DISTRIBUTIONS WITH LOCALLY FREE TANGENT SHEAF

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Dedicated to Fernando Cukierman on the occasion of his 70th birthday

ABSTRACT. In [CP08] one finds a study of the locus Dec where the tangent sheaf of a family of foliations in $\mathbb{P}^n_{\mathbb{C}}$ is $\operatorname{decomposable}$, i.e. a sum of line bundles. A prime conclusion is an "openness" result: once the singular locus has sufficiently large codimension, Dec turns out to be open. In the present paper, we study the locus LF of points of a family of distributions where the tangent sheaf is $\operatorname{locally}$ free. Through general Commutative Algebra, we show that LF is open provided that singularities have codimension at least three. When dealing with foliations rather than distributions, the condition on the lower bound of the singular set can be weakened by the introduction of "Kupka" points. We apply the available "openness" results to families in $\mathbb{P}^n_{\mathbb{C}}$ and in \mathcal{B} , the variety of Borel subgroups of a simple group. By establishing a theorem putting in bijection irreducible components of the space of two-dimensional subalgebras of a given semi-simple Lie algebra and its nilpotent orbits, we conclude that the space of foliations of rank two on $\mathbb{P}^n_{\mathbb{C}}$ and \mathcal{B} , may have quite many irreducible components as n and $\operatorname{dim} \mathcal{B}$ grow. We also set in place several algebro-geometric foundations for the theory of families of distributions in two appendices.

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1. Introduction

In this paper, we wish to throw light on the distributions and foliations admitting a locally free tangent sheaf. More precisely, we wish to study how this property may vary in a family with the goal of showing that whenever singularities have "large codimension", this property is "open".

Now, if we understand foliations as a generalisation of vector fields or 1-forms, then the idea of studying which topological properties are preserved by small deformations

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has a distinguished history and goes under the name of "structural stability" [AP37, Pe59, Ku64, dM77]. On the other hand, it is then only natural to look at *algebraic* output properties, as was done in [CP08] in the case of forms on $\mathbb{P}^n_{\mathbb{C}}$. There, the authors use the precise description of q-forms on $\mathbb{P}^n_{\mathbb{C}}$ in terms of homogeneous polynomial forms in order to show that if $\omega \in H^0(\mathbb{P}^n_{\mathbb{C}}, \Omega^q(m))$ defines a singular foliation such that $\operatorname{codim} \operatorname{Sing} \geq 3$ and the tangent sheaf T_ω associated to it is decomposable (i.e. a sum of invertible sheaves), then any q-form (inducing a foliation) sufficiently close to ω also produces a decomposable tangent sheaf. See Theorem 3.4 below for a restatement. The present work seeks to expand the scope of this result in the following directions:

- (i) consider locally free sheaves which are not necessarily sums of invertible ones;
- (ii) consider varieties other than \mathbb{P}^n (and Lie algebras other than \mathfrak{sl}_{n+1});
- (iii) consider base fields other than \mathbb{C} ;
- (iv) consider the "Kupka" condition on singularities for higher codimensions and more general ambient spaces.

In addition, we

- (v) wish to find cases where the assumption on the codimension of singularities is naturally met and, once this is done,
- (vi) use the results to find irreducible components of the spaces of integrable forms (or of foliations).

In the ensuing sections, we describe more precisely the themes and results which are treated in this work.

1.1. "Openess" results. The results obtained under this rubric are the raison d'être of this paper. They generalise [CP08, Theorem 2] (reproduced as Theorem 3.4 below) and are explained in Section 4.

The concept of a holomorphic (algebraic) singular foliation \mathcal{F} on a given (algebraic) complex manifold X is well-established and it has become in the past decades crucial — or at least standard — to engage it by means of sheaf theory. So, the several qualifications that a sheaf can have become important indicators of the singular foliation itself. In this part of the Introduction, we wish to explain our results showing that the property of local freeness of tangent sheaves is "stable", or "open".

Even a superficial contact with the theory of foliations shows that the usual operations which one makes with a sheaf do not immediately correspond to the operations on the foliations side (see Example 4.9 for a sample of this). This adds complexity to the study of families of foliations and the application of known results of Commutative Algebra to this setting requires special efforts. Indeed, even in a very comfortable context, pulling back foliations is not synonym with pulling back sheaves. For example, let $f: X \to S$ be a smooth morphism of smooth complex algebraic schemes and let \mathcal{F} be a singular foliation on X whose tangent sheaf $T_{\mathcal{F}}$ is a subsheaf of $T_f = T_{X/S}$, the relative tangent sheaf. Then, given a closed point $s \in S(\mathbb{C})$, the restricted foliation \mathcal{F}_s induced on the fibre X_s does not have, in general, the restriction $T_{\mathcal{F}}|_{X_s}$ as tangent sheaf. On the other hand, the link between $T_{\mathcal{F}_s}$ and $T_{\mathcal{F}}|_{X_s}$ can be given through Commutative Algebra, which is used to prove the following result. For unexplained notation, the reader is directed to Appendix A; here we content ourselves with pointing out that Sing stands for the singular set of a relative distribution \mathcal{V} , i.e. the set of points where $T_f/T_{\mathcal{V}}$ fails to be free.

Theorem A. Let $f: X \to S$ be a proper and smooth morphism of Noetherian schemes. Let \mathcal{V} be a relative distribution on X, i.e. a strongly saturated coherent submodule of the relative tangent sheaf T_f . For each $s \in S$, let X_s denote the (schematic) fibre of X above s and denote by \mathcal{V}_s the pull-back of \mathcal{V} to X_s . Assume that for a certain $o \in S$, we have

- (1) that $\operatorname{codim}(\operatorname{Sing}(\mathcal{V}) \cap X_o, X_o) \geq 3$ and that
- (2) the tangent sheaf $T_{\mathcal{V}_o}$ is locally free.

Then for all s in a certain neighbourhood of o, the tangent sheaf $T_{\mathcal{V}_s}$ is also locally free.

In giving a proof of Theorem A, we employed a somewhat weaker hypothesis than the "smallness" of the singular set (explained above), which is the "smallness" of the set of tangent singularities TSing. (See Theorem 4.3.) By definition, tangent singularities are points where the tangent sheaf fails to be free. Now, as explained in Lemma B.3, for any distribution \mathcal{V} , we have an inclusion $\mathrm{TSing}(\mathcal{V}) \subset \mathrm{Sing}(\mathcal{V})$ and one of the best tools to obtain points of $\mathrm{Sing}(\mathcal{V}) \setminus \mathrm{TSing}(\mathcal{V})$ is, when \mathcal{V} is involutive, by means of "Kupka" singularities $\mathrm{Kup}(\mathcal{V})$ (cf. Section B.4). Indeed, as is shown in Proposition B.31 at least when S is locally factorial, the inclusion $\mathrm{NKup}(\mathcal{V}) \supset \mathrm{TSing}(\mathcal{V})$ holds true. (Here $\mathrm{NKup}(\mathcal{V})$ is the set of non-Kupka" singularities $\mathrm{Sing}(\mathcal{V}) \setminus \mathrm{Kup}(\mathcal{V})$.) Hence, we can state a variant of Theorem A which reads.

Theorem B. Let $f: X \to S$ be a proper and smooth morphism of Noetherian schemes. Let $q \geq 1$ be an integer, L a line bundle on X and $\omega \in \Gamma(X, \Omega_f^q \otimes L)$ an LDS form (cf. Section B.1). Denote the associated distribution by $\mathcal V$ and suppose that $\mathcal V$ is in fact a involutive.

