

# Matroids Polytope and Ehrhart polynomial

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*São Paulo, Brasil*

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# Ehrhart theory

A **Lattice polytope**  $P \subset \mathbb{R}^d$  is a convex hull of a finite set of points in  $\mathbb{Z}^d$ . For  $k \in \mathbb{Z}_{>0}$  let  $L_P(k) := \#(kP \cap \mathbb{Z}^d)$

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## Example

$$Q_2 = \text{conv}\{(0,0), (1,0), (0,1), (1,1)\} = \{x, y \in \mathbb{R} : 0 \leq x, y \leq 1\}.$$

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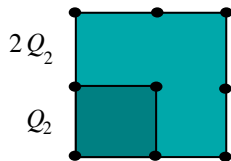
$$\begin{array}{c|c} k & 1 \\ \hline L_{Q_2}(k) & 4 \end{array}$$

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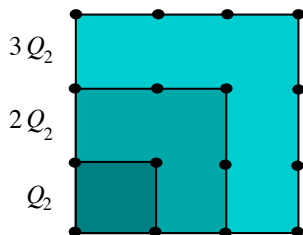
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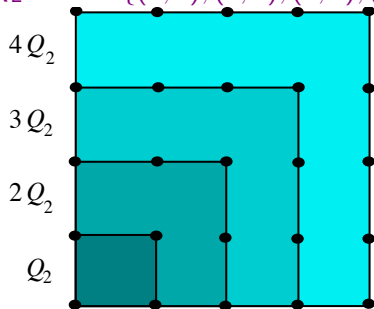
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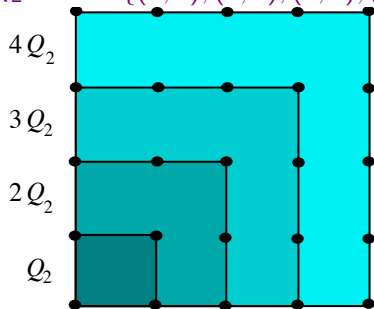
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$$L_{Q_2}(k) = (k+1)^2$$

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Therefore,  $(-1)^{\dim(P)} L_P(-k)$  enumerates the interior lattice points in  $kP$ .



# Permutahedron

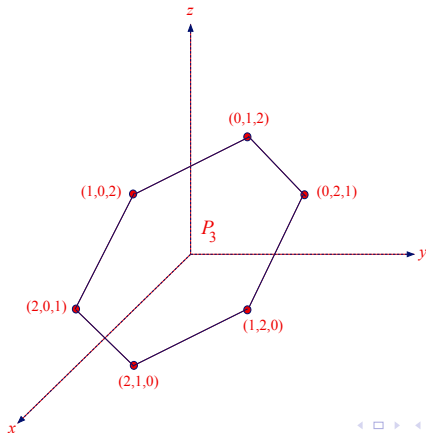
The  $d$ -dimensional **permutahedron**  $P_d$  is defined as

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**Theorem**

$$L_{C_d}(k) = \sum_{i=0}^d f_i k^i$$

where  $f_i = \text{vol}(C_i(t_1, \dots, t_n))$ .

# Ehrhart series

## The standard $d$ -simplex

$$\begin{aligned}\Delta &= \{\mathbf{x} \in \mathbb{R}_{\geq 0}^d : x_1 + \cdots + x_d \leq 1\} \\ &= \text{conv}\{(0, \dots, 0), (1, 0, \dots, 0), (0, 1, 0, \dots, 0), \dots, (0, \dots, 0, 1)\}\end{aligned}$$

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This motivates to define the **Ehrhart series** of the lattice polytope  $P$  as

$$\text{Ehr}_P(z) := 1 + \sum_{t \geq 1} L_P(t) z^t$$

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**Ehrhart's theorem (Equivalent)** For any lattice polytope  $P$  of dimension  $d$  the Ehrhart series  $Ehr_P(z)$  is a rational function of the form

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- **Theorem (Stanley 1980)**  $h_0^*, \dots, h_d^*$  are nonnegative integers

# Independents

A **matroid**  $M$  is an ordered pair  $(E, \mathcal{I})$  where  $E$  is a finite set ( $E = \{1, \dots, n\}$ ) and  $\mathcal{I}$  is a family of subsets of  $E$  verifying the following conditions :

- (I1)  $\emptyset \in \mathcal{I}$ ,
- (I2) If  $I \in \mathcal{I}$  and  $I' \subset I$  then  $I' \in \mathcal{I}$ ,
- (I3) If  $I_1, I_2 \in \mathcal{I}$  and  $|I_1| < |I_2|$  then there exists  $e \in I_2 \setminus I_1$  such that  $I_1 \cup e \in \mathcal{I}$ .

