# Matroid base polytope decomposition

## J.L. Ramírez Alfonsín

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#### Introduction

Let M = (E, B) be a matroid on  $E = \{1, ..., n\}$  where B = B(M) denote the collection of bases.

The set  $\mathcal{B}$  verifies the base exchange axiom :

if  $B_1, B_2 \in \mathcal{B}$  and  $e \in B_1 \setminus B_2$  then there exists  $f \in B_2 \setminus B_1$  such that  $(B_1 - e) + f \in \mathcal{B}$ .

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Let P(M) be the matroid base polytope of M defined as the convex hull of the incidence vector of bases of M, that is,

$$\mathsf{P}(\mathsf{M}) := \mathsf{conv}\left\{\sum_{i\in \mathsf{B}} \mathsf{e}_i: \mathsf{B}\in \mathcal{B}
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where  $e_i$  denotes the  $i^{th}$  standard basis vector in  $\mathbb{R}^n$ .

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Remarks : (a) P(M) is a polytope of dimension at most n-1. (b) P(M) is a facet of the independent polytope of M obtained as the convex hull of the incidence vectors of the independent sets of M. A decomposition of P(M) is a decomposition of the form

$$P(M) = \bigcup_{i=1}^{t} P(M_i)$$

where each  $P(M_i)$  is a matroid base polytope for some matroid  $M_i$ , and for each  $1 \le i \ne j \le t$ , the intersection  $P(M_i) \cap P(M_j)$  is also a matroid base polytope for some matroid (a facet of both  $P(M_i)$  and  $P(M_j)$ ).

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P(M) is said to be decomposable if it has a decomposition with  $t \ge 2$ , and indecomposable otherwise.

A decomposition is called hyperplane split if t = 2.

(Lafforgue) Give a general *compactification* method and proved that such compactification exists if the associated base polytope is indecomposable.



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**Remark** Lafforgue's work implies that for a matroid represented by vectors in  $\mathbb{F}^r$  if P(M) is indecomposable then M will be rigid, that is, M will have only finitely many realizations up to scaling and the action of  $GL(r, \mathbb{F})$ .

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(Ardila, Fink and Rincon) There exist functions that behave like *valuation* on the associated base polytope decomposition.

## Known results

(Kapranov 1993)

• Any decomposition of a rank 2 matroid can be obtained by a sequence of hyperplane splits.



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• Presented five rank 3 matroids on 6 elements such that each of the corresponding base polytope is indecomposable.

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• Any decomposition of a rank 2 matroid can be obtained by a sequence of hyperplane splits.

- (Billera, Jia and Reiner 2009)
- Presented five rank 3 matroids on 6 elements such that each of the corresponding base polytope is indecomposable.
- Provided a decomposition into three indecomposable pieces of P(W) that cannot be obtained via hyperplane splits.



### **Combinatorial decomposition**

A base decomposition of a matroid M is a decomposition of the form

$$\mathcal{B}(M) = \bigcup_{i=1}^{t} \mathcal{B}(M_i)$$

where  $\mathcal{B}(M_k)$ ,  $1 \le k \le t$  and  $\mathcal{B}(M_i) \cap \mathcal{B}(M_j)$ ,  $1 \le i \ne j \le t$  are collections of bases of matroids.

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*M* is said to be combinatorial decomposable if it has a base decomposition. We say that the decomposition is *nontrivial* if  $\mathcal{B}(M_i) \neq \mathcal{B}(M)$  for all *i*. • If P(M) is decomposable then clearly M is combinatorial decomposable.



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• If P(M) is decomposable then clearly M is combinatorial decomposable.

• A combinatorial decomposition do not necessarily induce a base polytope decomposition.