For each $s \in S$, let X_s denote the fibre of X above s and denote by V_s the pull-back of V to X_s . Assume that for a certain $o \in S$,

- (1) we have $\operatorname{codim}(\operatorname{Sing}(\mathcal{V}) \cap X_o, X_o) \geq 2$,
- (2) the codimension of the "non-Kupka locus" of Sing(V) satisfies

Codimension of $\operatorname{NKup}(\mathcal{V}) \cap X_o$ in X_o is at least 3,

and

(3) the sheaf $T_{\mathcal{V}_o}$ is locally free.

Then for all s in a certain neighbourhood of o, the tangent sheaf $T_{\mathcal{V}_s}$ is also locally free.

1.2. **The space of Lie subalgebras.** The parts under this rubric are in Section 2; their relevance to this work lies in the fact that one of its outputs (Theorem C) shall enable us to give lower bounds to the number of irreducible components of the space of foliations (see Section 1.3). But we highlight that the theme "spaces of subalgebras" is intrinsically an interesting one and may be pursued for its own sake. So much so that Section 2 can be read independently and only requires basic knowledge of Algebraic Geometry and Lie algebras.

An important class of foliations is given by (certain) actions of a Lie algebra. More precisely, given a field k and a smooth k-scheme X, any subalgebra \mathfrak{h} of the Lie algebra $H^0(X,T_{X/k})$ gives rise to an \mathcal{O}_X -linear map $\gamma:\mathfrak{h}\otimes\mathcal{O}_X\to T_{X/k}$ compatible with Lie brackets. Granted reasonable hypothesis, this gives rise to a foliation $\mathcal{A}(\mathfrak{h})$ with tangent sheaf $\mathfrak{h}\otimes\mathcal{O}_X$, so that "openness results" (cf. in Section 1.1) may lead to information

about the "size" of foliations by actions inside a given family. This idea shall be explored in detail (see Section 1.3).

Let k be a field and let $\mathfrak g$ be a finite dimensional Lie algebra over k. For a given integer $1 \le r < \dim \mathfrak g$, it is not difficult to construct a closed subscheme $\mathbf{SLie}(r,\mathfrak g)$ of the Grassmann variety $\mathrm{Gr}(r,\mathfrak g)$ of r-dimensional subspaces in $\mathfrak g$, whose k-valued points correspond to r-dimensional subalgebras of $\mathfrak g$. This beautiful space was introduced by Richardson [R67] and has unfortunately drawn little attention from mathematicians. In Section 2, we show:

Theorem C. Let k be algebraically closed and of characteristic zero, and let \mathfrak{g} be a semi-simple Lie algebra over k other than \mathfrak{sl}_2 . The irreducible components of $\mathbf{SLie}(2,\mathfrak{g})$ are in *bijection* with the set of conjugacy classes of nilpotent elements of \mathfrak{g} .

The veracity of this result can be grasped by the two observations: (1) the "abelian" k-points of $SLie(2,\mathfrak{g})$ are in close relation with the "variety of commuting elements", which is irreducible by [R79], and (2) given a non-abelian 2-dimensional subalgebra \mathfrak{h} , the derived algebra $[\mathfrak{h},\mathfrak{h}]$ is a line of *nilpotent elements* (cf. Section 2.3), and is hence contained in a *single* nilpotent orbit [BLie, VIII.11.2-3].

1.3. Irreducible components of the spaces of foliations. Let $m \ge 0$ and $n \ge 1$ be integers and consider the quasi-projective complex algebraic set

$$\operatorname{Fol}^{1}(\mathbb{P}^{n}_{\mathbb{C}}, m) = \left\{ \omega \in \mathbb{P}\left(H^{0}(\mathbb{P}^{n}_{\mathbb{C}}, \Omega^{1}(m+2))\right) : \begin{array}{c} \operatorname{d}\omega \wedge \omega = 0 \\ \operatorname{dim}\operatorname{Sing}(\omega) \leq n-2 \end{array} \right\},$$

the space of foliations of codimension one and degree m on \mathbb{P}^n , or the space of irreducible and integrable algebraic Pfaff equations [Jo79, Ch. 2]. Given its encompassing geometric content, $\operatorname{Fol}^1(\mathbb{P}^n_{\mathbb{C}}, m)$ has always attracted attention of geometers and the determination of the number of its irreducible components is an affordable and beautiful problem. For the sake of discussion, let us write

$$ic^1(\mathbb{P}^n_{\mathbb{C}}, m) := \# \text{ irreducible components of } Fol^1(\mathbb{P}^n, m).$$

(As traditional in many areas of Geometry, in our notations, upper-indices refer to "codimension" while lower ones to "dimension".)

If m < 2, it is known that

$$ic^1(\mathbb{P}^3_{\mathbb{C}}, m) = ic^1(\mathbb{P}^4_{\mathbb{C}}, m) = \cdots$$

More precisely, $ic^1(\mathbb{P}^n_{\mathbb{C}}, 0) = 1$ (this is a simple exercise), while $ic^1(\mathbb{P}^n_{\mathbb{C}}, 1) = 2$ provided that $n \geq 3$ [Jo79, Ch. 1, Proposition 3.5.1]. A celebrated and central result of the theory [CLN96] affirms that

$$ic^1(\mathbb{P}^n_{\mathbb{C}}, 2) = 6$$
 whenever $n \ge 3$.

On the other hand, an upper bound for $ic^1(\mathbb{P}^n_{\mathbb{C}},m)$ was obtained in [Bal94, Theorem 1.2]. Needless to say, this circle of ideas is also relevant in higher codimension. Let $m\geq 0$ and $q\in\{1,\ldots,n\}$ be given, and for each $\omega\in H^0(\mathbb{P}^n_{\mathbb{C}},\Omega^q(m+q+1))$, define

$$\operatorname{Ker}_{\omega} = \operatorname{Ker} \left(T \xrightarrow{\operatorname{id} \otimes \omega} T \otimes \Omega^{q}(m+q+1) \xrightarrow{\operatorname{contract} \otimes \operatorname{id}} \Omega^{q-1}(m+q+1) \right).$$

(Here T stands for the tangent sheaf of $\mathbb{P}^n_{\mathbb{C}}$.) Then, inside $\mathbb{P}H^0(\mathbb{P}^n_{\mathbb{C}}, \Omega^q(m+q+1))$, there exists a locally closed algebraic subset

$$\operatorname{Fol}^q(\mathbb{P}^n,m) = \begin{array}{c} \text{ "Space of singular foliations} \\ \text{ on } \mathbb{P}^n_{\mathbb{C}} \text{ of codimension } q \\ \text{ and degree } m\text{"}, \end{array}$$

such that

$$\omega \longmapsto \operatorname{Ker}_{\omega}$$

establishes a bijection between $\operatorname{Fol}^q(\mathbb{P}^n,m)$ and the saturated, involutive \mathcal{O} -submodules of T of rank n-q, i.e. singular foliations of codimension q. Now, writing r=n-q and putting $\operatorname{Fol}_r=\operatorname{Fol}^q$, let

$$\operatorname{ic}_r(\mathbb{P}^n_{\mathbb{C}}, m) = \operatorname{ic}^q(\mathbb{P}^n_{\mathbb{C}}, m)$$

= # irreducible components of $\operatorname{Fol}_r(\mathbb{P}^n, m)$.

Then, provided that r < n, it is known that

$$ic_r(\mathbb{P}^n_{\mathbb{C}},0)=1$$
 and $ic_r(\mathbb{P}^n_{\mathbb{C}},1)=2.$

The first equality can be read between the lines in [CD05], while the second is [LPT13, Corollary 6.3]. In sharp contrast, we deduce the following from Theorem C and [CP08, Corollary 6.1].