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The **rank** of a set  $X \subseteq E$  is defined by

$$r_M(X) = \max\{|Y| : Y \subseteq X, Y \in \mathcal{I}\}.$$

# Bases

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The family  $\mathcal{B}$  verifies the following conditions :

(B1)  $\mathcal{B} \neq \emptyset$ ,

(B2) (**exchange property**)  $B_1, B_2 \in \mathcal{B}$  and  $x \in B_1 \setminus B_2$  then there exist  $y \in B_2 \setminus B_1$  such that  $(B_1 \setminus x) \cup y \in \mathcal{B}$ .

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- Let  $G = (V, E)$  be a graph with  $|V| = n$  and  $|E| = m$ . Let  $\mathcal{B}$  be the set of all **maximal forest** in  $G$ .

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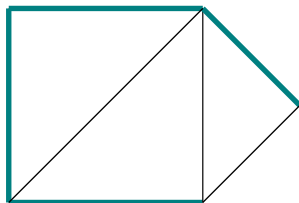
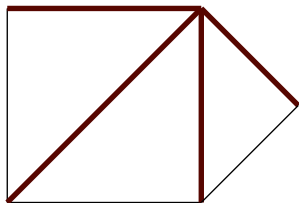
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Then,  $M(G) = (\mathcal{B}, E)$  is a matroid with  $r(M(G)) = n - c$  where  $c$  is the number of connected components of  $G$ .

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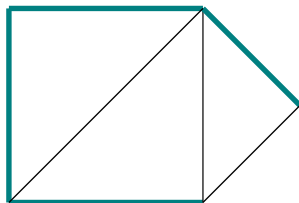
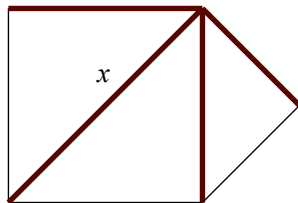
## Example





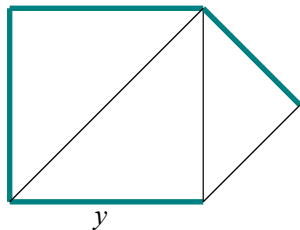
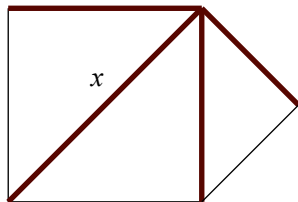
# Bases

## Example



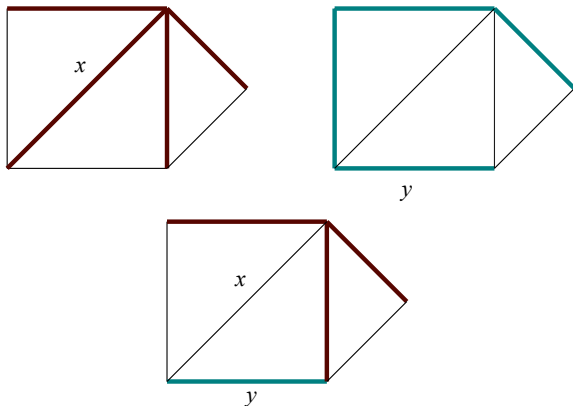
# Bases

## Example



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# Matroid base polytope

Let  $M = (\mathcal{B}, E)$  with  $|E| = n$ . For each base  $B \in \mathcal{B}$ , the incident vector  $e_B \in \mathbb{R}^E$  is defined by

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where  $e_i$  denotes  $i^{\text{th}}$  standard base vector in  $\mathbb{R}^n$ .

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Let  $\Delta_E$  be the **simplexe** in  $\mathbb{R}^E$ , i.e.,

$$\Delta_E = \text{conv}(e_i : i \in E) = \left\{x \in \mathbb{R}^E : \sum_{i \in E} x_i = 1, x_i \geq 0 \text{ for all } i \in E\right\}$$

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Theorem (Gel'fand, Goresky, MacPherson, Serganova 1987)

Let  $P \subseteq \mathbb{R}^E$  be a convex polytope. Then,  $P$  is the base polytope of a matroid  $M = (\mathcal{B}, E)$  if and only if

- $P_M \subseteq r\Delta_E$  where  $r = r(M)$  (implying that  $\dim(P) \leq n - 1$ )
- the vertices of  $P$  belong to  $\{0, 1\}^E$  and
- each edge of  $P_M$  is a translation of  $\text{conv}(e_i, e_j)$  for all  $i, j \in E, i \neq j$ .

