Matroid base polytope decomposition

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(joint work with V. Chatelain)

Introduction

Let M = (E, B) be a matroid on $E = \{1, ..., n\}$ where B = B(M) denote the collection of bases.

The set ${\cal B}$ verifies the base exchange axiom :

if $B_1, B_2 \in \mathcal{B}$ and $e \in B_1 \setminus B_2$ then there exists $f \in B_2 \setminus B_1$ such that $(B_1 - e) + f \in \mathcal{B}$.

Let P(M) be the matroid base polytope of M defined as the convex hull of the incidence vector of bases of M, that is,

$$P(M) := conv \left\{ \sum_{i \in B} e_i : B \in \mathcal{B} \right\}$$

where e_i denotes the i^{th} standard basis vector in \mathbb{R}^n .

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Remarks:

- (a) P(M) is a polytope of dimension at most n-1.
- (b) P(M) is a facet of the independent polytope of M obtained as the convex hull of the incidence vectors of the independent sets of M.

A decomposition of P(M) is a decomposition of the form

$$P(M) = \bigcup_{i=1}^t P(M_i)$$

where each $P(M_i)$ is a matroid base polytope for some matroid M_i , and for each $1 \le i \ne j \le t$, the intersection $P(M_i) \cap P(M_j)$ is also a matroid base polytope for some matroid (a facet of both $P(M_i)$ and $P(M_j)$).

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A decomposition is called hyperplane split if t = 2.

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Remark Lafforgue's work implies that for a matroid represented by vectors in \mathbb{F}^r if P(M) is indecomposable then M will be rigid, that is, M will have only finitely many realizations up to scaling and the action of $GL(r,\mathbb{F})$.

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(Ardila, Fink and Rincon) There exist functions that behave like *valuation* on the associated base polytope decomposition.



Known results

(Kapranov 1993)

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• Presented five rank 3 matroids on 6 elements such that each of the corresponding base polytope is indecomposable.

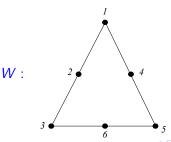
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- Presented five rank 3 matroids on 6 elements such that each of the corresponding base polytope is indecomposable.
- Provided a decomposition into three indecomposable pieces of P(W) that cannot be obtained via hyperplane splits.



Combinatorial decomposition

A base decomposition of a matroid M is a decomposition of the form

$$\mathcal{B}(M) = \bigcup_{i=1}^t \mathcal{B}(M_i)$$

where $\mathcal{B}(M_k)$, $1 \le k \le t$ and $\mathcal{B}(M_i) \cap \mathcal{B}(M_j)$, $1 \le i \ne j \le t$ are collections of bases of matroids.

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M is said to be combinatorial decomposable if it has a base decomposition.

We say that the decomposition is *nontrivial* if $\mathcal{B}(M_i) \neq \mathcal{B}(M)$ for all i.

• If P(M) is decomposable then clearly M is combinatorial decomposable.

- If P(M) is decomposable then clearly M is combinatorial decomposable.
- A combinatorial decomposition do not necessarily induce a base polytope decomposition.

Example:

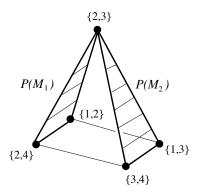
 $\mathcal{B}(M) = \{\{1,2\},\{1,3\},\{2,3\},\{2,4\},\{3,4\}\}$ admit the combinatorial decomposition

$$\mathcal{B}(M_1) = \{\{1,2\},\{2,3\},\{2,4\}\}$$
 and $\mathcal{B}(M_2) = \{\{1,3\},\{2,3\},\{3,4\}\}$

We can verify that $\mathcal{B}(M_1)$, $\mathcal{B}(M_2)$ and $\mathcal{B}(M_1) \cap \mathcal{B}(M_2) = \{2,3\}$ are collection of bases of matroids.

However, $P(M_1)$ and $P(M_2)$ do not decompose P(M).





Some geometry

Proposition Let P be a d-polytope with set of vertices X. Let H be a hyperplane such that $H \cap P \neq \emptyset$ with H not supporting de P. Then, H divides P into two polytopes P_1 and P_2 , that is, $H \cap P = P_1 \cap P_2 = F \neq \emptyset$. Also, H partition X into two sets X_1 et X_2 with $X_1 \cap X_2 = W$. Then, for each edge [u, v] of P we have $\{u, v\} \subset X_i$ for i = 1 or 2 if and only if F = conv(W).

