

# User's guide for the Maple code about De Smit's conjecture on flatness.

Sylvain Brochard

## 1 Introduction

In the paper [1], we studied the following question from Bart De Smit. Let  $\varphi : A \rightarrow B$  be a flat morphism of Artin local rings, with the same embedding dimension<sup>1</sup>. Is it true that any  $A$ -flat  $B$ -module is  $B$ -flat? We proved that the answer is positive if the embedding dimension is 2, or under an additional assumption on the ring  $B_0 = B/\mathfrak{m}_A B$  (see [1] thm. 1.6). If the embedding dimension is 3, this additional assumption simply says that there is a minimal generator  $\nu$  of the maximal ideal  $\mathfrak{m}_{B_0}$  of  $B_0$ , such that  $B_0/(\nu)$  is a complete intersection. If  $\varphi$  is flat, it is also equivalent to the following (see [1] 5.6):

$$(*) \quad \exists u \in \mathfrak{m}_B \setminus \mathfrak{m}_B^2, \quad \exists x \in \mathfrak{m}_A B \setminus \mathfrak{m}_B \mathfrak{m}_A B \text{ such that } u \text{ divides } x \text{ in } B.$$

The Maple programs described in this note are designed to help you to check (in some particular cases) if, given a morphism  $\varphi$  explicitly, the condition  $(*)$  holds for  $\varphi$ . Here is a list of what you can do with our programs:

- check if the morphism  $\varphi$  is flat, and display a basis of  $B$  over  $A$  when this is the case (**IsFlat** and **A\_Basis**);
- display a basis of  $B$  over  $\mathbb{C}$  (**C\_Basis**);
- check if  $(*)$  holds for  $\varphi$  (**IsKind**);
- if  $(*)$  holds for  $\varphi$ , compute explicitly some elements  $u$  and  $x$  satisfying  $(*)$  (**GiveGoodGenerators**).

Our programs only work with  $\mathbb{C}$ -algebras of embedding dimension 3, with residue field equal to  $\mathbb{C}$ . Moreover, we decided to stick to the case  $\mathfrak{m}_A^2 = 0$  (by [1] 2.4 it does not lose generality), so that the ring  $A$  will always be

$$A = \frac{\mathbb{C}[x, y, z]}{(x, y, z)^2}.$$

Though, if you want to work with an other ring  $A$ , you only need to change the first line of the program (after **restart**).

## 2 Getting started

a) First method:

- Open a terminal and execute the command **maple**
- Download the file **bdsconj\_prog.txt** on the webpage of the author.
- Copy/paste its content in the terminal mentionned above.

b) Second method:

- Download the file **bdsconj\_prog.mws** on the webpage of the author and open it with Maple.
- Validate all the input lines (**Edit** -> **Execute** -> **Woksheets**).

## 3 The input data

All our programs have the same input data, so to speak the morphism  $\varphi$ . The ring  $B$  is a quotient of  $\mathbb{C}[u, v, w]$ , say  $B = \frac{\mathbb{C}[u, v, w]}{I}$ . The input data (describing  $\varphi$ ) will consist of two lists of polynomials, **morph** and **eqB**. The polynomials in the lists are polynomials in the three variables  $u, v$  and  $w$ . The first list

---

<sup>1</sup>The embedding dimension of a local ring  $A$  with maximal ideal  $\mathfrak{m}_A$  and residue field  $\kappa(A)$  is  $\dim_{\kappa(A)}(\mathfrak{m}_A/\mathfrak{m}_A^2)$ .

of polynomials, **morph**, is  $[\varphi(x), \varphi(y), \varphi(z)]$ . The second one, **eqB**, is a list of generators for the ideal  $I$ . Note that at the beginning of the algorithm, the polynomials  $\varphi(x)^2, \varphi(y)^2, \varphi(z)^2, \varphi(x)\varphi(y), \varphi(x)\varphi(z)$  and  $\varphi(y)\varphi(z)$  will automatically be added to **eqB** so that you don't need to add them by yourself and in many cases **eqB** can be left empty.

**Caution** Please make sure that the ring  $B$  you define with **morph** and **eqB** is Artin. Otherwise Maple will probably return an error or an inconsistent result. A good way to do that is to add in **eqB** some “huge” powers of  $u, v$  and  $w$  (e.g.  $u^{20}, v^{20}$  and  $w^{20}$ ).

**Example 3.1** Let us give the input data for some morphisms that will be used in the examples below. In all these examples, the ring  $A$  is  $\frac{\mathbb{C}[x,y,z]}{(x,y,z)^2}$  and we define a morphism  $\varphi_i : A \rightarrow B_i$ .