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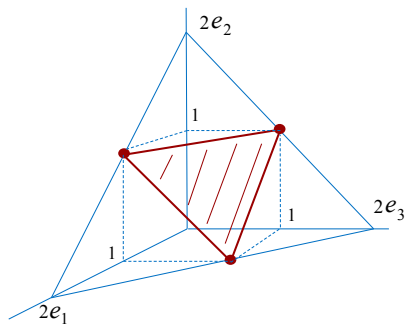


# Example

$$P_{U_{2,3}} = \text{conv}\{(1, 1, 0), (1, 0, 1), (0, 1, 1)\}$$

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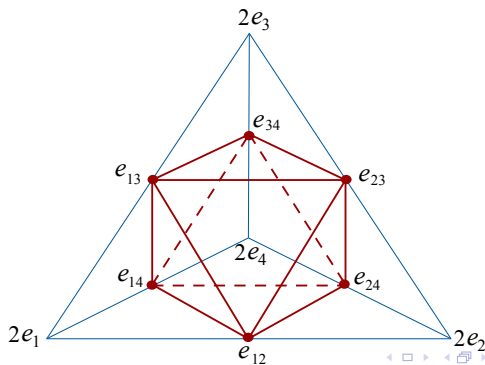


# Example

$$P_{U_{2,4}} = \text{conv} \left\{ \begin{pmatrix} 1 \\ 1 \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 1 \\ 0 \\ 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ 0 \\ 1 \end{pmatrix}, \begin{pmatrix} 0 \\ 0 \\ 1 \\ 1 \end{pmatrix} \right\} \subset \mathbb{R}^4$$

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# Matroid base polytope

**Proposition** Let  $M$  be a matroid on  $E$ . Then,  
 $i \sim j \Leftrightarrow i = j$  or there exist bases  $A$  and  $B$  such that  $B = (A \setminus i) \cup j$   
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The equivalent classes are the **connected components** of  $M$ . We denote by  $c(M)$  the number of connected components of  $M$  and we say that  $M$  is **connected** if  $c(M) = 1$ .

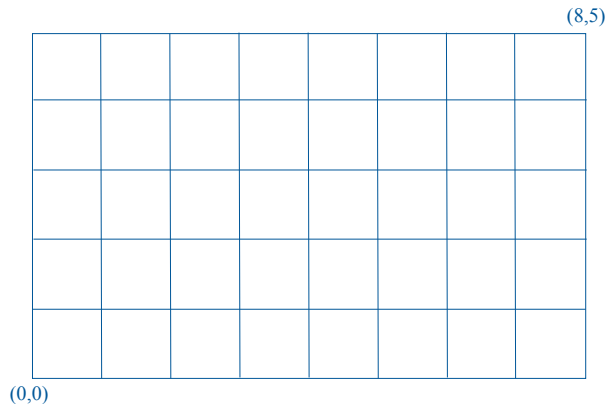
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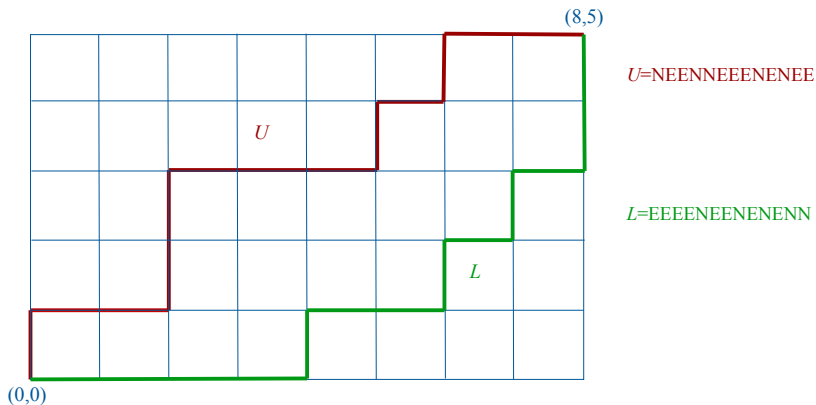
**Theorem**  $\dim(P_M) = n - c(M)$  where  $n = |E|$ .