Example :

 $\mathcal{B}(M) = \{\{1,2\},\{1,3\},\{2,3\},\{2,4\},\{3,4\}\}$  admit the combinatorial decomposition

$$\begin{split} \mathcal{B}(M_1) &= \{\{1,2\},\{2,3\},\{2,4\}\} \text{ and } \\ \mathcal{B}(M_2) &= \{\{1,3\},\{2,3\},\{3,4\}\} \end{split}$$

We can verify that  $\mathcal{B}(M_1), \mathcal{B}(M_2)$  and  $\mathcal{B}(M_1) \cap \mathcal{B}(M_2) = \{2, 3\}$  are collection of bases of matroids.

However,  $P(M_1)$  and  $P(M_2)$  do not decompose P(M).



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#### Some geometry

**Proposition** Let *P* be a *d*-polytope with set of vertices *X*. Let *H* be a hyperplane such that  $H \cap P \neq \emptyset$  with *H* not supporting de *P*. Then, *H* divides *P* into two polytopes  $P_1$  and  $P_2$ , that is,  $H \cap P = P_1 \cap P_2 = F \neq \emptyset$ . Also, *H* partition *X* into two sets  $X_1$  et  $X_2$  with  $X_1 \cap X_2 = W$ . Then, for each edge [u, v] of *P* we have  $\{u, v\} \subset X_i$  for i = 1 or 2 if and only if F = conv(W).

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Corollary F = conv(W) if and only if  $P_i = conv(X_i)$ , i = 1, 2 (and thus  $P = P_1 \cup P_2$  with  $P_1$  and  $P_2$  polytopes of the same dimension as P and sharing one facet).

Let  $(E_1, E_2)$  be a partition of E, that is,  $E = E_1 \cup E_2$  and  $E_1 \cap E_2 = \emptyset$ . Let  $r_i > 1$ , i = 1, 2 be the rank of  $M|_{E_i}$ .

 $(E_1, E_2)$  is a good partition if there exist integers  $0 < a_1 < r_1$  and  $0 < a_2 < r_2$  such that :

(P1)  $r_1 + r_2 = r + a_1 + a_2$  and

(P2) for any  $X \in \mathcal{I}(M|_{E_1})$  with  $|X| \leq r_1 - a_1$  and for any  $Y \in \mathcal{I}(M|_{E_2})$  with  $|Y| \leq r_2 - a_2$ we have  $X \cup Y \in \mathcal{I}(M)$ . Let  $(E_1, E_2)$  be a partition of E, that is,  $E = E_1 \cup E_2$  and  $E_1 \cap E_2 = \emptyset$ . Let  $r_i > 1$ , i = 1, 2 be the rank of  $M|_{E_i}$ .

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Lemma Let  $(E_1, E_2)$  be a good partition of E. Let  $\mathcal{B}(M_1) = \{B \in \mathcal{B}(M) : |B \cap E_1| \le r_1 - a_1\}$ 

 $\mathcal{B}(M_2) = \{B \in \mathcal{B}(M) : |B \cap E_2| \le r_2 - a_2\}$ 

with  $r_i$  the rank of  $M|_{E_i}$ , i = 1, 2 and  $a_1, a_2$  verifying (P1) et (P2).

Then,  $\mathcal{B}(M_1)$  and  $\mathcal{B}(M_2)$  are the collections of bases of two matroids, say  $M_1$  and  $M_2$ .

Theorem (Chatelain and R.A. 2011) Let M = (E, B) be a matroid and let  $(E_1, E_2)$  be a good partition of E. Then,  $P(M) = P(M_1) \cup P(M_2)$  is a nontrivial hyperplane split where  $M_1$ and  $M_2$  are the matroids defined in the previous lemma.



