

Hybrid High-Order methods for elasticity

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POEMS 2019



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Model problem I

- Let $\Omega \subset \mathbb{R}^d$, $d \in \{2,3\}$, be a bounded, connected polyhedral domain
- For $f \in L^2(\Omega; \mathbb{R}^d)$, we consider the **elasticity problem**

$$\begin{aligned} -\nabla \cdot (\sigma(\cdot, \nabla_s u)) &= f && \text{in } \Omega, \\ u &= \mathbf{0} && \text{on } \partial\Omega, \end{aligned}$$

with $\sigma : \Omega \times \mathbb{R}_{\text{sym}}^{d \times d} \rightarrow \mathbb{R}_{\text{sym}}^{d \times d}$ possibly nonlinear **strain-stress law** and

$$\nabla_s u := \frac{1}{2} \left(\nabla u + \nabla u^T \right)$$

- In weak form: Find $u \in U := H_0^1(\Omega)^d$ s.t.

$$a(u, v) := \int_{\Omega} \sigma(\cdot, \nabla_s u) : \nabla_s v = \int_{\Omega} f \cdot v \quad \forall v \in U$$

Example (Linear elasticity)

Given a uniformly elliptic fourth-order tensor-valued function $\mathbf{C} : \Omega \rightarrow \mathbb{R}^{d^4}$, for a.e. $\mathbf{x} \in \Omega$ and all $\boldsymbol{\tau} \in \mathbb{R}^{d \times d}$,

$$\boldsymbol{\sigma}(\mathbf{x}, \boldsymbol{\tau}) = \mathbf{C}(\mathbf{x})\boldsymbol{\tau}.$$

For homogeneous isotropic media, $\mathbf{C}(\mathbf{x})\boldsymbol{\tau} = 2\mu\boldsymbol{\tau} + \lambda \operatorname{tr}(\boldsymbol{\tau})\mathbf{I}_d$.

Example (Hencky–Mises model)

Given $\lambda : \mathbb{R} \rightarrow \mathbb{R}$ and $\mu : \mathbb{R} \rightarrow \mathbb{R}$, for a.e. $\mathbf{x} \in \Omega$ and all $\boldsymbol{\tau} \in \mathbb{R}^{d \times d}$,

$$\boldsymbol{\sigma}(\boldsymbol{\tau}) = 2\mu(\operatorname{dev}(\boldsymbol{\tau}))\boldsymbol{\tau}\mathbf{I}_d + \lambda(\operatorname{dev}(\boldsymbol{\tau})) \operatorname{tr}(\boldsymbol{\tau})\mathbf{I}_d,$$

where $\operatorname{dev}(\boldsymbol{\tau}) := \operatorname{tr}(\boldsymbol{\tau}^2) - d^{-1} \operatorname{tr}(\boldsymbol{\tau})^2$.

Model problem III

Example (Isotropic damage model)

Given the damage function $D : \mathbb{R}_{\text{sym}}^{d \times d} \rightarrow (0, 1)$ and \mathbf{C} as above, for a.e. $\mathbf{x} \in \Omega$ and all $\boldsymbol{\tau} \in \mathbb{R}^{d \times d}$,

$$\boldsymbol{\sigma}(\mathbf{x}, \boldsymbol{\tau}) = (1 - D(\boldsymbol{\tau})) \mathbf{C}(\mathbf{x}) \boldsymbol{\tau}.$$

Example (Second-order model)

Given Lamé parameters μ, λ and second-order moduli A, B, C , for all $\boldsymbol{\tau} \in \mathbb{R}^{d \times d}$,

$$\boldsymbol{\sigma}(\boldsymbol{\tau}) = 2\mu\boldsymbol{\tau} + \lambda \operatorname{tr}(\boldsymbol{\tau}) \mathbf{I}_d + A\boldsymbol{\tau}^2 + B \operatorname{tr}(\boldsymbol{\tau}^2) \mathbf{I}_d + 2B \operatorname{tr}(\boldsymbol{\tau}) \boldsymbol{\tau} + C \operatorname{tr}(\boldsymbol{\tau})^2 \mathbf{I}_d.$$

References for this presentation

- Linear elasticity [DP and Ern, 2015]
- Nonlinear elasticity [M. Botti, DP, Sochala, 2017]
- Uniform local Korn inequality [L. Botti, DP, Droniou, 2018]
- Low-order, global Korn inequality [M. Botti, DP, Gugliemana, 2019]

Definition (Regular mesh sequence)

For any $h \in \mathcal{H}$, let $\mathcal{M}_h = (\mathcal{T}_h, \mathcal{F}_h)$ with

- \mathcal{T}_h set of polyhedral elements;
- \mathcal{F}_h set of polygonal faces.

The mesh sequence $(\mathcal{M}_h)_{h \in \mathcal{H}}$ is **regular** if

- It admits a shape regular matching simplicial submesh $\mathfrak{M}_h = (\mathfrak{T}_h, \mathfrak{F}_h)$;
- For any $T \in \mathcal{T}_h$ and any $\tau \in \mathfrak{T}_h$ s.t. $\tau \subset T$,

$$h_\tau \simeq h_T.$$

L^2 -orthogonal projectors on local polynomial spaces

- Let a polynomial degree $k \geq 0$ be fixed
- With $X \in \mathcal{T}_h \cup \mathcal{F}_h$, the L^2 -projector $\pi_X^{0,k} : L^2(X; \mathbb{R}) \rightarrow \mathbb{P}^k(X; \mathbb{R})$ is s.t.

$$\int_X (\pi_X^{0,k} v - v) w = 0 \text{ for all } w \in \mathbb{P}^k(X; \mathbb{R})$$

- Vector and tensor versions are defined component-wise
- Optimal $W^{s,p}$ -approximation properties in [DP and Droniou, 2017a]