# Lattice path matroid

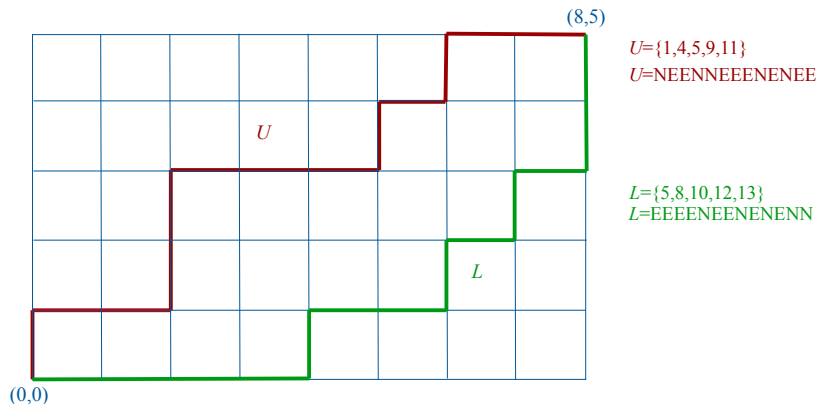




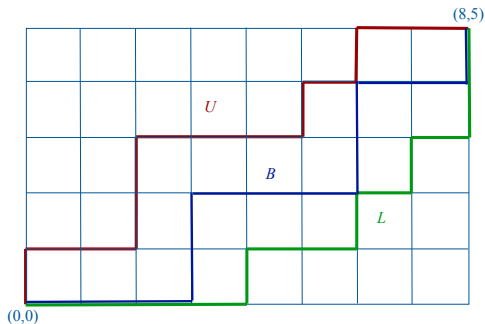
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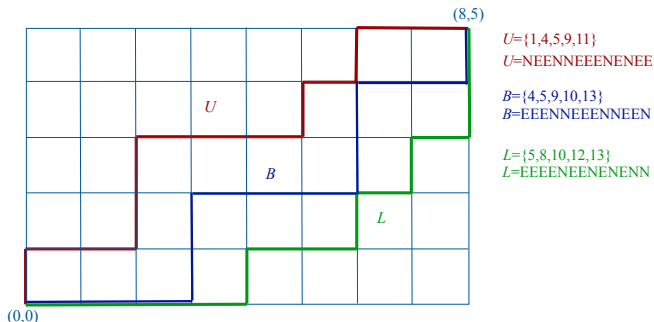


$U = \{1, 4, 5, 9, 11\}$   
 $U = \text{NEENNEEENENEE}$

$B = \{4, 5, 9, 10, 13\}$   
 $B = \text{EEENNEEENNEEN}$

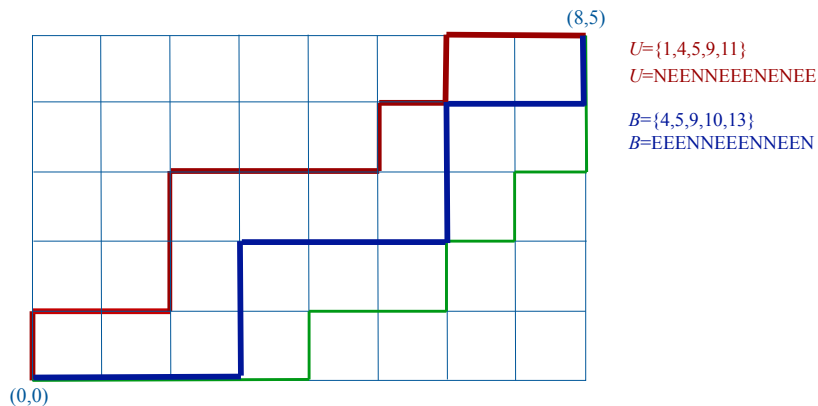
$L = \{5, 8, 10, 12, 13\}$   
 $L = \text{EEEEENEENENENN}$

# Lattice path matroid

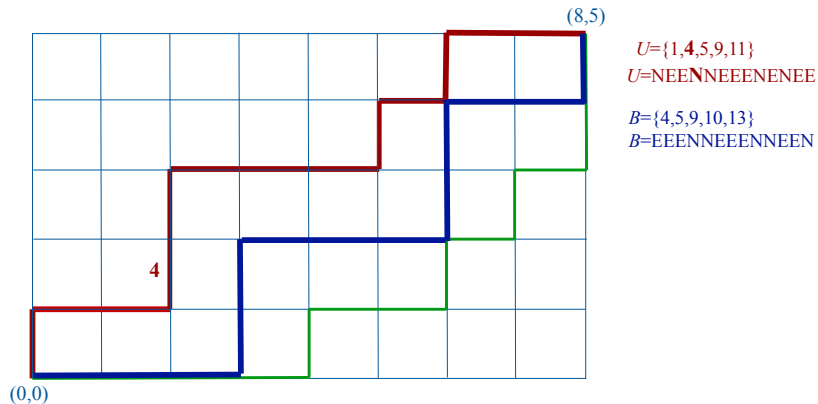


$M[U, L]$  lattice path matroid (LPM) of rank  $r$  ( $\#$  rows) on  $r + m$  ( $\#$  rows +  $\#$  columns) elements.