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Theorem (Chatelain and R.A. 2011) Let M = (E, B) be a matroid and let  $(E_1, E_2)$  be a good partition of E. Then,  $P(M) = P(M_1) \cup P(M_2)$  is a nontrivial hyperplane split where  $M_1$ and  $M_2$  are the matroids defined in the previous lemma. Proof (idea) (i)  $\mathcal{B}(M) = \mathcal{B}(M_1) \cup \mathcal{B}(M_2)$ , (ii)  $\mathcal{B}(M_1), \mathcal{B}(M_2) \subset \mathcal{B}(M),$ (iii)  $\mathcal{B}(M_1), \mathcal{B}(M_2) \not\subseteq \mathcal{B}(M_1) \cap \mathcal{B}(M_2),$ (iv)  $\mathcal{B}(M_1), \mathcal{B}(M_2), \mathcal{B}(M_1) \cap \mathcal{B}(M_2)$  are collections of bases, (v) there exists a hyperplane containing the vertices corresponding to  $\mathcal{B}(M_1) \cap \mathcal{B}(M_2)$  and not supporting  $\mathcal{P}(M)$ , (vi) each edge of P(M) is an edge of either  $P(M_1)$  or  $P(M_2)$ .

We say that two hyperplane splits  $P(M_1) \cup P(M_2)$  and  $P(M'_1) \cup P(M'_2)$  of P(M) are equivalente if  $P(M_i)$  is combinatorially equivalent to  $P(M'_i)$ , i = 1, 2. They are different otherwise.



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Corollary (Chatelain and R.A. 2011) Let  $n \ge r + 2 \ge 4$  be integers and let  $h(U_{r,n})$  be the number of different hyperplane splits of  $P(U_{r,n})$ . Then,

 $h(U_{r,n})\geq \left\lfloor \frac{n}{2}
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floor-1.$ 

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Example. We consider  $U_{2,4}$ . Then,  $E_1 = \{1,2\}$  and  $E_2 = \{3,4\}$  is a good partition (and thus  $r_1 = r_2 = 2$ ) with  $a_1 = a_2 = 1$ . We have  $\mathcal{B}(M_1) = \{\{1,3\}, \{1,4\}, \{2,3\}, \{2,4\}, \{3,4\}\}, \mathcal{B}(M_2) = \{\{1,2\}, \{1,3\}, \{1,4\}, \{2,3\}, \{2,4\}\}$  and  $\mathcal{B}(M_1) \cap \mathcal{B}(M_2) = \{\{1,3\}, \{1,4\}, \{2,3\}, \{2,4\}\}.$ 

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#### Lattice path matroid

Let m = 3 and r = 4 and let M[Q, P] be the transversal matroid on  $\{1, ..., 7\}$  with presentation  $(N_i : i \in \{1, ..., 4\})$  where  $N_1 = [1, 2, 3, 4], N_2 = [3, 4, 5], N_3 = [5, 6]$  and  $N_4 = [7]$ .

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## Example. Transversal matroids (a) $M_1$ , (b) $M_2$ and (c) $M_1 \cap M_2$ .







(b)

(c)

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Theorem (Chatelain and R.A. 2011) Let  $M_1 = (E_1, B)$  and  $M_2 = (E_2, B)$  be two matroids of ranks  $r_1$  and  $r_2$  respectively where  $E_1 \cap E_2 = \emptyset$ . Then,  $P(M_1 \oplus M_2)$  has a nontrivial hyperplane split if and only if either  $P(M_1)$  or  $P(M_2)$  has a nontrivial hyperplane split.

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Remark : The class of lattice path matroids are closed under direct sum.



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The base matroid graph G(M) of matroid M has a vertices the set of bases and two vertices are joined by an edge if the symmetric difference of the corresponding bases is equals two.

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## The base matroid graph G(M) of matroid M has a vertices the set of bases and two vertices are joined by an edge if the symmetric difference of the corresponding bases is equals two. (Maurer 1973)

- Characterisation of graphs that are base graph of a matroid.
- If x, y are two vertices at distance two then the neighbors of x and y form either a square, a pyramid or an octahedron.

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Theorem (Chatelain and R.A. 2011) Let M be a binary matroid. Then, P(M) do not have a nontrivial hyperplane split.