Strain projector I

- Let a polynomial degree $l \geq 1$ and an element $T \in \mathcal{T}_h$ be fixed
- The **strain projector** $\pi_T^{\varepsilon, l} : H^1(T; \mathbb{R}^d) \rightarrow \mathbb{P}^l(T; \mathbb{R}^d)$ is s.t.

$$\int_T \nabla_s(\pi_T^{\varepsilon, l} \mathbf{v} - \mathbf{v}) : \nabla_s \mathbf{w} = 0 \quad \forall \mathbf{w} \in \mathbb{P}^l(T; \mathbb{R}^d)$$

and rigid-body motions are fixed enforcing

$$\int_T \pi_T^{\varepsilon, l} \mathbf{v} = \int_T \mathbf{v}, \quad \int_T \nabla_{ss} \pi_T^{\varepsilon, l} \mathbf{v} = \int_T \nabla_{ss} \mathbf{v}$$

- For $l = 1$, we find the **elliptic projector** of [DP and Droniou, 2017b]

Theorem (Optimal approximation properties of the strain projector)

Denote by $(\mathcal{M}_h)_{h \in \mathcal{H}} = (\mathcal{T}_h, \mathcal{F}_h)_{h \in \mathcal{H}}$ a regular mesh sequence *with star-shaped elements*. Let an integer $s \in \{1, \dots, l+1\}$ be given. Then, for all $T \in \mathcal{T}_h$, all $\mathbf{v} \in H^s(T; \mathbb{R}^d)$, and all $m \in \{0, \dots, s\}$,

$$|\mathbf{v} - \pi_T^{\varepsilon, l} \mathbf{v}|_{H^m(T; \mathbb{R}^d)} \lesssim h_T^{s-m} |\mathbf{v}|_{H^s(T; \mathbb{R}^d)}.$$

Moreover, if $m \leq s-1$, then, for all $F \in \mathcal{F}_T$,

$$|\mathbf{v} - \pi_T^{\varepsilon, l} \mathbf{v}|_{H^m(F; \mathbb{R}^d)} \lesssim h_T^{s-m-\frac{1}{2}} |\mathbf{v}|_{H^s(T; \mathbb{R}^d)}.$$

Hidden constants depend only on d , l , s , m , and the mesh regularity.

Strain projector III

- It suffices to prove (cf. [DP and Droniou, 2017b]): For all $T \in \mathcal{T}_h$

$$\|\nabla \pi_T^{\varepsilon,l} \mathbf{v}\|_{L^2(T;\mathbb{R}^{d \times d})} \lesssim |\mathbf{v}|_{H^1(T;\mathbb{R}^d)} \quad \text{if } m \geq 1$$

$$\|\pi_T^{\varepsilon,l} \mathbf{v}\|_{L^2(T;\mathbb{R}^d)} \lesssim \|\mathbf{v}\|_{L^2(T;\mathbb{R}^d)} + h_T |\mathbf{v}|_{H^1(T;\mathbb{R}^d)} \quad \text{if } m = 0$$

- To prove the first relation, we insert $\pm \pi_T^{0,0}(\nabla_{\text{ss}} \pi_T^{\varepsilon,l} \mathbf{v})$ and write

$$\begin{aligned} & \|\nabla \pi_T^{\varepsilon,l} \mathbf{v}\|_{L^2(T;\mathbb{R}^{d \times d})} \\ & \leq \|\nabla \pi_T^{\varepsilon,l} \mathbf{v} - \pi_T^{0,0}(\nabla_{\text{ss}} \pi_T^{\varepsilon,l} \mathbf{v})\|_{L^2(T;\mathbb{R}^{d \times d})} + \|\pi_T^{0,0}(\nabla_{\text{ss}} \mathbf{v})\|_{L^2(T;\mathbb{R}^{d \times d})} \end{aligned}$$

- For the term in red, we need **local Korn inequalities** to write

$$\|\nabla \pi_T^{\varepsilon,l} \mathbf{v} - \pi_T^{0,0}(\nabla_{\text{ss}} \pi_T^{\varepsilon,l} \mathbf{v})\|_{L^2(T;\mathbb{R}^{d \times d})} \lesssim \|\nabla_{\text{s}} \pi_T^{\varepsilon,l} \mathbf{v}\|_{L^2(T;\mathbb{R}^{d \times d})}$$

where the hidden constant should be **independent of T**

Lemma (Uniform local Korn inequalities)

Denoting by $(\mathcal{M}_h)_{h \in \mathcal{H}}$ a regular mesh sequence with *star-shaped elements* it holds, for all $h \in \mathcal{H}$ and all $T \in \mathcal{T}_h$,

$$\|\nabla \mathbf{v} - \pi_T^{0,0}(\nabla_{\text{ss}} \mathbf{v})\|_T \lesssim \|\nabla_{\text{s}} \mathbf{v}\|_T \quad \forall \mathbf{v} \in H^1(T; \mathbb{R}^d),$$

with hidden constant depending only on d and the mesh regularity.

Crucially, the hidden constant above is independent of T !

Computing displacement projections from L^2 -projections

- For all $\mathbf{v} \in H^1(T; \mathbb{R}^d)$ and all $\boldsymbol{\tau} \in C^\infty(\bar{T}; \mathbb{R}_{\text{sym}}^{d \times d})$, it holds

$$\int_T \nabla_s \mathbf{v} : \boldsymbol{\tau} = - \int_T \mathbf{v} \cdot (\nabla \cdot \boldsymbol{\tau}) + \sum_{F \in \mathcal{F}_T} \int_F \mathbf{v} \cdot \boldsymbol{\tau} \mathbf{n}_{TF}$$

- Specialising to $\boldsymbol{\tau} = \nabla_s \mathbf{w}$ with $\mathbf{w} \in \mathbb{P}^{k+1}(T; \mathbb{R}^d)$, $k \geq 0$, gives

$$\int_T \nabla_s \boldsymbol{\pi}_T^{\varepsilon, k+1} \mathbf{v} : \nabla_s \mathbf{w} = - \int_T \boldsymbol{\pi}_T^{0, k} \mathbf{v} \cdot (\nabla \cdot \nabla_s \mathbf{w}) + \sum_{F \in \mathcal{F}_T} \int_F \boldsymbol{\pi}_F^{0, k} \mathbf{v} \cdot \nabla_s \mathbf{w} \mathbf{n}_{TF}$$