Corollary D. Let $ic_2(\mathbb{P}^n_{\mathbb{C}}, 2)$ be the number of irreducible components of the space $Fol_2(\mathbb{P}^n_{\mathbb{C}}, 2)$ of foliations on $\mathbb{P}^n_{\mathbb{C}}$ of degree 2 and dimension 2. Then, for $n \geq 4$, we have

$$ic_2(\mathbb{P}^n_{\mathbb{C}},2) \geq \# \{ \text{partitions of } n-4 \}.$$

In particular, by [Mar03, Corollary 3.1], we have

$$ic_2(\mathbb{P}^n_{\mathbb{C}}, 2) > \frac{e^{2\sqrt{n-4}}}{14}.$$

In order to apply [CP08, Corollary 6.1] and Theorem C to prove Corollary D, we are required to construct foliations on $\mathbb{P}^n_{\mathbb{C}}$ of *rank two* whose singular set has codimension at least three. This we obtain by direct computations with Lie algebras of vector fields in $\mathbb{P}^n_{\mathbb{C}}$, see Corollary 3.2. Now, since Theorem A generalizes [CP08, Corollary 6.1], the search for Lie algebras of vector fields with "small" singular sets becomes important.

Question E. Let k be a field, X a smooth and projective variety, \mathfrak{g} the Lie algebra of global vector fields on X, and $\gamma: \mathcal{O}_X \otimes \mathfrak{g} \to T_X$ the natural morphism of \mathcal{O}_X -modules. Are there subalgebras $\mathfrak{h} \subset \mathfrak{g}$ such that the "singular set"

$$\left\{ p \in X : \begin{array}{c} (\mathcal{O}_X \otimes \mathfrak{h})(p) \xrightarrow{\gamma} T_X(p) \\ \text{fails to be injective} \end{array} \right\}$$

has large codimension?

In order to have positive answers en masse, we are led to consider varieties of Borel subgroups (or Borel varieties), see Section 5. The advantage of looking at these comes from the possibility of drawing from the vast literature established by group theorists on the theme of "regular elements" and "Springer fibres". Indeed, early in the theory of algebraic groups, the importance of having information on the "number" of Borel subgroups containing a given element was noticed [Sp69]. This produced an impressive body of work [Mc02], allowing us to have deep results at hand. It then becomes possible to give

satisfactory answers to Question E and then to apply our "openess results" (Theorem A) in order to conclude prove:

Theorem F. Let k be an algebraically closed field of characteristic zero. Let G be a linear algebraic group of adjoint type with simple Lie algebra \mathfrak{g} . Let Φ be the reduced root system associated to the choice of a Borel subgroup of G and X the corresponding Borel variety. Assume that Φ is not of type A_1, A_2, A_3, B_2, C_3 or G_2 .

(1) Given \mathfrak{h} in $\mathrm{SLie}(2,\mathfrak{g})(\mathbb{k})$, the natural morphism of \mathcal{O}_X -modules

$$\gamma: \mathcal{O}_X \otimes \mathfrak{h} \longrightarrow T_X$$

is injective on an open subscheme whose complement has codimension at least three. (See Section 5.6.)

(2) There exists a morphism of reduced schemes

$$\psi: \mathrm{SLie}(2,\mathfrak{g}) \longrightarrow \mathrm{Fol}_2(X, \det T_X)$$

with the following properties.

- (a) For $\mathfrak{h} \in \mathrm{SLie}(2,\mathfrak{g})(\mathbb{k})$, the point $\psi(\mathfrak{h})$ is the $(\dim X 2)$ -form associated to the foliation deduced from $\mathcal{O}_X \otimes \mathfrak{h} \to T_X$. (See Section 5.6.)
- (b) The morphism ψ is open. (See Theorem 6.3)
- (3) The number of irreducible components of $\operatorname{Fol}_2(X, \det T_X)$ is at least the number of nilpotent conjugacy classes in \mathfrak{g} .
- 1.4. **Generalities.** In the last four decades there has been an interest in studying "spaces of distributions" or "spaces of foliations" using three different methods. The first tends to identify distributions (or foliations) with differential forms and finds its modern introduction in the influential text [Jo79, 4.1]. Once we deal with distributions of codimension higher than 1, this approach depends on the correspondence between distributions and forms [dM77, dM00]. Another point of view, introduced by [P87] and adopted in [Q15, CJM22, Ve24], uses Grothendieck's Quot-scheme in order to study distributions by means of their sheaves. Finally, another method is that of [Ba87], which changes the usage of the Quot-scheme by the Chow scheme. These approaches are not identical and comparing them more carefully is to be done elsewhere. Here, we shall work with "distributions spaces" as "spaces of twisted forms", staying thus in the line of [Jo79, CLN96, dM00, CP08]. This decision has a cost, which we now explain.

The reader entering the bulk of our arguments will probably realize that a certain amount of non-trivial Commutative Algebra is necessary to attain our goals. Indeed, already a working definition of a family of distributions requires some care, since flatness "on the base" (which amounts to the approach through the Quot-scheme [Q15]) cannot be taken unreservedly (see for example [Q15, Section 2]). This is the reason for which we end the paper with generalities in Commutative Algebra and in the theory of distributions in the algebraic case: Appendices A and B. In them, we profit to introduce a number of techniques from Commutative Algebra in the context of distributions, hoping that they may be useful not only as a guide to the non-initiated, but also as a set of first steps into a possible more harmonious theory for "families" of distributions. That this is a worthy enterprise may be justified by the fact that very little is known about the Commutative Algebra of the "spaces of foliations"; having enough elbow-room to deal with these spaces in a uniform manner should be useful elsewhere.

Notations and conventions.

- (1) All rings are commutative and unital and \mathbb{k} always stands for a field.
- (2) All Lie algebras are of finite dimension.
- (3) An open subset U of a Noetherian scheme is called big if $\operatorname{codim}(X \setminus U, X) \geq 2$.
- (4) For a vector space V, we let $\mathbb{P}(V)$ be the projective space of *lines* in V.
- (5) Given a ring A and an A-module M, we let M^{\vee} be $\operatorname{Hom}_A(M,A)$. A similar notation is employed for sheaves of modules.
- (6) Given a point x on a scheme X, we let k(x) stand for its residue field, i.e. the residue field of the local ring \mathcal{O}_x .
- (7) Given a morphism of schemes $f: Y \to X$, the fibre of f above a point $x \in X$, often denoted by Y_x , is the scheme $Y \times_X \operatorname{Spec} \mathbf{k}(x)$. This is *homeomorphic* to the subspace $f^{-1}(x)$ of Y [EGA, I, 3.6.1].
- (8) By a vector bundle on a scheme, we mean a locally free sheaf of finite and fixed rank.
- (9) For a smooth morphism of schemes $f: X \to S$, we shall write T_f for the relative tangent sheaf. (One usually writes $T_{X/S}$, which we avoid for the sake of simplicity.)
- (10) Let \mathcal{F} be a vector bundle and \mathcal{E} be an \mathcal{O} -submodule. We say that \mathcal{E} is a *subbundle* of \mathcal{F} if \mathcal{E} and \mathcal{F}/\mathcal{E} are vector bundles.
- (11) Given a noetherian scheme X and a coherent \mathcal{O}_X -module \mathcal{M} on it, its singular scheme $\mathrm{Sing}(\mathcal{M})$ is the closed set of points $x \in X$ where \mathcal{M}_x fails to be a free \mathcal{O}_x -module.
- (12) Given a noetherian scheme X and $\gamma: E \to F$ a morphism of vector bundles, we define $\mathrm{Sing}(\gamma)$ as being the set of points $x \in X$ where the map induced on the k(x)-spaces $\gamma(x): E(x) \to F(x)$ fails to be *injective*. This is a closed set. If s is a section of E, then $\mathrm{Sing}(s)$ is the singular set of the obvious morphism $s: \mathcal{O}_X \to E$.