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Theorem (Chatelain and R.A. 2011) Let M be a binary matroid. Then, P(M) do not have a nontrivial hyperplane split.

Corollary Let M be a binary matroid. If G(M) has a vertex X having exactly d neighbors where d = dim(P(M)) then P(M) is indecomposable.

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**Remark** : The *d*-hypercube is the graph of bases of a binary matroid.

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Remark : The *d*-hypercube is the graph of bases of a binary matroid.

Corollary Let P(M) be the polytope base polytope of the matroid M having as 1-skeleton the d-hypercube. Then, P(M) is indecomposable.

## **Multi-decompositions**

Question : Can we find a *t*-decomposition,  $t \ge 3$  by applying a sequence of hyperplane split?

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## **Multi-decompositions**

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**Recall** : the intersection  $P(M_i) \cap P(M_j)$  must be a matroid for all i, j

Example : 
$$\begin{split} &\mathcal{B}(M_1) = \{\{1,3\}, \{1,4\}, \{2,3\}, \{2,4\}\} \\ &\mathcal{B}(M_2) = \{\{1,2\}, \{1,3\}, \{2,3\}, \{2,4\}, \{3,4\}\} \\ &\text{but} \\ &\mathcal{B}(M_1) \cap \mathcal{B}(M_2) = \{\{1,3\}, \{2,3\}, \{2,4\}\} \text{ is not a matroid.} \end{split}$$

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Let  $t \ge 2$  be an integer with  $r \ge t$ . Let  $E = \bigcup_{i=1}^{t} E_i$  be a *t*-partition of  $E = \{1, \ldots, n\}$  and let  $r_i = r(M|_{E_i}) > 1$ ,  $i = 1, \ldots, t$ .



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Let  $t \ge 2$  be an integer with  $r \ge t$ . Let  $E = \bigcup E_i$  be a *t*-partition of  $E = \{1, ..., n\}$  and let  $r_i = r(M|_{E_i}) > 1$ , i = 1, ..., t.  $0 < a_i < r_i$  with the following properties :  $(P1) r = \sum_{i=1}^{t} a_i,$ (P2)(a) For any *i* with  $1 \le i \le t-1$ if  $X \in \mathcal{I}(M|_{E_1 \cup \dots \cup E_i})$  with  $|X| \leq a_1$  and  $Y \in \mathcal{I}(M|_{E_{i+1}\cup\cdots\cup E_t})$  with  $|Y| \leq a_2$ , then  $X \cup Y \in \mathcal{I}(M)$ .

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(P2)

(b) For any pair j, k with  $1 \le j < k \le t - 1$ 

 $\begin{array}{ll} \text{if } X \in \mathcal{I}(M|_{E_1 \cup \cdots \cup E_j}) & \text{with } |X| \leq \sum_{i=1}^j a_i, \\ Y \in \mathcal{I}(M|_{E_{j+1} \cup \cdots \cup E_k}) & \text{with } |Y| \leq \sum_{i=j+1}^k a_i, \\ Z \in \mathcal{I}(M|_{E_{k+1} \cup \cdots \cup E_t}) & \text{with } |Z| \leq \sum_{i=k+1}^t a_i, \\ \text{then } X \cup Y \cup Z \in \mathcal{I}(M). \end{array}$ 

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Notice that the good 2-partitions provided by (P2) case (a) with t = 2 are the good partitions

Lemma Let  $t \ge 2$  be an integer and let  $E = \bigcup_{i=1}^{t} E_i$  be a good *t*-partition with integers  $0 < a_i < r(M|_{E_i})$ , i=1,...,t. Let

 $\mathcal{B}(M_1) = \{B \in \mathcal{B}(M) : |B \cap E_1| \le a_1\}$ 

and, for each  $j = 2, \ldots, t$ , let

 $\mathcal{B}(M_j) = \{B \in \mathcal{B}(M) : |B \cap E_1| \ge a_1, \dots, |B \cap \bigcup_{i=1}^{j-1} E_i| \ge \sum_{i=1}^{j-1} a_i, \\ |B \cap \bigcup_{i=1}^j E_i| \le \sum_{i=1}^j a_i \}.$ 

Then,  $\mathcal{B}(M_j)$  is the collection of bases of a matroid for each j = 1, ..., t.