- Moreover, we have

$$\int_T \mathbf{v} = \int_T \boldsymbol{\pi}_T^{0, k} \mathbf{v}, \quad \int_T \nabla_{ss} \mathbf{v} = \frac{1}{2} \sum_{F \in \mathcal{F}_T} \int_F \left(\boldsymbol{\pi}_F^{0, k} \mathbf{v} \otimes \mathbf{n}_{TF} - \mathbf{n}_{TF} \otimes \boldsymbol{\pi}_F^{0, k} \mathbf{v} \right)$$

- **Hence, $\boldsymbol{\pi}_T^{\varepsilon, k+1} \mathbf{v}$ can be computed from $\boldsymbol{\pi}_T^{0, k} \mathbf{v}$ and $(\boldsymbol{\pi}_F^{0, k} \mathbf{v})_{F \in \mathcal{F}_T}$!**

Computing displacement projections from L^2 -projections

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- Specialising to $\boldsymbol{\tau} = \nabla_s \mathbf{w}$ with $\mathbf{w} \in \mathbb{P}^{k+1}(T; \mathbb{R}^d)$, $k \geq 0$, gives

$$\int_T \nabla_s \boldsymbol{\pi}_T^{\varepsilon, k+1} \mathbf{v} : \nabla_s \mathbf{w} = - \int_T \boldsymbol{\pi}_T^{0, k} \mathbf{v} \cdot (\nabla \cdot \nabla_s \mathbf{w}) + \sum_{F \in \mathcal{F}_T} \int_F \boldsymbol{\pi}_F^{0, k} \mathbf{v} \cdot \nabla_s \mathbf{w} \mathbf{n}_{TF}$$

- Moreover, we have

$$\int_T \mathbf{v} = \int_T \boldsymbol{\pi}_T^{0, k} \mathbf{v}, \quad \int_T \nabla_{ss} \mathbf{v} = \frac{1}{2} \sum_{F \in \mathcal{F}_T} \int_F \left(\boldsymbol{\pi}_F^{0, k} \mathbf{v} \otimes \mathbf{n}_{TF} - \mathbf{n}_{TF} \otimes \boldsymbol{\pi}_F^{0, k} \mathbf{v} \right)$$

- Hence, $\boldsymbol{\pi}_T^{\varepsilon, k+1} \mathbf{v}$ can be computed from $\boldsymbol{\pi}_T^{0, k} \mathbf{v}$ and $(\boldsymbol{\pi}_F^{0, k} \mathbf{v})_{F \in \mathcal{F}_T}$!**
- The same holds for $\boldsymbol{\pi}_T^{0, k}(\nabla_s \mathbf{v})$ (specialise to $\boldsymbol{\tau} \in \mathbb{P}^k(T; \mathbb{R}_{\text{sym}}^{d \times d})$)

Discrete unknowns

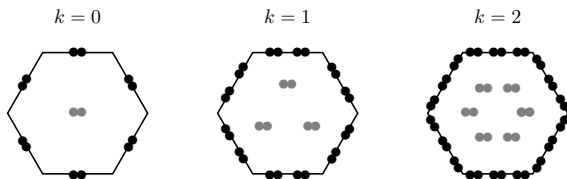


Figure: \underline{U}_T^k for $k \in \{0, 1, 2\}$

- Let a polynomial degree $k \geq 0$ be fixed
- For all $T \in \mathcal{T}_h$, we define the **local space of discrete unknowns**

$$\underline{U}_T^k := \left\{ \underline{\mathbf{v}}_T = (\mathbf{v}_T, (\mathbf{v}_F)_{F \in \mathcal{F}_T}) : \right. \\ \left. \mathbf{v}_T \in \mathbb{P}^k(T; \mathbb{R}^d) \text{ and } \mathbf{v}_F \in \mathbb{P}^k(F; \mathbb{R}^d) \quad \forall F \in \mathcal{F}_T \right\}$$

- The **local interpolator** $\underline{I}_T^k : H^1(T; \mathbb{R}^d) \rightarrow \underline{U}_T^k$ is s.t.

$$\underline{I}_T^k \mathbf{v} := (\pi_T^{0,k} \mathbf{v}, (\pi_F^{0,k} \mathbf{v})_{F \in \mathcal{F}_T}) \quad \forall \mathbf{v} \in H^1(T; \mathbb{R}^d)$$

Local displacement and strain reconstructions I

- We introduce the **displacement reconstruction operator**

$$\mathbf{p}_T^{k+1} : \underline{\mathbf{U}}_T^k \rightarrow \mathbb{P}^{k+1}(T; \mathbb{R}^d)$$

s.t., for all $\underline{\mathbf{v}}_T \in \underline{\mathbf{U}}_T^k$ and all $\mathbf{w} \in \mathbb{P}^{k+1}(T; \mathbb{R}^d)$,

$$\int_T \nabla_s \mathbf{p}_T^{k+1} \underline{\mathbf{v}}_T : \nabla_s \mathbf{w} = - \int_T \mathbf{v}_T \cdot (\nabla \cdot \nabla_s \mathbf{w}) + \sum_{F \in \mathcal{F}_T} \int_F \mathbf{v}_F \cdot \nabla_s \mathbf{w} \mathbf{n}_{TF}$$

and

$$\int_T \mathbf{p}_T^{k+1} \underline{\mathbf{v}}_T = \int_T \mathbf{v}_T, \quad \int_T \nabla_{ss} \mathbf{p}_T^{k+1} \underline{\mathbf{v}}_T = \frac{1}{2} \sum_{F \in \mathcal{F}_T} \int_F (\mathbf{v}_F \otimes \mathbf{n}_{TF} - \mathbf{n}_{TF} \otimes \mathbf{v}_F)$$