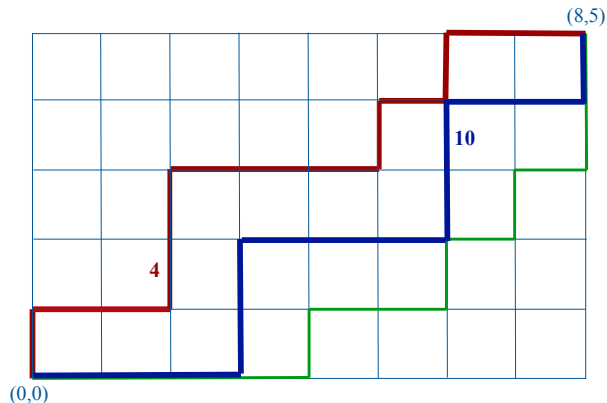
# LPM base exchange base



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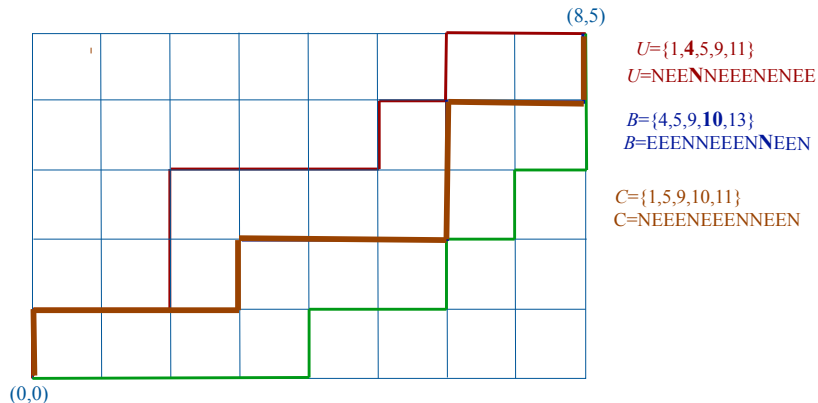
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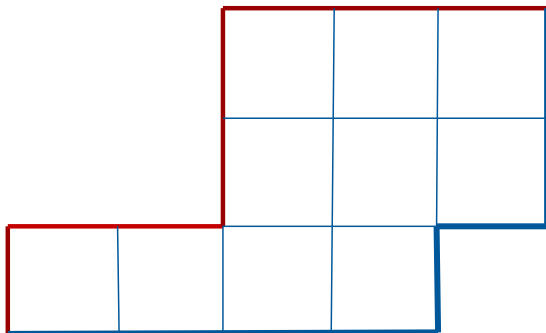
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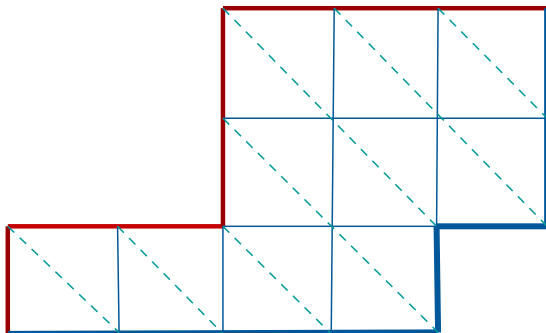