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2. The scheme of Lie subalgebras

In this section, we go over the theory of the scheme of Lie subalgebras of a given Lie algebra. In order to give fluidity to the exposition, the schemes in sight shall all be algebraic (i.e. of finite type) over a given algebraically closed field k [EGA, I, 6.4.1]. In addition, k shall soon be taken to be of characteristic zero. Points on schemes are always closed, i.e. k-points.

2.1. The scheme SLie parametrising subalgebras [R67]. Let $\mathfrak g$ be a Lie algebra over k and, given $1 \le r < \dim \mathfrak g$, let $\mathrm{Gr}(r,\mathfrak g)$ stand for the Grassmann variety of r-dimensional subspaces of $\mathfrak g$. By construction, we have an exact sequence of locally free $\mathcal O_{\mathrm{Gr}(r,\mathfrak g)}$ -modules

$$(2.1) 0 \longrightarrow \mathcal{U} \longrightarrow \mathcal{O}_{Gr(r,\mathfrak{g})} \otimes \mathfrak{g} \longrightarrow \mathcal{Q} \longrightarrow 0,$$

where \mathcal{U} is the universal subbundle. Now, if $\beta: \wedge^2 \mathcal{U} \to \mathcal{Q}$ stands for the composition

$$\wedge^2 \mathcal{U} \longrightarrow \wedge^2 \left(\mathcal{O}_{\mathrm{Gr}(r,\mathfrak{g})} \otimes \mathfrak{g} \right) \xrightarrow{[\cdot,\cdot]} \mathcal{O}_{\mathrm{Gr}(r,\mathfrak{g})} \otimes \mathfrak{g} \longrightarrow \mathcal{Q},$$

we define $\mathbf{SLie}(r,\mathfrak{g})$ as the closed subscheme of $\mathrm{Gr}(r,\mathfrak{g})$ cut out by the vanishing of β . Hence, in particular, a point $V \in \mathrm{Gr}(r,\mathfrak{g})$ lies in $\mathbf{SLie}(r,\mathfrak{g})$ exclusively when V is a subalgebra of \mathfrak{g} . We call $\mathbf{SLie}(r,\mathfrak{g})$ the *scheme of r-dimensional Lie subalgebras of* \mathfrak{g} [R67, Sections 3 and 4]. Since we shall be mostly concerned with topological properties of $\mathbf{SLie}(r,\mathfrak{g})$, it is convenient to denote by $\mathrm{SLie}(r,\mathfrak{g})$ the *reduced subscheme of* $\mathbf{SLie}(r,\mathfrak{g})$ associated to $\mathbf{SLie}(r,\mathfrak{g})$.

The tangent space of $Gr(r, \mathfrak{g})$ at a point representing a subspace V (recall that points are always closed at this part of the text) is naturally identified with

$$\operatorname{Hom}(V, \mathfrak{g}/V)$$
.

When $V = \mathfrak{h}$ is a subalgebra of \mathfrak{g} then the adjoint action of \mathfrak{g} on itself induces a \mathfrak{h} -module structure on $\mathfrak{g}/\mathfrak{h}$. If $(\mathrm{Hom}_{\Bbbk}(\wedge^{\bullet}\mathfrak{h},\mathfrak{g}/\mathfrak{h}),d)$ denotes the Chevalley-Eillenberg complex of the \mathfrak{h} -module $\mathfrak{g}/\mathfrak{h}$ [We94, Corollary 7.7.3, p.240] then, by definition, $\mathrm{Hom}(\mathfrak{h},\mathfrak{g}/\mathfrak{h}) = C^1(\mathfrak{h},\mathfrak{g}/\mathfrak{h})$. As shown by Richardson in [R67, Proposition 6.1], the Zariski tangent space of $\mathrm{SLie}(r,\mathfrak{g})$ at \mathfrak{h} equals

$$Z^{1}(\mathfrak{h}, \mathfrak{g}/\mathfrak{h}) = \{\delta : \mathfrak{h} \to \mathfrak{g}/\mathfrak{h} : \delta([xy]) = x\delta(y) - y\delta(x)\},$$

the subsapce of all derivations [We94, 7.4.3] or crossed homomorphisms.

Now, the Zariski tangent space of the orbit of $\mathfrak{h} \in \mathbf{SLie}(r,\mathfrak{g})$ under the action of G (induced by $\mathrm{Ad}: G \to \mathrm{GL}(\mathfrak{g})$) equals, according to [R67, Proposition 7.1], the space of coboundaries or inner derivations

$$B^{1}(\mathfrak{h},\mathfrak{g}/\mathfrak{h}) = \{dm : \mathfrak{h} \to \mathfrak{g}/\mathfrak{h} : m \in \mathfrak{g}/\mathfrak{h}\},\$$

where $dm(x) = xm = [x, m] \mod \mathfrak{h}$ cf. [We94, 7.4.4]. See also [CSS14] for a recent presentation of the results quoted above.

2.2. Commuting varieties. Let \mathfrak{g} be a finite-dimensional Lie algebra and let $r \geq 2$ be an integer. The variety of commuting r-tuples of \mathfrak{g} is, by definition,

$$C_r(\mathfrak{g}) = \{(x_1, \dots, x_r) \in \mathfrak{g}^r ; [x_i, x_j] = 0, \text{ for all } 1 \le i, j \le r\}$$
.

Clearly, $C_r(\mathfrak{g})$ is a closed subscheme of the affine space \mathfrak{g}^r and questions about its nature immediately come to the fore. Motzkin and Taussky [MT55, Theorem 5] were the first to show, when $\mathbb{k}=\mathbb{C}$ and by using the Euclidean topology, that $C_2(\mathfrak{gl}_n)$ is irreducible. Gerstenhaber, apparently unaware of the work by Motzkin and Taussky, extended this result to arbitrary algebraically closed fields, see [Ge61, Ch. II, Theorem 1]. In [Ge61, p. 342], Gerstenhaber explains that the irreducibility of $C_2(\mathfrak{gl}_n)$ was conjectured by Goto, who also observed that $C_r(\mathfrak{gl}_n)$ has more than one irreducible component when $4 \le r < n$. More recently, Guralnick [Gu92] proved that $C_r(\mathfrak{gl}_n)$ cannot be irreducible when $n \ge 4$ and $n \ge 4$ or when n = 3 and $n \ge 32$. The above list of works is a small sample of those discussing irreducibility of $C_r(\mathfrak{gl}_n)$ and, to the best of our knowledge, the complete list of values (r,n) for which $C_r(\mathfrak{gl}_n)$ is irreducible is still unknown. Although the study of commuting varieties has a classical flavour, it is an active area of research still today with a number of open questions. For Lie algebras other than \mathfrak{gl}_n , Richardson's result below (cf. [R79, Corollary 2.5]) is fundamental and is a key ingredient in the proof of Theorem C.

Theorem 2.1 (Richardson). If $char(\mathbb{k}) = 0$ and \mathfrak{g} is reductive, then $C_2(\mathfrak{g})$ is irreducible.