- By construction, the following **commutation property** holds:

$$\boxed{\mathbf{p}_T^{k+1} \underline{\mathbf{I}}_T^k \mathbf{v} = \boldsymbol{\pi}_T^{\varepsilon, k+1} \mathbf{v} \quad \forall \mathbf{v} \in H^1(T; \mathbb{R}^d)}$$

Local displacement and strain reconstructions II

- For nonlinear problems, $\nabla_s \mathbf{p}_T^{k+1}$ is **not sufficiently rich**
- We therefore also define the **strain reconstruction operator**

$$\mathbf{G}_{s,T}^k : \underline{\mathbf{U}}_T^k \rightarrow \mathbb{P}^k(T; \mathbb{R}_{\text{sym}}^{d \times d})$$

such that, for all $\boldsymbol{\tau} \in \mathbb{P}^k(T; \mathbb{R}_{\text{sym}}^{d \times d})$,

$$\int_T \mathbf{G}_{s,T}^k \underline{\mathbf{v}}_T : \boldsymbol{\tau} = - \int_T \mathbf{v}_T \cdot (\nabla \cdot \boldsymbol{\tau}) + \sum_{F \in \mathcal{F}_T} \int_F \mathbf{v}_F \cdot \boldsymbol{\tau} \mathbf{n}_{TF}$$

- By construction, it holds:

$$\mathbf{G}_{s,T}^k \mathbf{I}_T^k \mathbf{v} = \boldsymbol{\pi}_T^{0,k}(\nabla_s \mathbf{v}) \quad \forall \mathbf{v} \in H^1(T; \mathbb{R}^d)$$

Local contribution I

$$a|_T(\mathbf{u}, \mathbf{v}) \approx a_T(\underline{\mathbf{u}}_T, \underline{\mathbf{v}}_T) := \int_T \sigma(\mathbf{G}_{s,T}^k \underline{\mathbf{u}}_T) : \mathbf{G}_{s,T}^k \underline{\mathbf{v}}_T + s_T(\underline{\mathbf{u}}_T, \underline{\mathbf{v}}_T)$$

Assumption (Stabilization bilinear form)

The bilinear form $s_T : \underline{\mathbf{U}}_T^k \times \underline{\mathbf{U}}_T^k \rightarrow \mathbb{R}$ satisfies the following properties:

- **Symmetry and positivity.** s_T is symmetric and positive semidefinite.
- **Stability.** It holds uniformly: For all $\underline{\mathbf{v}}_T \in \underline{\mathbf{U}}_T^k$,

$$\|\mathbf{G}_{s,T}^k \underline{\mathbf{v}}_T\|_{L^2(T; \mathbb{R}^{d \times d})}^2 + s_T(\underline{\mathbf{v}}_T, \underline{\mathbf{v}}_T) \simeq \|\underline{\mathbf{v}}_T\|_{\varepsilon, T}^2$$

where $\|\underline{\mathbf{v}}_T\|_{\varepsilon, T}^2 := \|\nabla_s \mathbf{v}_T\|_{L^2(T; \mathbb{R}^{d \times d})}^2 + \sum_{F \in \mathcal{F}_T} h_F^{-1} \|\mathbf{v}_F - \mathbf{v}_T\|_{L^2(F; \mathbb{R}^d)}^2$

- **Polynomial consistency.** For all $w \in \mathbb{P}^{k+1}(T)$ and all $\underline{\mathbf{v}}_T \in \underline{\mathbf{U}}_T^k$,

$$s_T(\underline{\mathbf{I}}_T^k w, \underline{\mathbf{v}}_T) = 0.$$

Local contribution II

Remark (Polynomial degree)

Stability and **polynomial consistency** are incompatible for $k = 0$.

Remark (Dependency)

s_T satisfies **polynomial consistency** if and only if it depends on its arguments via the **difference operators** s.t., for all $\underline{v}_T \in \underline{U}_T^k$,

$$\begin{aligned}\delta_T^k \underline{v}_T &:= \pi_T^{0,k}(\mathbf{P}_T^{k+1} \underline{v}_T - \underline{v}_T), \\ \delta_{TF}^k \underline{v}_T &:= \pi_F^{0,k}(\mathbf{P}_T^{k+1} \underline{v}_T - \underline{v}_F) \quad \forall F \in \mathcal{F}_T.\end{aligned}$$

Example (Classical HHO stabilisation)

$$s_T(\underline{u}_T, \underline{v}_T) := \sum_{F \in \mathcal{F}_T} \frac{\gamma}{h_F} \int_F \left(\delta_{TF}^k \underline{u}_T - \delta_T^k \underline{u}_T \right) \cdot \left(\delta_{TF}^k \underline{v}_T - \delta_T^k \underline{v}_T \right).$$

Discrete problem

- Define the **global space** with single-valued interface unknowns

$$\underline{U}_h^k := \left\{ \underline{\mathbf{v}}_h = ((\mathbf{v}_T)_{T \in \mathcal{T}_h}, (\mathbf{v}_F)_{F \in \mathcal{F}_h}) : \right. \\ \left. \mathbf{v}_T \in \mathbb{P}^k(T)^d \quad \forall T \in \mathcal{T}_h \text{ and } \mathbf{v}_F \in \mathbb{P}^k(F)^d \quad \forall F \in \mathcal{F}_h \right\}.$$

and its subspace with **strongly enforced boundary conditions**

$$\underline{U}_{h,0}^k := \{ \underline{\mathbf{v}}_h \in \underline{U}_h^k : \mathbf{v}_F = \mathbf{0} \quad \forall F \in \mathcal{F}_h^b \}$$

- The discrete problem reads: Find $\underline{\mathbf{u}}_h \in \underline{U}_{h,0}^k$ s.t.

