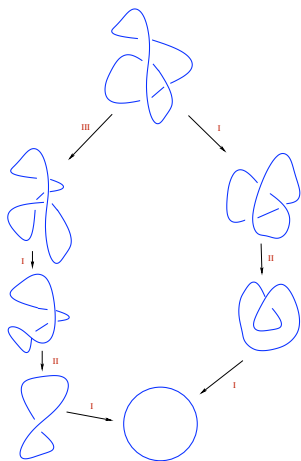
Knots



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Bracket polynomial

For any link diagram D define a Laurent polynomial $\langle D \rangle$ in one variable A which obeys the following three rules where U denotes the **unknot** :

Bracket polynomial

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$$i) \quad \langle U \rangle = 1$$

$$ii) \quad \langle U + D \rangle = -(A^2 + A^{-2}) \langle D \rangle$$

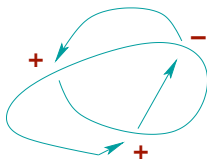
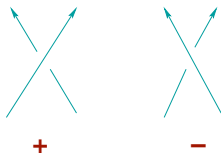
$$iii) \quad \langle \begin{array}{c} \diagup \\ \diagdown \end{array} \rangle = A \langle \begin{array}{c} \diagdown \\ \diagup \end{array} \rangle + A^{-1} \langle \rangle \langle \rangle$$

Theorem For any link L the bracket polynomial is independent of the order in which rules (i) – (iii) are applied to the crossings. Further, it is invariant under the Reidemeister moves II and III but it is not invariant under Reidemeister move I!!

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The **writhe** of an oriented link diagram D is the sum of the signs at the crossings of D (denoted by $\omega(D)$).

Knots



$$\omega(D)=1$$

Theorem For any link L define the Laurent polynomial

$$f_D(A) = (-A^3)^{\omega(D)} \langle L \rangle$$

Then, $f_D(A)$ is an invariant of ambient isotopy.

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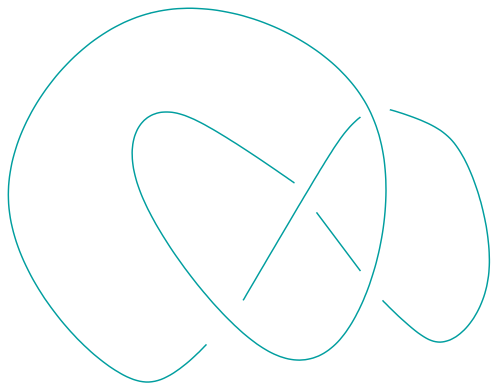
Then, $f_D(A)$ is an invariant of ambient isotopy.

Now, define for any link L

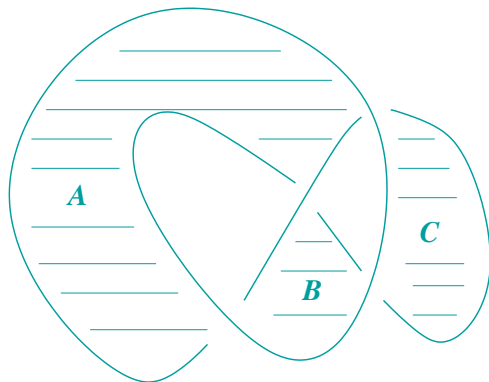
$$V_L(z) = f_D(z^{-1/4})$$

where D is any diagram representing L . Then $V_L(z)$ is the **Jones polynomial** of the oriented link L .

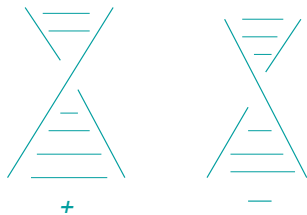
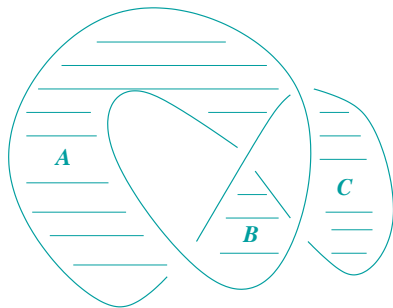
Knots



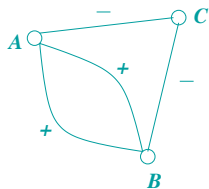
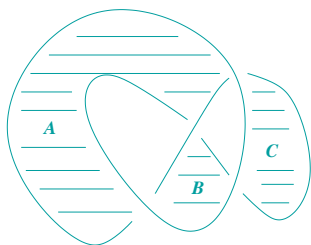
Knots



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A link is **alternating** if there is an alternating link diagram representing L .

Theorem (Thistlethwaite 1987) If D is an oriented alternating link diagram then

$$V_L(z) = (z^{-1/4})^{3\omega(D)-2} t(M(G); -z, -z^{-1})$$

where G is the graph associated to the knot diagram.

More applications

- Code theory
- Flow polynomial
- Bicycle space of a graph
- Statistical mechanics
- Arrangements of hyperplanes

⋮