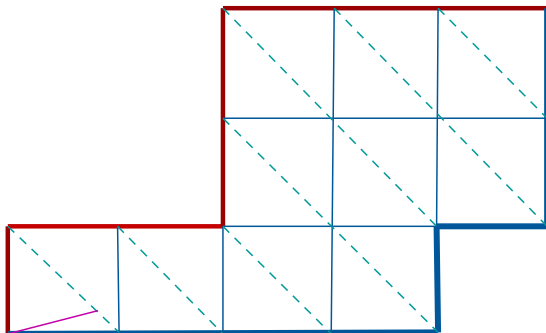
# Generalized lattice path



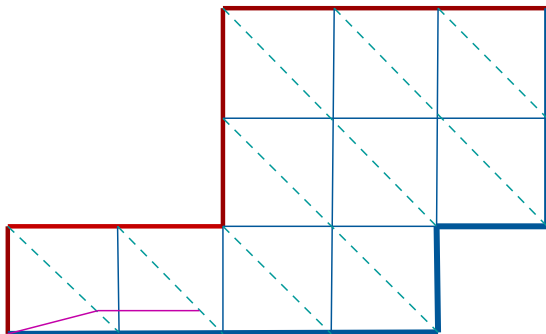
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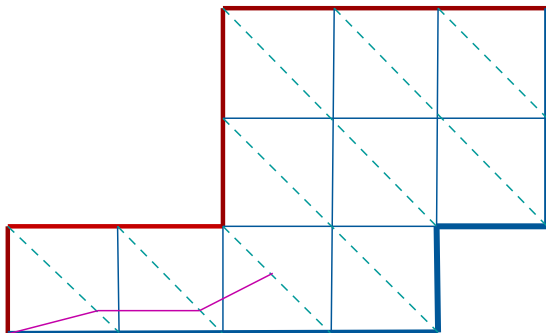
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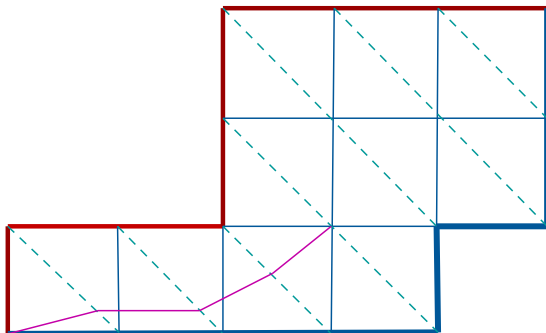
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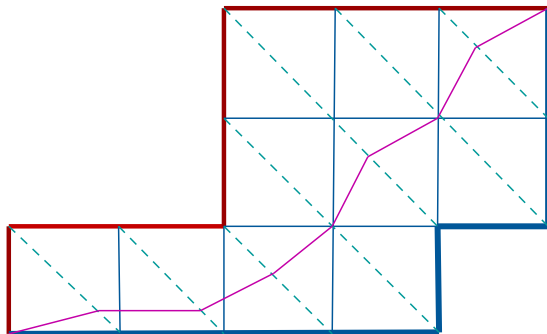
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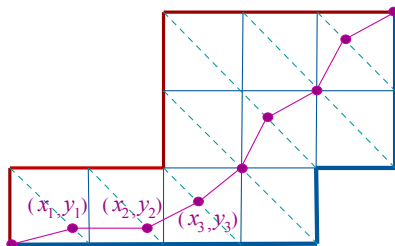


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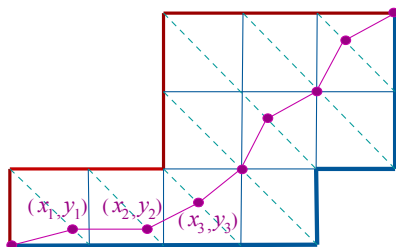
A **generalized path**  $P$  starts at  $(0, 0)$  and ends at  $(r, r + m)$  and it is monotonously increasing  $x_i \leq x_{i+1}$  and  $y_i \leq y_{i+1}$ .





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Let  $st(P) = (p_1, \dots, p_{r+m})$  where  $p_{i+1} = y_{i+1} - y_i$  for each  $i$ .  
We call  $st(P)$  **step vector** of  $P$ .

# Characterizing step vectors

Theorem (Knauer, Martinez-Sandoval, R.A., 2017)

Let  $M[U, L]$  be a LPM of rank  $r$  on  $r + m$  elements.

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- Any generalized path stay between  $U$  and  $L$ .

# Points in LPM polytope

Theorem (Knauer, Martinez-Sandoval, R.A., 2017)

Let  $M = M[U, L]$  be a LPM of rank  $r$  on  $r + m$  elements and let  $P_M$  be the matroid polytope. Then,  $P_M = \mathcal{C}_M$ .

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Proof (idea).

$P_M = \text{conv}\{\text{characteristic vectors of } \mathcal{B}(M)\} \subseteq \text{conv}\{\mathcal{C}_M\} = \mathcal{C}_M$ .



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Let  $C_M^k$  be the family of step vectors of all generalized paths  $P$  in  $M = [U, L]$  such that each  $(x_i, y_i)$  in  $P$  satisfy  $kx_i, ky_i \in \mathbb{Z}$ .