$$\mathbf{a}_h(\underline{\mathbf{u}}_h, \underline{\mathbf{v}}_h) := \sum_{T \in \mathcal{T}_h} \mathbf{a}_T(\underline{\mathbf{u}}_T, \underline{\mathbf{v}}_T) = \sum_{T \in \mathcal{T}_h} \int_T \mathbf{f} \cdot \mathbf{v}_T \quad \forall \underline{\mathbf{v}}_h \in \underline{U}_{h,0}^k$$

Global discrete Korn inequalities I

Lemma (Global Korn inequality on broken polynomial spaces)

Let an integer $l \geq 1$ be fixed and, given $\mathbf{v}_h \in \mathbb{P}^l(\mathcal{T}_h; \mathbb{R}^d)$, set

$$\|\mathbf{v}_h\|_{\text{dG},h}^2 := \|\nabla_h \mathbf{v}_h\|_{L^2(\Omega)^{d \times d}}^2 + \sum_{F \in \mathcal{F}_h} \frac{1}{h_F} \|[\mathbf{v}_h]_F\|_{L^2(F)^d}^2.$$

Then it holds, with hidden constant depending only on Ω , d , l , and ϱ ,

$$\|\nabla_h \mathbf{v}_h\|_{L^2(\Omega)^{d \times d}} \lesssim \|\mathbf{v}_h\|_{\text{dG},h}.$$

Proof.

Introduce the **node-averaging operator on \mathfrak{N}_h** and proceed as in **Lemma 2.2, Brenner, 2003**. □

Corollary (Global Korn inequality on HHO spaces)

Assume $k \geq 1$. Then it holds, for all $\underline{\mathbf{v}}_h \in \underline{\mathbf{U}}_{h,0}^k$, letting $\mathbf{v}_h \in \mathbb{P}^k(\mathcal{T}_h; \mathbb{R}^d)$ be s.t. $(\mathbf{v}_h)|_T := \mathbf{v}_T$ for all $T \in \mathcal{T}_h$ and with hidden constant as above,

$$\|\mathbf{v}_h\|_{L^2(\Omega)^d} + \|\nabla_h \mathbf{v}_h\|_{L^2(\Omega)^{d \times d}} \lesssim \|\underline{\mathbf{v}}_h\|_{\varepsilon,h}$$

with $\|\underline{\mathbf{v}}_h\|_{\varepsilon,h}^2 := \sum_{T \in \mathcal{T}_h} \|\underline{\mathbf{v}}_T\|_{\varepsilon,T}^2$.

Remark (Other boundary conditions)

Extensions to other boundary conditions are possible.

Existence and uniqueness I

Assumption (Strain-stress law/1)

The strain-stress law is a Carathéodory function s.t. $\sigma(\cdot, \mathbf{0}) = \mathbf{0}$ and there exist $0 < \underline{\sigma} \leq \bar{\sigma}$ s.t., for a.e. $\mathbf{x} \in \Omega$ and all $\boldsymbol{\tau}, \boldsymbol{\eta} \in \mathbb{R}_{\text{sym}}^{d \times d}$,

$$|\sigma(\mathbf{x}, \boldsymbol{\tau})| \leq \bar{\sigma} |\boldsymbol{\tau}|, \quad (\text{growth})$$

$$\sigma(\mathbf{x}, \boldsymbol{\tau}) : \boldsymbol{\tau} \geq \underline{\sigma} |\boldsymbol{\tau}|^2, \quad (\text{coercivity})$$

$$(\sigma(\mathbf{x}, \boldsymbol{\tau}) - \sigma(\mathbf{x}, \boldsymbol{\eta})) : (\boldsymbol{\tau} - \boldsymbol{\eta}) \geq 0. \quad (\text{monotonicity})$$

Remark (Choice of the penalty parameter)

A natural choice is to take the penalty parameter s.t.

$$\gamma \in [\underline{\sigma}, \bar{\sigma}].$$

Theorem (Discrete existence and uniqueness)

Let $(\mathcal{M}_h)_{h \in \mathcal{H}}$ denote a regular mesh sequence *with star-shaped elements* and assume $k \geq 1$. Then, for all $h \in \mathcal{H}$, there exist a solution $\underline{\mathbf{u}}_h \in \underline{\mathbf{U}}_{h,0}^k$ to the discrete problem, which satisfies

$$\|\underline{\mathbf{u}}_h\|_{\varepsilon,h} \lesssim \|f\|_{L^2(\Omega; \mathbb{R}^d)},$$

with hidden constant only depending on Ω , $\underline{\sigma}$, γ , ϱ , and k .

Moreover, if σ is *strictly monotone*, then the solution is unique.

Convergence and error estimate

Theorem (Convergence)

Let $(\mathcal{M}_h)_{h \in \mathcal{H}}$ denote a regular mesh sequence *with star-shaped elements* and assume $k \geq 1$. Then, for all $q \in [1, +\infty)$ if $d = 2$ and $q \in [1, 6)$ if $d = 3$, as $h \rightarrow 0$ it holds, up to a subsequence, that

$$\begin{aligned} \mathbf{u}_h &\rightarrow \mathbf{u} && \text{strongly in } L^q(\Omega; \mathbb{R}^d), \\ \mathbf{G}_{s,h}^k \underline{\mathbf{u}}_h &\rightharpoonup \nabla_s \mathbf{u} && \text{weakly in } L^2(\Omega; \mathbb{R}^{d \times d}). \end{aligned}$$

If, additionally, σ is *strictly monotone*,

$$\mathbf{G}_{s,h}^k \underline{\mathbf{u}}_h \rightarrow \nabla_s \mathbf{u} \quad \text{strongly in } L^2(\Omega; \mathbb{R}^{d \times d})$$

and, the continuous solution being unique, the whole sequence converges.

Proof.