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Theorem (Chatelain and R.A. 2014) Let  $t \ge 2$  be an integer and let M = (E, B) be a matroid of rank r. Let  $E = \bigcup_{i=1}^{t} E_i$  be a good t-partition with integers  $0 < a_i < r(M|_{E_i}), i = 1, ..., t$ . Then, P(M) has a sequence of hyperplane splits yielding the decomposition

$$P(M) = \bigcup_{i=1}^{t} P(M_i),$$

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where  $M_i$ ,  $1 \le i \le t$ , are the matroids defined in previous lemma

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#### **Uniform matroid**

Corollary (Chatelain and R.A. 2014) Let  $n, r, t \ge 2$  be integers with  $n \ge r + t$  and  $r \ge t$ . Let  $p_t(n)$  be the number of different decompositions of the integer n of the form  $n = \sum_{i=1}^{t} p_i$  with  $p_i \ge 2$ and let  $h_t(U_{n,r})$  be the number of *different* decompositions of  $P(U_{r,n})$  into t pieces. Then,

 $h_t(U_{r,n}) \geq p_t(n).$ 

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#### Rank 3 matroids

Corollary (Chatelain and R.A. 2014) Let M be a matroid of rank 3 on E and let  $E = E_1 \cup E_2$  be a partition of the points of the geometric representation of M such that

- 1)  $r(M|_{E_1}) \ge 2$  and  $r(M|_{E_2}) = 3$ ;
- 2) for each line *I* of *M*, if  $|I \cap E_1| \neq \emptyset$ , then  $|I \cap E_2| \leq 1$ .
- Then,  $E = E_1 \cup E_2$  is a 2-good partition.

## Example

Let M be the rank-3 matroid arising from the configuration of points given below.



It can be easily checked that  $E_1 = \{1, 2\}$  and  $E_2 = \{3, 4, 5, 6\}$  verify the conditions of the previous Corollary. Thus,  $E_1 \cup E_2$  is a 2-good partition.

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#### Rank 3 matroids

Corollary (Chatelain and R.A. 2014) Let M be a matroid of rank 3 on E and let  $E = E_1 \cup E_2 \cup E_3$  be a partition of the points of the geometric representation of M such that

- 1)  $r(M|_{E_i}) \ge 2$  for each i = 1, 2, 3,
- 2) for each line I with at least 3 points of M,
- a) if  $|I \cap E_1| \neq \emptyset$  then  $|I \cap (E_2 \cup E_3)| \leq 1$ ,
- b) if  $|I \cap E_3| \neq \emptyset$  then  $|I \cap (E_1 \cup E_2)| \leq 1$ .
- Then,  $E = E_1 \cup E_2 \cup E_3$  is a 3-good partition.

#### Example

Let W be the matroid shown below



It can be checked that  $E_1 = \{1, 6\}$ ,  $E_2 = \{2, 5\}$ , and  $E_3 = \{3, 4\}$  verify the conditions of the previous Corollary. Thus,  $E_1 \cup E_2 \cup E_3$  is a good 3-partition.

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#### **Direct sum**

Theorem (Chatelain and R.A. 2014) Let  $M_1 = (E_1, B)$  and  $M_2 = (E_2, B)$  be matroids of rank  $r_1$  and  $r_2$  respectively where  $E_1 \cap E_2 = \emptyset$ . Then,  $P(M_1 \oplus M_2)$  admits a sequence of t hyperplane splits if either  $P(M_1)$  or  $P(M_2)$  admits a sequence of t hyperplane splits.