In the following Lemma, we shall require the notion of semi-simple and nilpotent elements in a semi-simple Lie algebra [Hu78, 5.4 and 6.4]. Hence, from now on, we

suppose that
$$char(\mathbb{k}) = 0$$
.

For brevity, we let \mathfrak{g}_{nil} stand for the subset of nilpotent elements and \mathfrak{g}_{ss} for the set of semi-simple ones.

Lemma 2.2. Let \mathfrak{g} be a semi-simple Lie algebra over the algebraically closed field \mathbb{k} of characteristic zero. If $\mathfrak{h} \subset \mathfrak{g}$ is a subalgebra such that $\mathfrak{g}_{nil} \cap \mathfrak{h} = \{0\}$, then \mathfrak{h} is abelian.

Proof. We first prove that \mathfrak{h} is solvable by assuming otherwise and arriving at a contradiction. This being so, \mathfrak{h} contains a semi-simple subalgebra $\mathfrak{r} \neq 0$ (a Levi subalgebra [We94, Theorem 7.8.13]). If $\mathfrak{b} \subset \mathfrak{r}$ is a Borel subalgebra (cf. [Hu78, 16.3] or [BLie, VIII.3.3]), then $[\mathfrak{b},\mathfrak{b}] \neq 0$ only contains nilpotent elements of \mathfrak{r} . Since $\mathfrak{r}_{nil} \subset \mathfrak{g}_{nil}$, we conclude that $[\mathfrak{b},\mathfrak{b}] = 0$, which forces \mathfrak{r} to have no roots. This contradiction shows that \mathfrak{r} cannot exist and \mathfrak{h} is solvable. Now, let \mathfrak{b} be a Borel subalgebra of \mathfrak{g} containing \mathfrak{h} ; since $[\mathfrak{b},\mathfrak{b}]$ only contains nilpotent elements we conclude that $[\mathfrak{h},\mathfrak{h}] = 0$.

Corollary 2.3. Let $\mathfrak{g} \neq \mathfrak{sl}_2$ be a semi-simple Lie algebra over the algebraically closed field of characteristic zero \mathbb{k} . Let Σ be the set of all points $\mathfrak{h} \in \mathrm{SLie}(2,\mathfrak{g})$ for which \mathfrak{h} is abelian. Then Σ is closed and is an irreducible component of $\mathrm{SLie}(2,\mathfrak{g})$.

Proof. First, as we exclude \mathfrak{sl}_2 , the set Σ is non-empty (a maximal toral subagebra will have always dimension at least two). Adopting the notations employed in eq. (2.1), Σ is the set where the composition

$$\wedge^2 \mathcal{U} \longrightarrow \wedge^2 \left(\mathcal{O}_{\mathrm{Gr}(r,\mathfrak{g})} \otimes \mathfrak{g} \right) \longrightarrow \mathcal{O}_{\mathrm{Gr}(r,\mathfrak{g})} \otimes \mathfrak{g}$$

vanishes and is hence closed. Theorem 2.1 implies that Σ is also irreducible. It remains to show that Σ is an irreducible component of $\mathrm{SLie}(2,\mathfrak{g})$, which is done by proving that Σ contains an open and non-empty subset of $\mathrm{SLie}(2,\mathfrak{g})$. For that, note that $A=\{V\in\mathrm{Gr}(2,\mathfrak{g}):V\cap\mathfrak{g}_{\mathrm{nil}}=0\}$ is open because of Bertini's theorem and the fact that the dimension of the closed algebraic subset $\mathfrak{g}_{\mathrm{nil}}$ is $\dim\mathfrak{g}-\mathrm{rank}\,\mathfrak{g}$ [McO2, Theorem 4.1] and this is $\leq \dim\mathfrak{g}-2$. Then, employing Lemma 2.2, we see that $\mathrm{SLie}(2,\mathfrak{g})\cap A$ is contained in Σ and is non-empty. \square

2.3. Two dimensional non-abelian subalgebras and nilpotent orbits. We keep on assuming that k is algebraically closed and of characteristic zero and \mathfrak{g} is a semi-simple Lie algebra over k. We now analyse the structure of the non-abelian elements of $SLie_2(\mathfrak{g})$.

Let $\mathfrak{h} \in \mathrm{SLie}_2(\mathfrak{g})$ be non-abelian. As is well-known [Hu78, 1.4], such an algebra is spanned by $\{e,h\}$ satisfying [h,e]=2e. Moreover, as \mathfrak{g} is assumed semi-simple, then \mathfrak{h} must contain a non-zero nilpotent element n of \mathfrak{g} (Lemma 2.2). Using the nilpotency of $\mathrm{ad}_n : \mathfrak{h} \to \mathfrak{h}$, it is not difficult to see that $n \in \mathbb{k}e$ and hence

In particular, $e \in \mathfrak{g}_{\mathrm{nil}}$ and in fact $\mathfrak{g}_{\mathrm{nil}} \cap \mathfrak{h} = [\mathfrak{h}, \mathfrak{h}]$.

Let us fix a connected linear algebraic group G of adjoint type, i.e. a linear connected linear algebraic group G with Lie algebra \mathfrak{g} and such that $\mathrm{Ad}: G \to \mathrm{GL}(\mathfrak{g})$ is a closed

immersion. We observe that $kn \setminus \{0\} = [\mathfrak{h}, \mathfrak{h}] \setminus \{0\}$ is contained in single G-orbit, which we call

$$Orb(\mathfrak{h}).$$

(This is a consequence of [CM93, proof of 4.3.1], or of the Jacobson-Morozov Theorem [BLie, VIII.11.2] plus [BLie, VIII.11.3, Proposition 6].)

For the sake of uniformity of notation, for any given abelian $\mathfrak{a} \in \mathrm{SLie}(2,\mathfrak{g})$, we let

$$Orb(\mathfrak{a}) = The orbit \{0\}.$$

Theorem 2.4 (Theorem C of the Introduction). Let $\mathfrak{g} \neq \mathfrak{sl}_2$ be a semi-simple Lie algebra over \mathbb{k} . The irreducible components of $\mathbf{SLie}(2,\mathfrak{g})$ are in bijection with the set of conjugacy classes of nilpotent elements of \mathfrak{g} under the action of G. More precisely, the bijection is obtained from the map

Orb :
$$SLie(2, \mathfrak{g}) \longrightarrow \{Nilpotent\ orbits\}$$

constructed above.

Proof. Let us abbreviate $\mathrm{SLie}(2,\mathfrak{g})$ to L and let $L^{\mathrm{na}}=\{\mathfrak{h}\in L: [\mathfrak{h},\mathfrak{h}]\neq 0\}$ be the (quasi-projective algebraic) subset of non-abelian subalgebras. We already know that $L\setminus L^{\mathrm{na}}$ is an irreducible component (Corollary 2.3) and we are left with showing that Orb establishes a bijection between the irreducible components of L^{na} and the non-trivial nilpotent orbits.