Inspired by GDM [Droniou, Eymard, Guichard, Herbin, Gallouët, 2018]



Error estimate

Assumption (Strain-stress law/2)

There exists $\sigma_*, \sigma^* \in (0, +\infty)$ s.t., for a.e. $\mathbf{x} \in \Omega$ and all $\boldsymbol{\tau}, \boldsymbol{\eta} \in \mathbb{R}_{\text{sym}}^{d \times d}$,

$$|\boldsymbol{\sigma}(\mathbf{x}, \boldsymbol{\tau}) - \boldsymbol{\sigma}(\mathbf{x}, \boldsymbol{\eta})| \leq \sigma^* |\boldsymbol{\tau} - \boldsymbol{\eta}|, \quad (\text{Lipschitz continuity})$$

$$(\boldsymbol{\sigma}(\mathbf{x}, \boldsymbol{\tau}) - \boldsymbol{\sigma}(\mathbf{x}, \boldsymbol{\eta})) : (\boldsymbol{\tau} - \boldsymbol{\eta}) \geq \sigma_* |\boldsymbol{\tau} - \boldsymbol{\eta}|^2. \quad (\text{strong monotonicity})$$

Theorem (Error estimate)

Let $(\mathcal{M}_h)_{h \in \mathcal{H}}$ denote a regular mesh sequence *with star-shaped elements* and $k \geq 1$. Then, if $\mathbf{u} \in H^{k+2}(\mathcal{T}_h; \mathbb{R}^d)$ and $\boldsymbol{\sigma}(\cdot, \nabla_s \mathbf{u}) \in H^{k+1}(\mathcal{T}_h; \mathbb{R}^{d \times d})$,

$$\begin{aligned} \|\mathbf{G}_{s,h}^k \underline{\mathbf{u}}_h - \nabla_s \mathbf{u}\|_{L^2(\Omega; \mathbb{R}^{d \times d})} + |\underline{\mathbf{u}}_h|_{s,h} \\ \lesssim h^{k+1} \left(|\mathbf{u}|_{H^{k+2}(\mathcal{T}_h; \mathbb{R}^d)} + |\boldsymbol{\sigma}(\cdot, \nabla_s \mathbf{u})|_{H^{k+1}(\mathcal{T}_h; \mathbb{R}^{d \times d})} \right), \end{aligned}$$

with hidden constant only depending on Ω , k , $\bar{\sigma}$, $\underline{\sigma}$, σ^* , σ_* , γ , the mesh regularity and an upper bound of $\|\mathbf{f}\|_{L^2(\Omega; \mathbb{R}^d)}$.

The lowest-order case I

- For $k = 0$, stability cannot be enforced through local terms
- We therefore consider $a_h^{\text{lo}} : \underline{U}_h^0 \times \underline{U}_h^0$ s.t.

$$a_h^{\text{lo}}(\underline{\mathbf{u}}_h, \underline{\mathbf{v}}_h) := a_h(\underline{\mathbf{u}}_h, \underline{\mathbf{v}}_h) + j_h(\mathbf{p}_h^1 \underline{\mathbf{u}}_h, \mathbf{p}_h^1 \underline{\mathbf{v}}_h),$$

with **jump penalisation** bilinear form

$$j_h(\mathbf{u}, \mathbf{v}) := \sum_{F \in \mathcal{F}_h} h_F^{-1} \int_F [\mathbf{u}]_F \cdot [\mathbf{v}]_F$$

The lowest-order case II

- Consider, e.g., isotropic homogeneous linear elasticity, that is

$$\boldsymbol{\sigma}(\boldsymbol{\tau}) = 2\mu\boldsymbol{\tau} + \lambda \operatorname{tr}(\boldsymbol{\tau})\mathbf{I}_d \quad \text{with} \quad 2\mu - d\lambda^- \geq \alpha > 0$$

- Coercivity is ensured by **Korn's inequality in broken spaces**:

$$\alpha \|\|\| \underline{\mathbf{v}}_h \|\|\|_{\boldsymbol{\varepsilon},h}^2 \lesssim a_h^{\text{lo}}(\underline{\mathbf{v}}_h, \underline{\mathbf{v}}_h) \quad \forall \underline{\mathbf{v}}_h \in \underline{\mathbf{U}}_{h,0}^0,$$

where

$$\|\|\| \underline{\mathbf{v}}_h \|\|\|_{\boldsymbol{\varepsilon},h} := \left(\|\mathbf{v}_h\|_{\text{dG},h}^2 + |\underline{\mathbf{v}}_h|_{\text{s},h}^2 \right)^{\frac{1}{2}},$$

Error estimates I

Theorem (Energy error estimate, $k = 0$)

Let $(\mathcal{M}_h)_{h \in \mathcal{H}}$ denote a regular mesh sequence. Then, if $\mathbf{u} \in H^2(\mathcal{T}_h; \mathbb{R}^d)$,

$$\begin{aligned} \|\nabla_h \mathbf{P}_h^1 \underline{\mathbf{u}}_h - \nabla \mathbf{u}\|_{L^2(\Omega)^{d \times d}} + |\underline{\mathbf{u}}_h|_{s,h} \\ \lesssim h \alpha^{-1} \left(|\mathbf{u}|_{H^2(\mathcal{T}_h; \mathbb{R}^d)} + |\boldsymbol{\sigma}(\nabla_s \mathbf{u})|_{H^1(\mathcal{T}_h; \mathbb{R}^{d \times d})} \right), \end{aligned}$$

with hidden constant independent of h , \mathbf{u} , of the Lamé parameters and of \mathbf{f} . This estimate can be proved to be *uniform in λ* .

Remark (Star-shaped assumption)

We do not need the star-shaped assumption for $k = 0$, since the **strain projector** coincides with the **elliptic projector**, whose approximation properties do not require local Korn inequalities.