$B_1 = \frac{\mathbb{C}[u,v,w]}{(u^3, v^2, w^3)^2}$ $\varphi_1(x) = u^3$ $\varphi_1(y) = v^2$ $\varphi_1(z) = w^3$	<pre>&gt; morph1:=[u^3,v^2,w^3]: &gt; eqB1:=[]:</pre>
$B_2 = \frac{\mathbb{C}[u,v,w]}{(u^2+vw, v^2+uw, w^2+uv)^2}$ $\varphi_2(x) = u^2+vw$ $\varphi_2(y) = v^2+uw$ $\varphi_2(z) = w^2+uv$	<pre>&gt; morph2:=[u^2+v*w,v^2+u*w,w^2+u*v]: &gt; eqB2:=[]:</pre>
$B_3 = \frac{\mathbb{C}[u,v,w]}{(u^2, v^2, w^2)^2}$ $\varphi_3(x) = u^2$ $\varphi_3(y) = v^2$ $\varphi_3(z) = u^2+v^2$	<pre>&gt; morph3:=[u^2,v^2,u^2+v^2]: &gt; eqB3:=[w^4,u^2*w^2,v^2*w^2]:</pre>
$B_4 = \frac{\mathbb{C}[u,v,w]}{(u^3+vw, v^2+uw^2, w^3+u^2v)^2}$ $\varphi_4(x) = u^3+vw$ $\varphi_4(y) = v^2+uw^2$ $\varphi_4(z) = w^3+u^2v$	<pre>&gt; morph4:=[u^3+v*w,v^2+u*w^2,w^3+u^2*v]: &gt; eqB4:=[]:</pre>

## 4 The function IsFlat

`IsFlat(morph,eqB) --> Boolean`

This procedure takes as entries **morph** and **eqB** described above, and returns **true** if  $\varphi$  is flat and **false** otherwise.

**Example 4.1**

```
> IsFlat(morph1,eqB1);
true
> IsFlat(morph2,eqB2);
true
> IsFlat(morph3,eqB3);
false
> IsFlat(morph4,eqB4);
true
```

## 5 Display a basis of $B$ over $\mathbb{C}$ or over $A$

If you are trying to handle with some (counter-?) examples for De Smit's conjecture, you may want to know a basis of  $B$  over  $\mathbb{C}$  or over  $A$  (if  $\varphi$  is flat). This is already implemented in Maple, with the function

`SetBasis`, but to avoid you reading Maple's help, we have written two functions `C_Basis` and `A_Basis` (they actually just call `SetBasis` in the relevant way).

```
C_Basis(morph,eqB) --> List of Polynomials
```

This procedure returns a list of polynomials which is a basis of the ring  $B$  over the field  $\mathbb{C}$ .

### Example 5.1

```
> C_Basis(morph3,eqB3);
      2      3      2      3      2      2      3      3      2      3
[1, w, w , w , v, v w, v w , v w , v , v w, v , v w, u, u w, u w , u w , u v,
      2      3      2      2      3      3      2      2      2      2
u v w, u v w , u v w , u v , u v w, u v , u v w, u , u w, u v, u v w,
      3      3      3      3
u , u w, u v, u v w]
```

```
A_Basis(morph,eqB) --> List of Polynomials
```

This procedure returns a list of polynomials which is a basis of the ring  $B/\mathfrak{m}_A B$  over the field  $\mathbb{C}$ , *even if  $B$  is not flat over  $A$* . Of course, this will be an  $A$ -basis of  $B$  if  $B$  is flat over  $A$ . If you ask for an  $A$ -basis while  $\varphi$  is not flat, the procedure will not return an error message (which would be the behavior that you might have expected) but as we just told, it will return a  $\mathbb{C}$ -basis for the ring  $B/\mathfrak{m}_A B$ .

### Example 5.2

```
> A_Basis(morph1,eqB1);
      2      2      2      2      2      2      2      2
[1, w, w , v, v w, v w , u, u w, u w , u v, u v w, u v w , u , u w, w u , u v,
      2      2      2
u v w, u v w ]

> A_Basis(morph2,eqB2);
      2      3      2
[1, w, w , w , v, v w, v , u]

> A_Basis(morph3,eqB3);
      2      3      2      3      2      3      2      3
[1, w, w , w , v, v w, w v, v w , u, w u, u w , u w , v u, u v w, u v w , u v w ]
```

## 6 The functions `IsKind` and `GiveGoodGenerator`

```
IsKind(morph,eqB) --> Boolean
```

This procedure returns `true` if  $\varphi$  satisfies the condition (\*) above and `false` otherwise.

### Example 6.1

```
> IsKind(morph1,eqB1);
true
> IsKind(morph2,eqB2);
true
> IsKind(morph4,eqB4);
false
```

```
GiveGoodGenerators(morph,eqB) --> Couple of Polynomials
```

Work in progress... this function does not exist yet.

## References

- [1] Sylvain Brochard and Ariane Mézard. About De Smit's conjecture on flatness. À paraître dans *Math. Zeit.*, 2008.