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Corollary F = conv(W) if and only if $P_i = conv(X_i)$, i = 1, 2 (and thus $P = P_1 \cup P_2$ with P_1 and P_2 polytopes of the same dimension as P and sharing one facet).

Let (E_1, E_2) be a partition of E, that is, $E = E_1 \cup E_2$ and $E_1 \cap E_2 = \emptyset$. Let $r_i > 1$, i = 1, 2 be the rank of $M|_{E_i}$.

 (E_1, E_2) is a good partition if there exist integers $0 < a_1 < r_1$ and $0 < a_2 < r_2$ such that :

- (P1) $r_1 + r_2 = r + a_1 + a_2$ and
- (P2) for any $X \in \mathcal{I}(M|_{E_1})$ with $|X| \leq r_1 a_1$ and for any $Y \in \mathcal{I}(M|_{E_2})$ with $|Y| \leq r_2 a_2$ we have $X \cup Y \in \mathcal{I}(M)$.

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(P1)
$$r_1 + r_2 = r + a_1 + a_2$$
 and

(P2) for any $X \in \mathcal{I}(M|_{E_1})$ with $|X| \le r_1 - a_1$ and for any $Y \in \mathcal{I}(M|_{E_2})$ with $|Y| \le r_2 - a_2$ we have $X \cup Y \in \mathcal{I}(M)$.

Lemma Let (E_1, E_2) be a good partition of E. Let

$$\mathcal{B}(M_1) = \{B \in \mathcal{B}(M) : |B \cap E_1| \le r_1 - a_1\}$$

$$\mathcal{B}(M_2) = \{ B \in \mathcal{B}(M) : |B \cap E_2| \le r_2 - a_2 \}$$

with r_i the rank of $M|_{E_i}$, i = 1, 2 and a_1, a_2 verifying (P1) et (P2).

Then, $\mathcal{B}(M_1)$ and $\mathcal{B}(M_2)$ are the collections of bases of two matroids, say M_1 and M_2 .



Theorem (Chatelain and R.A. 2011) Let $M = (E, \mathcal{B})$ be a matroid and let (E_1, E_2) be a good partition of E. Then, $P(M) = P(M_1) \cup P(M_2)$ is a nontrivial hyperplane split where M_1 and M_2 are the matroids defined in the previous lemma.

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Proof (idea) (i) $\mathcal{B}(M) = \mathcal{B}(M_1) \cup \mathcal{B}(M_2)$,

- (ii) $\mathcal{B}(M_1), \mathcal{B}(M_2) \subset \mathcal{B}(M)$,
- (iii) $\mathcal{B}(M_1), \mathcal{B}(M_2) \not\subseteq \mathcal{B}(M_1) \cap \mathcal{B}(M_2),$
- (iv) $\mathcal{B}(M_1), \mathcal{B}(M_2), \mathcal{B}(M_1) \cap \mathcal{B}(M_2)$ are collections of bases,
- (v) there exists a hyperplane containing the vertices corresponding to $\mathcal{B}(M_1) \cap \mathcal{B}(M_2)$ and not supporting P(M),
- (vi) each edge of P(M) is an edge of either $P(M_1)$ or $P(M_2)$.



We say that two hyperplane splits $P(M_1) \cup P(M_2)$ and $P(M_1') \cup P(M_2')$ of P(M) are equivalente if $P(M_i)$ is combinatorially equivalent to $P(M_i')$, i = 1, 2. They are different otherwise.

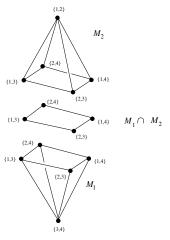
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Corollary (Chatelain and R.A. 2011) Let $n \ge r + 2 \ge 4$ be integers and let $h(U_{r,n})$ be the number of different hyperplane splits of $P(U_{r,n})$. Then,

$$h(U_{r,n}) \geq \left\lfloor \frac{n}{2} \right\rfloor - 1.$$

Example. We consider $U_{2,4}$. Then, $E_1 = \{1,2\}$ and $E_2 = \{3,4\}$ is a good partition (and thus $r_1 = r_2 = 2$) with $a_1 = a_2 = 1$. We have $\mathcal{B}(M_1) = \{\{1,3\}, \{1,4\}, \{2,3\}, \{2,4\}, \{3,4\}\},$ $\mathcal{B}(M_2) = \{\{1,2\}, \{1,3\}, \{1,4\}, \{2,3\}, \{2,4\}\}$ and $\mathcal{B}(M_1) \cap \mathcal{B}(M_2) = \{\{1,3\}, \{1,4\}, \{2,3\}, \{2,4\}\}.$