Let N stand for the set of nilpotent orbits in $\mathfrak{g} \setminus \{0\}$ and for each $n \in N$, we pick an element $e_n \in n$; note that $e_n \neq 0$. A fundamental result in the theory says that N is *finite* (cf. [CM93, Corollary 3.2.15] or [Mc02, Theorem 3.11]). Define

$$A_n := \{ x \in \mathfrak{g} : [x, e_n] = 2e_n \};$$

this is a linear subspace of $\mathfrak g$ and by the Jacobson-Morozov Theorem (cf. [BLie, VIII.11.2] or [Mc02, Theorem 3.4]) it is also non-empty. (Note that A_n is an affine space over the vector space $Z_{\mathfrak g}(e_n)$.) Let now

$$\varphi_n: G \times A_n \longrightarrow L^{\mathrm{na}}$$

be the map $(g,x) \mapsto kg(x) + kg(e_n)$. Note that $Orb(\varphi_n(g,x)) = n$. Then

$$L^{\mathrm{na}} = \bigsqcup_{n \in N} \mathrm{Im}(\varphi_n).$$

Indeed, if $\mathfrak{h} \in L^{\mathrm{na}}$, then $[\mathfrak{h},\mathfrak{h}] = \Bbbk g(e_n)$ (see the discussion around eq. (2.2)) for some $g \in G$ and $n \in N$. Now there exists $x \in \mathfrak{h}$ such that $[x,g(e_n)]=g(e_n)$; then $y:=g^{-1}(x) \in A_n$ and $\mathfrak{h} = \Bbbk g(y) + \Bbbk g(e_n) \in \mathrm{Im}(\varphi_n)$. Since each $G \times A_n$ is irreducible, the same is true about the constructible set

$$B_n := \operatorname{Im}(\varphi_n).$$

It takes little effort to see that,

$$B_n = \{ \mathfrak{h} \in L^{\mathrm{na}} : \mathrm{Orb}(\mathfrak{h}) = n \}.$$

Let $\Sigma \subset L^{\mathrm{na}}$ be an irreducible component. From $\Sigma = \bigcup_n \Sigma \cap \overline{B}_n$, it follows that

$$\Sigma = \overline{B}_{\gamma(\Sigma)} \text{ for a certain } \gamma(\Sigma) \in N.$$

Consequently, constructibility assures that $B_{\gamma(\Sigma)}$ contains an open and dense subset of Σ [EGA, $0_{\rm III}$. 9.2.3]. In addition, if $\nu \in N \setminus \{\gamma(\Sigma)\}$, then B_{ν} cannot contain an open

dense subset of Σ : otherwise $\Sigma \cap B_{\nu} \cap B_{\gamma(\Sigma)} \neq \emptyset$. In conclusion, there exists a unique $\gamma(\Sigma) \in N$ such that $B_{\gamma(\Sigma)}$ contains an open and dense subset of Σ , and we arrive at a map

$$\gamma: \left\{ \begin{array}{c} \text{irreducible} \\ \text{components of } L^{\mathrm{na}} \end{array} \right\} \longrightarrow N.$$

We note that $B_{\gamma(\Sigma)} \subset \Sigma$ and that Σ is the *only irreducible component of* L^{na} *containing it.* This shows as that the map γ is injective.

To prove surjectivity, it suffices to show that each B_n contains an open and non-empty subset. This is the reason for the next result.

Proposition 2.5. Let e be any non-zero nilpotent element of \mathfrak{g} in the orbit n. Let $(e, h, f) \in \mathfrak{g}$ be an \mathfrak{sl}_2 -triple, i.e.

$$[h, e] = 2e, \quad [h, f] = -2f, \quad [e, f] = h.$$

(The existence is assured by the Jacobson-Morozov theorem [BLie, VIII.11.2].) Let $\mathfrak{h} = \mathbb{k}h + \mathbb{k}e$. Then there exits a smooth \mathbb{k} -scheme U and a smooth morphism $\psi : U \to \mathbf{SLie}(2,\mathfrak{g})$ whose image is contained in B_n .

Proof. Let $\mathfrak{s} = \mathbb{k}e + \mathbb{k}f + \mathbb{k}h$. (Clearly $\mathfrak{s} \simeq \mathfrak{sl}_2$.) We will describe the infinitesimal deformations of \mathfrak{h} inside \mathfrak{g} and show that they are all realized by actual deformations. From Richardson's description of the tangent spaces in $\mathbf{SLie}(2,\mathfrak{g})$ (see Section 2.1), we are required to study $Z^1(\mathfrak{h},\mathfrak{g}/\mathfrak{h})$.

Let us give \mathfrak{g} and $M:=\mathfrak{g}/\mathfrak{h}$ the obvious structures of \mathfrak{s} -module and of \mathfrak{h} -module. We write x_M for the endomorphism of M obtained from the action of $x \in \mathfrak{h}$ on it. From the standard representation theory of \mathfrak{sl}_2 [Hu78, 7.2], we know that h acts in a diagonal way on \mathfrak{g} having only integer eigenvalues. Since $\mathfrak{h} \subset \mathfrak{g}$ is invariant under ad_h , it follows that h_M acts diagonally on M with only integer eigenvalues. Hence, for each $i \in \mathbb{Z}$, let \mathfrak{g}_i , resp. M_i , stand for the eigenspace associated to the eigenvalue i.

We now define an injective linear map $\delta: M^{\mathfrak{h}} \to Z^1(\mathfrak{h}, M)$ by

$$\delta_x(e) = 0$$
 and $\delta_x(h) = x$.

We claim that δ induces an isomorphism

$$M^{\mathfrak{h}} \simeq H^1(\mathfrak{h}, M).$$

Since injectivity is straightforward, we move on to verify surjectivity. Let $\varphi \in Z^1(\mathfrak{h}, M)$ be an arbitrary cocyle. If $\varphi(h) = y_0 + \sum_{i \neq 0} y_i$ with $y_i \in M_i$ then, after replacing φ by $\varphi - d(\sum_{i \neq 0} i^{-1}y_i)$, we can assume that $\varphi(h) \in M_0$. Since $\varphi \in \mathrm{Der}(\mathfrak{h}, M)$, we have

$$2\varphi(e) = \varphi([h, e])$$

$$= h_M(\varphi(e)) - \underbrace{e_M(\varphi(h))}_{\in M_2},$$

and we deduce that $\varphi(e) \in M_2$; indeed, writing $\varphi(e) = \sum x_i$ with $x_i \in M_i$, then $\sum (i-2)x_i \in M_2$, which proves that $x_i = 0$ unless i = 2. Under this light, equation $2\varphi(e) = h_M(\varphi(e)) - e_M(\varphi(h))$ shows that $\varphi(h)$ in addition belongs to $\operatorname{Ker} e_M$, so that $\varphi(h) \in M^{\mathfrak{h}}$. Since the composition

$$\mathfrak{g}_2 \xrightarrow{\mathrm{ad}_f} \mathfrak{g}_0 \xrightarrow{\mathrm{ad}_e} \mathfrak{g}_2$$

is multiplication by 2, the map $e_M: M_0 \to M_2$ is surjective. Hence, if $x \in M_0$ satisfies $e_M x = \varphi(e)$, then $\varphi - dx \in Z^1(\mathfrak{h}, M)$ is such that $(\varphi - dx)(h) = \varphi(h) \in M^{\mathfrak{h}}$ and

 $(\varphi - dx)(e) = 0$. It is then clear that $\delta: M^{\mathfrak{h}} \to Z^1(\mathfrak{h}, M)$ induces an isomorphism onto $H^1(\mathfrak{h}, M)$.

Let $\pi:\mathfrak{g}\to M$ be the projection. Since $\pi|_{\mathfrak{g}_0}:\mathfrak{g}_0\to M_0$ is surjective (with kernel $\Bbbk h$), there exists a subspace $V\subset\mathfrak{g}_0$ such that $\pi|_V:V\to M_0$ induces an isomorphism $V\stackrel{\sim}{\to} M^\mathfrak{h}$. This implies that for each $\varphi\in Z^1(\mathfrak{h},M)$, there exists $v\in V$ and $x\in M$ such that $\varphi=\delta_{\pi(v)}+dx$.