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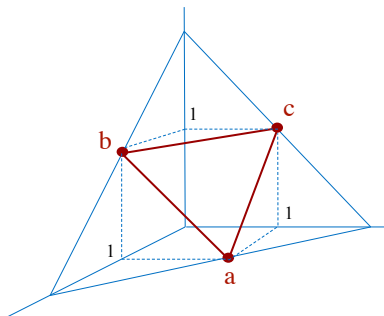
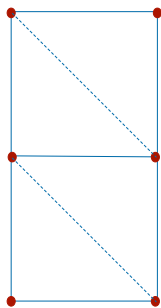
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$$kP_M \cap \mathbb{Z}^{r+m} = C_M^k$$

# Integer points in LPM polytopes

**Example :** Consider  $P_{U_{2,3}}$



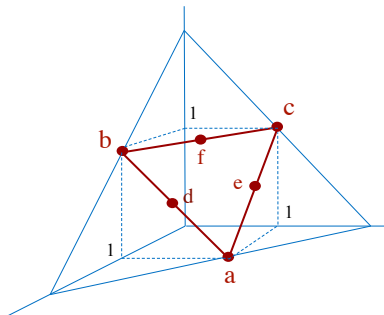
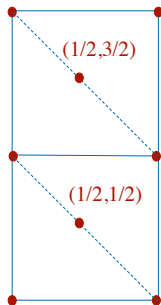
$$a=(1,1,0)$$

$$b=(1,0,1)$$

$$c=(0,1,1)$$

# Integer points in LPM polytopes

**Example :** Construct paths in  $\mathcal{C}_{U_{2,3}}^2$



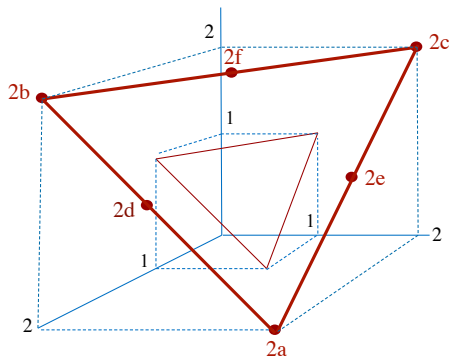
$$a=(1,1,0) \quad d=(1,1/2,1/2)$$

$$b=(1,0,1) \quad e=(1/2,1,1/2)$$

$$c=(0,1,1) \quad f=(1/2,1/2,1)$$

# Integer points in LPM polytopes

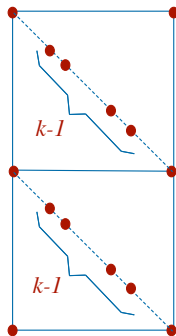
Example :  $2P_{U_{2,3}}$



$$\begin{aligned} 2a &= (2, 2, 0) & 2d &= (2, 1, 1) \\ 2b &= (2, 0, 2) & 2e &= (1, 2, 1) \\ 2c &= (0, 2, 2) & 2f &= (1, 1, 2) \end{aligned}$$

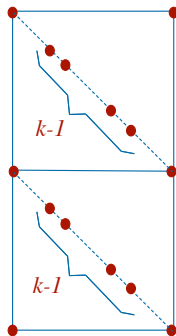
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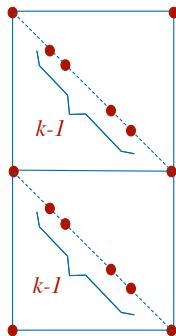


$C_{U_{2,3}}^k$



# Integer points in LPM polytopes

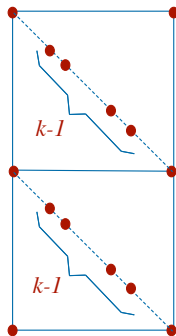
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$$C_{U_{2,3}}^k = \frac{1}{2}(k+1)(k+2)$$

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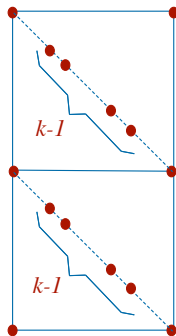
Let us consider  $kP_{U_{2,3}}$



$$C_{U_{2,3}}^k = \frac{1}{2}(k+1)(k+2) = \frac{1}{2}k^2 + \frac{3}{2}k + 1$$

# Integer points in LPM polytopes

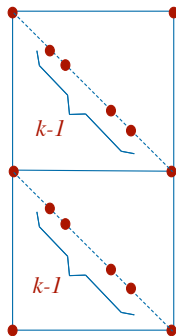
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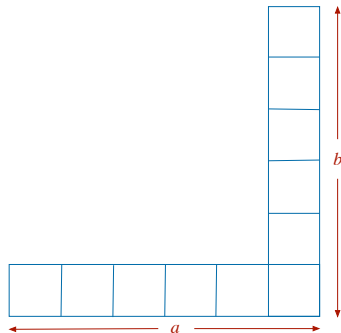
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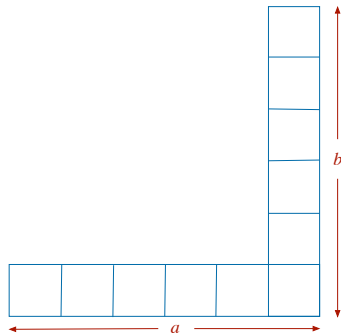
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# Integer points in LPM polytopes