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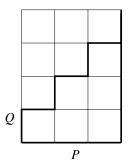


Lattice path matroid

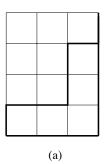
Let m=3 and r=4 and let M[Q,P] be the transversal matroid on $\{1,\ldots,7\}$ with presentation $(N_i:i\in\{1,\ldots,4\})$ where $N_1=[1,2,3,4],\ N_2=[3,4,5],\ N_3=[5,6]$ and $N_4=[7].$

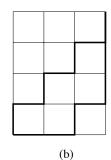
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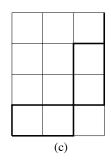
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Example. Transversal matroids (a) M_1 , (b) M_2 and (c) $M_1 \cap M_2$.







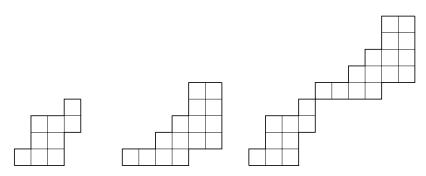
Theorem (Chatelain and R.A. 2011) Let $M_1 = (E_1, \mathcal{B})$ and $M_2 = (E_2, \mathcal{B})$ be two matroids of ranks r_1 and r_2 respectively where $E_1 \cap E_2 = \emptyset$. Then, $P(M_1 \oplus M_2)$ has a nontrivial hyperplane split if and only if either $P(M_1)$ or $P(M_2)$ has a nontrivial hyperplane split.

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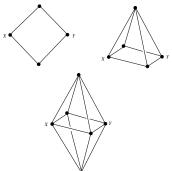
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Corollary Let P(M) be the polytope base polytope of the matroid M having as 1-skeleton the d-hypercube. Then, P(M) is indecomposable.

Multi-decompositions

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Example:

$$\mathcal{B}(M_1) = \{\{1,3\}, \{1,4\}, \{2,3\}, \{2,4\}\}$$

$$\mathcal{B}(M_2) = \{\{1,2\}, \{1,3\}, \{2,3\}, \{2,4\}, \{3,4\}\}$$
 but
$$\mathcal{B}(M_1) \cap \mathcal{B}(M_2) = \{\{1,3\}, \{2,3\}, \{2,4\}\} \text{ is not a matroid.}$$

Let $t \ge 2$ be an integer with $r \ge t$. Let $E = \bigcup_{i=1}^t E_i$ be a t-partition of $E = \{1, \ldots, n\}$ and let $r_i = r(M|_{E_i}) > 1$, $i = 1, \ldots, t$.

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We say that $\bigcup_{i=1}^{t} E_i$ is a good t-partition if there exist integers $0 < a_i < r_i$ with the following properties :

$$(P1) r = \sum_{i=1}^t a_i,$$

(P2)

(a) For any j with $1 \le j \le t-1$

if $X \in \mathcal{I}(M|_{E_1 \cup \cdots \cup E_j})$ with $|X| \leq a_1$ and $Y \in \mathcal{I}(M|_{E_{j+1} \cup \cdots \cup E_t})$ with $|Y| \leq a_2$, then $X \cup Y \in \mathcal{I}(M)$.

(P2)

(b) For any pair j, k with $1 \le j < k \le t - 1$

$$\begin{array}{ll} \text{if } X \in \mathcal{I}(M|_{E_1 \cup \cdots \cup E_j}) & \text{with } |X| \leq \sum\limits_{i=1}^{j} a_i, \\ Y \in \mathcal{I}(M|_{E_{j+1} \cup \cdots \cup E_k}) & \text{with } |Y| \leq \sum\limits_{i=j+1}^{k} a_i, \\ Z \in \mathcal{I}(M|_{E_{k+1} \cup \cdots \cup E_t}) & \text{with } |Z| \leq \sum\limits_{i=k+1}^{t} a_i, \\ \text{then } X \cup Y \cup Z \in \mathcal{I}(M). \end{array}$$

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Notice that the good 2-partitions provided by (P2) case (a) with t=2 are the good partitions