Now, if $v \in V$, it is not difficult to see that $[v,e] \in \mathbb{k}e$ so that $\mathbb{k}e + \mathbb{k}(h+v)$ is a subalgebra. Let $\psi : G \times V \to \mathrm{SLie}(2,\mathfrak{g})$ be the morphism $(g,v) \mapsto \mathbb{k}g(e) + \mathbb{k}g(h+v)$; if $[v,e] + 2e \neq 0$, then $\mathrm{Orb}(\psi(g,v))$ is Ge, the orbit of e. Let $V' = \{[v,e] + 2e \neq 0\}$ and let ψ' be the restriction to $G \times V'$. It follows that $\mathrm{Im}(\psi') \subset B_n$ and that $\psi'(1_G,0) = \mathfrak{h}$. Also, the differential $\mathrm{D}_{(1_G,0)}\psi : T_1G \times T_0V \to T_{\mathfrak{h}}\mathbf{SLie}(2,\mathfrak{g})$ is surjective. Since $G \times V'$ is a smooth \mathbb{k} -scheme, then $\mathbf{SLie}(2,\mathfrak{g})$ is also smooth over \mathbb{k} at \mathfrak{h} , and ψ' is smooth at $(1_G,0)$. (These last claims are follow from an exercise which we were unable to find explicitly stated in the literature; we move it to Lemma 2.6 below.)

Lemma 2.6. Let $f: Y \to X$ be a morphism between algebraic \mathbb{k} -schemes and let $y \in Y$ be a \mathbb{k} -rational point of Y with image x. Assume that Y is smooth and that $D_y f: TY|_y \to TX|_x$ is surjective. Then X is smooth at x and f is smooth at y.

Proof. Indeed, let (R,\mathfrak{m}) and (S,\mathfrak{n}) be the complete local rings at x and y respectively, and denote by $g:R\to S$ the associated map. By hypothesis, the induced \Bbbk -linear map $\mathfrak{m}/\mathfrak{m}^2\to\mathfrak{n}/\mathfrak{n}^2$ is injective. Let $\boldsymbol{u}=\{u_i\}\subset\mathfrak{m}$ induce a basis of $\mathfrak{m}/\mathfrak{m}^2$ and let $\boldsymbol{v}=\{v_j\}\subset\mathfrak{n}$ be defined in such a manner that $\{g(u_i),v_j\}$ induces a basis for $\mathfrak{n}/\mathfrak{n}^2$. Now, $\Bbbk[\boldsymbol{u},\boldsymbol{v}]=S$ and the natural map $\pi:\Bbbk[\boldsymbol{U}]\to R$ defined by $U_i\mapsto u_i$ is surjective. But since $g\pi$ is injective, we can be sure that π is an isomorphism. Since f is of finite type and both X, and Y are algebraic, all claims are proved.

As is well-known, smooth morphisms are always open (see [EGA], IV_4 , 7.5.1 and IV_2 , 2.4.6) and we have concluded the proof of Theorem 2.4.

3. Irreducible components of FoI for a projective space of dimension at least 4

In what follows, all schemes in sight are algebraic over \mathbb{C} and points on these schemes are assumed closed. Also, $\mathfrak{sl}_n(\mathbb{C})$ is abbreviated to \mathfrak{sl}_n .

Using certain foliations on \mathbb{P}^4 of dimension 2, we shall study the scheme of foliations on \mathbb{P}^n for $n \geq 4$ by employing the results of Section 2 and [CP08, Corollary 6.1].

3.1. Partitions and nilpotent classes. We begin by recalling well-known facts. Let $n \ge 1$ be an integer and denote by \mathcal{P}_n the set of partitions of n. Agreeing to write J_{μ} for the Jordan block

$$\begin{pmatrix} 0 & 1 & & & \\ & 0 & 1 & & \\ & & \ddots & \ddots & \\ & & & & 1 \\ & & & & 0 \end{pmatrix} \in \mathfrak{sl}_{\mu},$$

let us then define, for each partition $\lambda = [\lambda_1, \dots, \lambda_s]$ of n, the Jordan matrix

(3.1)
$$J_{\lambda} := \begin{pmatrix} J_{\lambda_1} & & & \\ & J_{\lambda_2} & & \\ & & \ddots & \\ & & & J_{\lambda_s} \end{pmatrix} \in \mathfrak{sl}_n.$$

With these notations, the map

$$\mathcal{P}_n \longrightarrow \begin{array}{c} \text{Conjugacy classes} \\ \text{in } (\mathfrak{sl}_n)_{\text{nil}} \end{array}$$

$$\lambda \longmapsto \text{class of } J_{\lambda}$$

is a bijection [CM93, 3.1]. Similarly, let us introduce

$$H_{\mu} := \begin{pmatrix} \mu - 1 & & & \\ & \mu - 3 & & \\ & & \ddots & \\ & & & -\mu + 1 \end{pmatrix} \in \mathfrak{sl}_{\mu},$$

and, write

$$H_{\lambda} = \begin{pmatrix} H_{\lambda_1} & & \\ & \ddots & \\ & & H_{\lambda_n} \end{pmatrix} \in \mathfrak{sl}_n$$

for each $\lambda = [\lambda_1, \dots, \lambda_s] \in \mathcal{P}_n$. A direct computation shows that $[H_\lambda, J_\lambda] = 2J_\lambda$. It should be noted here that, for each $\mu \geq 2$, the couple $\{J_\mu, H_\mu\}$ can be completed to an \mathfrak{sl}_2 -triple $\{J_\mu, H_\mu, K_\mu\}$; in like fashion, for each partition λ of n, we arrive at an \mathfrak{sl}_2 -triple $\{J_\lambda, H_\lambda, K_\lambda\}$.

3.2. Vector fields in projective space associated to certain partitions. In what follows, let su denote the tangent sheaf of \mathbb{P}^n by T. Letting SL_{n+1} act on the left of \mathbb{P}^n in standard fashion, we can construct from $v \in \mathfrak{sl}_{n+1}$ a vector field

$$v^{\natural} \in H^0(\mathbb{P}^n, T);$$

the fundamental vector field associated to v. Concretely, if $p \in \mathbb{P}^n$ is a point, then $v(p) \in T(p)$ is the image of v under the orbit map $\operatorname{orb}_p : \operatorname{SL}_{n+1} \to \mathbb{P}^n$. Once we endow \mathbb{P}^n with homogeneous coordinates $\{x_i\}_{i=1}^{n+1}$, it is not difficult to see that the canonical matrices

 $E_{ij} \in \mathfrak{sl}_{n+1}$ give rise to the fields $x_i \frac{\partial}{\partial x_j}$. From the Euler exact sequence, we know that

$$\mathfrak{sl}_{n+1} \xrightarrow{\sim} H^0(\mathbb{P}^n, T);$$

we shall then identify $H^0(P,T)$ with \mathfrak{sl}_{n+1} .

Let now $n \geq 4$ and denote by δ the difference n-4. Inside \mathcal{P}_{n+1} , let

$$\mathcal{P}'_{n+1} = \{\lambda \in \mathcal{P}_{n+1} : \lambda \text{ has one part equal to 5}\}.$$

Note that $\#\mathcal{P}'_{n+1} = \#\mathcal{P}_{\delta}$. We shall be concerned with the fields J^{\natural}_{λ} and H^{\natural}_{λ} , where $\lambda \in \mathcal{P}'_{n+1}$ and J_{λ} and H_{λ} are as in Section 3.1.