Let  $S(a, b)$  be the matroid associated to



$$L_{S(a,b)}(k) = \sum_{i=0}^k \binom{a+k-1-i}{a-1} \binom{b+k-1-i}{b-1}$$

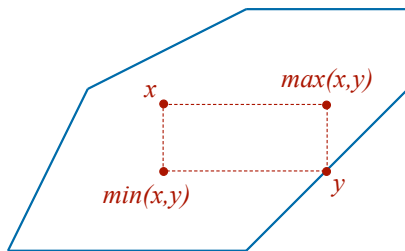
# Distributive polytopes

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**Example** : A distributive polytope in  $\mathbb{R}^2$ .





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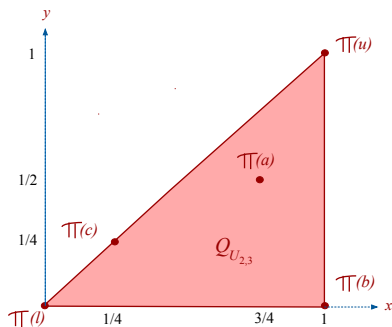
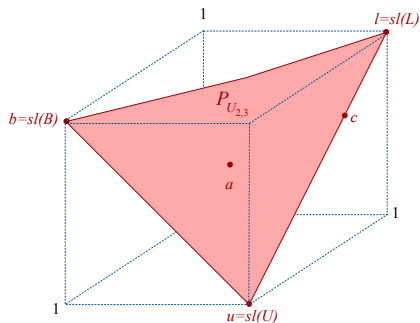
**Proof (idea).** Recall that  $st(L) = (l_1, \dots, l_{r+m})$ . Check that

$$\begin{aligned} \pi : P_M \subset \mathbb{R}^{r+m} &\longrightarrow \mathbb{R}^{r+m-1} \\ p = (p_1, \dots, p_{r+m}) &\mapsto (p_1 - l_1, \dots, \sum_{j=1}^{r+m-1} (p_j - l_j)) \end{aligned}$$

is a suitable transformation.

# Example

$P_{U_{2,3}}$



We have  $\pi(a) = (\frac{3}{4}, \frac{1}{2})$ ,  $\pi(b) = (1, 0)$  and  $\pi(c) = (\frac{1}{4}, \frac{1}{4})$ .

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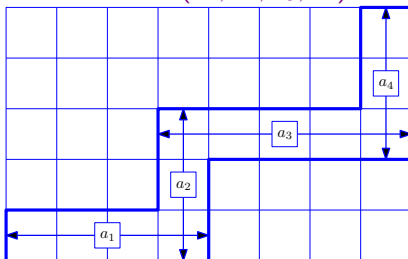
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**Remark.**  $\mathcal{O}(X)$  is a bounded convex polytope

# Snake polytopes

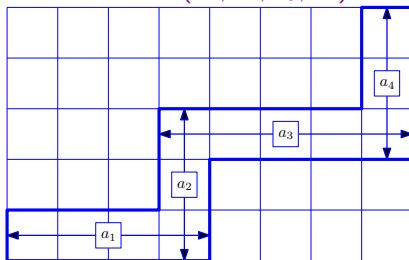
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Theorem (Knauer, Martinez-Sandoval, R.A., 2017)

Let  $a_1, \dots, a_k \geq 2$  be integers. Then, a connected LPM  $M$  is the snake  $S(a_1, \dots, a_k)$  if and only if  $Q_M$  is the order polytope of the zig-zag chain poset on  $a_1, \dots, a_k$ .

# Snake polytopes

Recall that

$$\text{Ehr}_P(z) = 1 + \sum_{t \geq 1} L_P(t)z^t = \frac{h_d^*z^d + h_{d-1}^*z^{d-1} + \dots + h_0^*}{(1-z)^{d+1}}$$

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**Theorem (Knauer, Martinez-Sandoval, R.A., 2017)**

Let  $a, b \geq 2$  be integers. The  $h^*$ -vectors of the snake polytopes  $P_{S(a, \dots, a)}$  and  $P_{S(a, b)}$  are unimodal.