Lemma Let $t \ge 2$ be an integer and let $E = \bigcup_{i=1}^{l} E_i$ be a good t-partition with integers $0 < a_i < r(M|_{E_i})$, $i=1,\ldots,t$. Let

$$\mathcal{B}(M_1) = \{B \in \mathcal{B}(M) : |B \cap E_1| \le a_1\}$$

and, for each $j = 2, \ldots, t$, let

$$\mathcal{B}(M_j) = \{ B \in \mathcal{B}(M) : |B \cap E_1| \ge a_1, \dots, |B \cap \bigcup_{i=1}^{j-1} E_i| \ge \sum_{i=1}^{j-1} a_i, \\ |B \cap \bigcup_{i=1}^{j} E_i| \le \sum_{i=1}^{j} a_i \right\}.$$

Then, $\mathcal{B}(M_j)$ is the collection of bases of a matroid for each $j=1,\ldots,t$.



Theorem (Chatelain and R.A. 2014) Let $t \geq 2$ be an integer and let $M = (E, \mathcal{B})$ be a matroid of rank r. Let $E = \bigcup_{i=1}^{t} E_i$ be a good t-partition with integers $0 < a_i < r(M|_{E_i})$, $i = 1, \ldots, t$. Then, P(M) has a sequence of hyperplane splits yielding the decomposition

$$P(M) = \bigcup_{i=1}^{t} P(M_i),$$

where M_i , $1 \le i \le t$, are the matroids defined in previous lemma

Uniform matroid

Corollary (Chatelain and R.A. 2014) Let $n, r, t \geq 2$ be integers with $n \geq r + t$ and $r \geq t$. Let $p_t(n)$ be the number of different decompositions of the integer n of the form $n = \sum_{i=1}^t p_i$ with $p_i \geq 2$ and let $h_t(U_{n,r})$ be the number of different decompositions of $P(U_{r,n})$ into t pieces. Then,

$$h_t(U_{r,n}) \geq p_t(n)$$
.

Rank 3 matroids

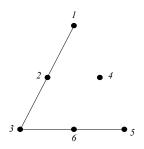
Corollary (Chatelain and R.A. 2014) Let M be a matroid of rank 3 on E and let $E = E_1 \cup E_2$ be a partition of the points of the geometric representation of M such that

- 1) $r(M|_{E_1}) \ge 2$ and $r(M|_{E_2}) = 3$;
- 2) for each line I of M, if $|I \cap E_1| \neq \emptyset$, then $|I \cap E_2| \leq 1$.

Then, $E = E_1 \cup E_2$ is a 2-good partition.

Example

Let M be the rank-3 matroid arising from the configuration of points given below.



It can be easily checked that $E_1 = \{1,2\}$ and $E_2 = \{3,4,5,6\}$ verify the conditions of the previous Corollary. Thus, $E_1 \cup E_2$ is a 2-good partition.

Rank 3 matroids

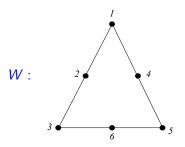
Corollary (Chatelain and R.A. 2014) Let M be a matroid of rank 3 on E and let $E = E_1 \cup E_2 \cup E_3$ be a partition of the points of the geometric representation of M such that

- 1) $r(M|_{E_i}) \ge 2$ for each i = 1, 2, 3,
- 2) for each line I with at least 3 points of M,
- a) if $|I \cap E_1| \neq \emptyset$ then $|I \cap (E_2 \cup E_3)| \leq 1$,
- b) if $|I \cap E_3| \neq \emptyset$ then $|I \cap (E_1 \cup E_2)| \leq 1$.

Then, $E = E_1 \cup E_2 \cup E_3$ is a 3-good partition.

Example

Let W be the matroid shown below



It can be checked that $E_1 = \{1,6\}$, $E_2 = \{2,5\}$, and $E_3 = \{3,4\}$ verify the conditions of the previous Corollary. Thus, $E_1 \cup E_2 \cup E_3$ is a good 3-partition.

Direct sum

Theorem (Chatelain and R.A. 2014) Let $M_1 = (E_1, \mathcal{B})$ and $M_2 = (E_2, \mathcal{B})$ be matroids of rank r_1 and r_2 respectively where $E_1 \cap E_2 = \emptyset$. Then, $P(M_1 \oplus M_2)$ admits a sequence of t hyperplane splits if either $P(M_1)$ or $P(M_2)$ admits a sequence of t hyperplane splits.