Theorem (L^2 -error estimate)

Under the assumptions of the above theorem, and further assuming $\lambda \geq 0$, elliptic regularity, and $\mathbf{f} \in H^1(\mathcal{T}_h; \mathbb{R}^d)$, it holds that

$$\|\mathbf{P}_h^1 \underline{\mathbf{u}}_h - \mathbf{u}\|_{L^2(\Omega)^d} \lesssim h^2 \|\mathbf{f}\|_{H^1(\mathcal{T}_h; \mathbb{R}^d)},$$

with hidden constant independent of both h and λ .

- Consider the Hencky–Mises model with $\Phi(\rho) = \mu(e^{-\rho} + 2\rho)$ and $\alpha = \lambda + \mu$, so that

$$\boldsymbol{\sigma}(\nabla_{\mathbf{s}}\mathbf{u}) = ((\lambda - \mu) + \mu e^{-\text{dev}(\nabla_{\mathbf{s}}\mathbf{u})}) \text{tr}(\nabla_{\mathbf{s}}\mathbf{u})\mathbf{I}_d + \mu(2 - e^{-\text{dev}(\nabla_{\mathbf{s}}\mathbf{u})})\nabla_{\mathbf{s}}\mathbf{u}$$

- We set $\Omega = (0, 1)^2$, $\mu = 2$, $\lambda = 1$, so that

$$\mathbf{u}(\mathbf{x}) = (\sin(\pi x_1) \sin(\pi x_2), \sin(\pi x_1) \sin(\pi x_2))$$

- \mathbf{f} is inferred from the exact solution

Convergence II

Table: Convergence results on the triangular mesh family. EOC = estimated order of convergence.

h	$\ \nabla_s \mathbf{u} - \nabla_{s,h} \mathbf{u}_h\ $	EOC	$\ \boldsymbol{\pi}_h^{0,k} \mathbf{u} - \mathbf{u}_h\ $	EOC
$k = 1$				
$3.07 \cdot 10^{-2}$	$5.59 \cdot 10^{-2}$	—	$7.32 \cdot 10^{-3}$	—
$1.54 \cdot 10^{-2}$	$1.51 \cdot 10^{-2}$	1.9	$1.05 \cdot 10^{-3}$	2.81
$7.68 \cdot 10^{-3}$	$3.86 \cdot 10^{-3}$	1.96	$1.34 \cdot 10^{-4}$	2.96
$3.84 \cdot 10^{-3}$	$1.01 \cdot 10^{-3}$	1.93	$1.7 \cdot 10^{-5}$	2.98
$1.92 \cdot 10^{-3}$	$2.59 \cdot 10^{-4}$	1.96	$2.15 \cdot 10^{-6}$	2.98
$k = 2$				
$3.07 \cdot 10^{-2}$	$1.3 \cdot 10^{-2}$	—	$1.47 \cdot 10^{-3}$	—
$1.54 \cdot 10^{-2}$	$1.29 \cdot 10^{-3}$	3.35	$6.05 \cdot 10^{-5}$	4.62
$7.68 \cdot 10^{-3}$	$2.11 \cdot 10^{-4}$	2.6	$5.36 \cdot 10^{-6}$	3.48
$3.84 \cdot 10^{-3}$	$2.73 \cdot 10^{-5}$	2.95	$3.6 \cdot 10^{-7}$	3.9
$1.92 \cdot 10^{-3}$	$3.42 \cdot 10^{-6}$	3.00	$2.28 \cdot 10^{-8}$	3.98
$k = 3$				
$3.07 \cdot 10^{-2}$	$2.81 \cdot 10^{-3}$	—	$2.39 \cdot 10^{-4}$	—
$1.54 \cdot 10^{-2}$	$3.72 \cdot 10^{-4}$	2.93	$1.95 \cdot 10^{-5}$	3.63
$7.68 \cdot 10^{-3}$	$2.16 \cdot 10^{-5}$	4.09	$5.47 \cdot 10^{-7}$	5.14
$3.84 \cdot 10^{-3}$	$1.43 \cdot 10^{-6}$	3.92	$1.66 \cdot 10^{-8}$	5.04
$1.92 \cdot 10^{-3}$	$9.51 \cdot 10^{-8}$	3.91	$5.34 \cdot 10^{-10}$	4.96

Convergence III

Table: Convergence results on the hexagonal mesh family. EOC = estimated order of convergence.

h	$\ \nabla_s \mathbf{u} - \nabla_{s,h} \mathbf{u}_h\ $	EOC	$\ \boldsymbol{\pi}_h^{0,k} \mathbf{u} - \mathbf{u}_h\ $	EOC
$k = 1$				
$6.3 \cdot 10^{-2}$	0.22	—	$2.75 \cdot 10^{-2}$	—
$3.42 \cdot 10^{-2}$	$3.72 \cdot 10^{-2}$	2.89	$3.73 \cdot 10^{-3}$	3.27
$1.72 \cdot 10^{-2}$	$7.17 \cdot 10^{-3}$	2.4	$4.83 \cdot 10^{-4}$	2.97
$8.59 \cdot 10^{-3}$	$1.44 \cdot 10^{-3}$	2.31	$6.14 \cdot 10^{-5}$	2.97
$4.3 \cdot 10^{-3}$	$2.4 \cdot 10^{-4}$	2.59	$7.7 \cdot 10^{-6}$	3.00
$k = 2$				
$6.3 \cdot 10^{-2}$	$2.68 \cdot 10^{-2}$	—	$3.04 \cdot 10^{-3}$	—
$3.42 \cdot 10^{-2}$	$7.01 \cdot 10^{-3}$	2.2	$3.56 \cdot 10^{-4}$	3.51
$1.72 \cdot 10^{-2}$	$1.09 \cdot 10^{-3}$	2.71	$3.31 \cdot 10^{-5}$	3.46
$8.59 \cdot 10^{-3}$	$1.41 \cdot 10^{-4}$	2.95	$2.53 \cdot 10^{-6}$	3.7
$4.3 \cdot 10^{-3}$	$1.96 \cdot 10^{-5}$	2.85	$1.72 \cdot 10^{-7}$	3.89
$k = 3$				
$6.3 \cdot 10^{-2}$	$1.11 \cdot 10^{-2}$	—	$1.08 \cdot 10^{-3}$	—
$3.42 \cdot 10^{-2}$	$1.92 \cdot 10^{-3}$	2.87	$9.29 \cdot 10^{-5}$	4.02
$1.72 \cdot 10^{-2}$	$2.79 \cdot 10^{-4}$	2.81	$6.13 \cdot 10^{-6}$	3.95
$8.59 \cdot 10^{-3}$	$2.54 \cdot 10^{-5}$	3.45	$2.88 \cdot 10^{-7}$	4.4
$4.3 \cdot 10^{-3}$	$1.61 \cdot 10^{-6}$	3.99	$1.24 \cdot 10^{-8}$	4.55

Numerical examples I

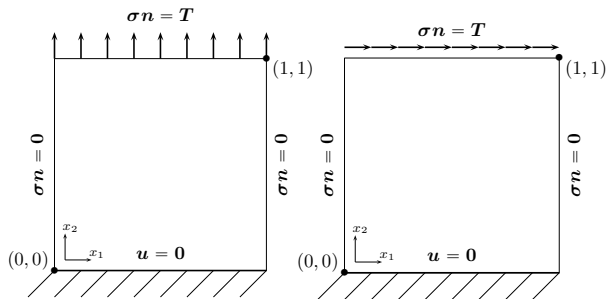
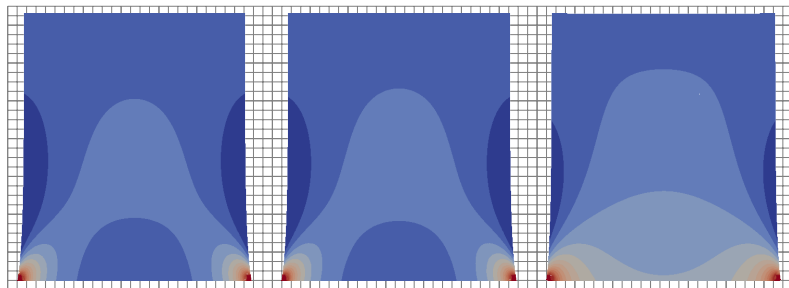


Figure: Shear and tensile test cases

Numerical examples II



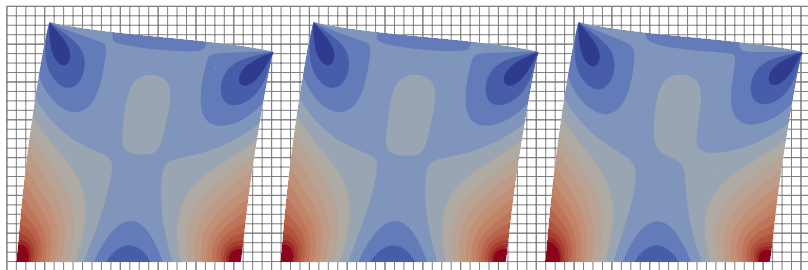
(a) Linear

(b) Hencky–Mises

(c) Second order

Figure: Tensile test case: Stress norm on the deformed domain. Values in 10^5 Pa

Numerical examples III



(a) Linear

(b) Hencky–Mises

(c) Second order

Figure: Shear test case: Stress norm on the deformed domain. Values in 10^4Pa

Numerical examples IV

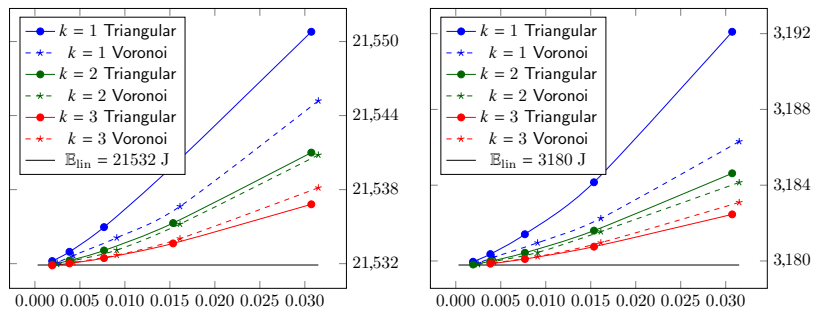


Figure: Energy vs h , tensile and shear test cases, linear model

Numerical examples V

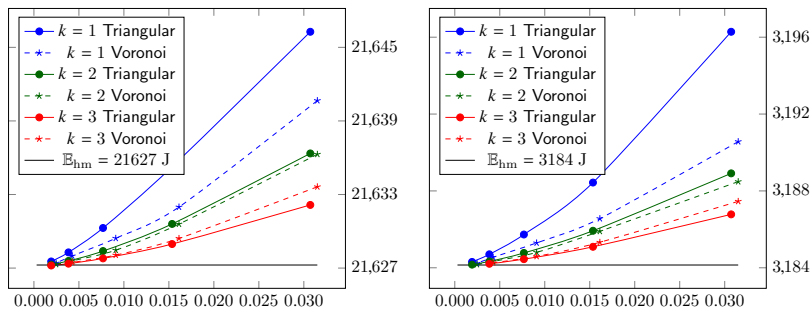


Figure: Energy vs h , tensile and shear test cases, Hencky–Mises model

HHO implementations and more

- Code_Aster <https://www.code-aster.org> (EDF)
- Code_Saturne <https://www.code-saturne.org> (EDF)
- HArD::Core2D <https://github.com/jdroniou/HArDCore2D> (J. Droniou)
- POLYPHO <http://www.comphys.com> (R. Specogna)
- SpaFEDte <https://github.com/SpaFEDTe/spafedte.github.com> (L. Botti)

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Coming soon:



D. A. Di Pietro and J. Droniou
The Hybrid High-Order Method for Polytopal Meshes
Design, Analysis, and Applications

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