Lemma 3.1. For any partition $\lambda \in \mathcal{P}'_{n+1}$ and any non-zero $(\alpha, \beta) \in \mathbb{C}^2$, we have

dimension
$$\operatorname{Sing}(\alpha J_{\lambda}^{\sharp} + \beta H_{\lambda}^{\sharp}) \leq \delta$$
.

Proof. We deal first with the case $\delta = 0$. After fixing homogeneous coordinates x_0, \ldots, x_4 , we can write

$$J_5^{\natural} = x_1 \frac{\partial}{\partial x_0} + x_2 \frac{\partial}{\partial x_1} + x_3 \frac{\partial}{\partial x_2} + x_4 \frac{\partial}{\partial x_3}$$

and

$$H_5^{\sharp} = 4x_0 \frac{\partial}{\partial x_0} + 2x_1 \frac{\partial}{\partial x_1} - 2x_3 \frac{\partial}{\partial x_3} - 4x_4 \frac{\partial}{\partial x_4}.$$

It is a simple matter to check that $(1:0:\ldots:0)$ is the only singular point of J_5^{\sharp} and that the image of the five canonical vectors of \mathbb{C}^5 is the singular set of H_5^{\sharp} .

Let us then study the singular set of $\alpha J_5^{\sharp} + H_5^{\sharp}$ for varying α . For that, we introduce the vector field on $\mathbb{P}^4_{\mathbb{Q}[t]}$ defined by

$$tJ_5^{\sharp} + H_5^{\sharp} = \sum_{0}^{4} A_i(t) \frac{\partial}{\partial x_i}.$$

Let

$$I=2\times 2$$
 minors of $\begin{pmatrix} x_0 & \cdots & x_4 \\ A_0(t) & \cdots & A_4(t) \end{pmatrix}$,

and denote by $Z \subset \mathbb{P}_{\mathbb{Q}[t]}$ the closed subscheme cut-out by I. With the help of computer algebra, we verify that the comma ideal $(I:t) \subset \mathbb{Q}[t,x_0,\ldots,x_4]$ is identically zero and hence that Z is a flat $\mathbb{Q}[t]$ -scheme. Another application of computer algebra shows that $\dim Z \otimes \mathbb{Q}(t) = 0$ and hence $\dim Z_\alpha = 0$ for all $\alpha \in \operatorname{Spec} \mathbb{Q}[t]$ [EGA, IV₃, 14.2.4]. The Lemma is then verified in the case where $\delta = 0$.

Let us now suppose that $\delta \geq 1$. We pick homogeneous coordinates $x_0, \ldots, x_4, y_1, \ldots, y_\delta$ on \mathbb{P}^n such that

$$J_{\lambda}^{\sharp} = x_1 \frac{\partial}{\partial x_0} + x_2 \frac{\partial}{\partial x_1} + x_3 \frac{\partial}{\partial x_2} + x_4 \frac{\partial}{\partial x_3} + \sum_{i=1}^{\delta} M_i \frac{\partial}{\partial y_i},$$

with $M_i \in \mathbb{C}[y_1,\ldots,y_\delta]$ homogeneous and linear, and

$$H_{\lambda}^{\natural} = 4x_0 \frac{\partial}{\partial x_0} + 2x_1 \frac{\partial}{\partial x_1} - 2x_3 \frac{\partial}{\partial x_3} - 4x_4 \frac{\partial}{\partial x_4} + \sum_{i=1}^{\delta} L_i \frac{\partial}{\partial y_i},$$

with $L_i \in \mathbb{C}[x_0,\ldots,x_4]$ homogeneous and linear. Then $\alpha J_\lambda^{\natural} + \beta H_\lambda^{\natural}$ is of the form

$$\sum_{i=0}^{4} A_i \frac{\partial}{\partial x_i} + \sum_{i=0}^{\delta} B_i \frac{\partial}{\partial y_i}$$

with $A_i \in \mathbb{C}[x_0,\ldots,x_4]$ and $B_i \in \mathbb{C}[y_1,\ldots,y_\delta]$ linear. In addition, the singularity set of the field $\sum A_i \frac{\partial}{\partial x_i}$ in \mathbb{P}^4 is zero dimensional, as we showed above.

Let Z be an irreducible component of $\operatorname{Sing}(\alpha J_{\lambda}^{\sharp} + \beta H_{\lambda}^{\sharp})$, Π be the linear subspace $\{x_0 = \cdots = x_4 = 0\}$ and

$$\Phi: \mathbb{P}^n \setminus \Pi \longrightarrow \mathbb{P}^4$$

be the projection centred at Π . If $Z \subset \Pi$, then $\dim Z \leq \delta - 1$. Otherwise, $Z^{\circ} = Z \setminus \Pi$ is irreducible of dimension $\dim Z$. Now, given $p \in Z^{\circ}$, it follows that $\Phi(p)$ is a point of the singular set of $\sum A_i \frac{\partial}{\partial x_i}$. Hence, $\Phi(Z^{\circ})$ is a single point and Z° is contained in a

fibre. Now the fibres of Φ are isomorphic to \mathbb{A}^{δ} and hence $\dim Z^{\circ} \leq \delta$. In conclusion, $\dim Z \leq \delta$ in each scenario.

Corollary 3.2. For each partition $\lambda \in \mathcal{P}'_{n+1}$, let $\mathfrak{h}_{\lambda} \subset \mathfrak{sl}_{n+1}$ be the subalgebra $\mathbb{C}J_{\lambda} + \mathbb{C}H_{\lambda}$. Let

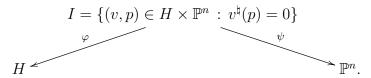
$$\gamma: \mathcal{O} \otimes \mathfrak{h}_{\lambda} \longrightarrow T_{\mathbb{P}^n}$$

be deduced from the natural morphism $\mathfrak{sl}_{n+1} \to H^0(\mathbb{P}^n, T_{\mathbb{P}^n})$. Then the singular set of γ

$$\operatorname{Sing}(\gamma) = \left\{ p \in \mathbb{P}^n : \begin{array}{c} \gamma(p) : (\mathcal{O} \otimes \mathfrak{h}_{\lambda}) \, (p) \to T(p) \\ \text{fails to be injective} \end{array} \right\}$$

has dimension at most n-3.

Proof. Denoting the punctured affine space $\mathfrak{h}_{\lambda} \setminus \{0\}$ by H, we consider the incidence variety with its projections



(It is by direct verification that we assure that I is a closed and reduced subscheme of the product.) Note that $\psi(I) = \operatorname{Sing}(\gamma)$ and that $\psi^{-1}(p)$ is either a linear subspace deprived of its origin or empty. Let Z be an irreducible component of $\operatorname{Sing}(\gamma)$ and let $J \subset I$ be an irreducible component such that $Z = \overline{\psi(J)}$. Then $\dim J \geq \dim Z + 1$ [EGA, IV₂, 5.6.8]. In particular, $\dim I \geq \dim \operatorname{Sing}(\gamma) + 1$. Now, $\dim \varphi^{-1}(v) \leq n - 4$ for each $v \in H$ (Lemma 3.1) and hence [EGA, IV₂, 5.6.7] assures that $\dim I \leq n - 2$. Hence $\dim \operatorname{Sing}(\gamma) \leq n - 3$.

Corollary 3.3. The morphism $\gamma: \mathcal{O} \otimes \mathfrak{h}_{\lambda} \to T$ is injective and defines a singular foliation on \mathbb{P}^n whose singular locus has dimension at most n-3.

Proof. If $p \in U := \mathbb{P}^n \setminus \operatorname{Sing}(\gamma)$, then $(\mathcal{O} \otimes \mathfrak{h}_{\lambda})_p \to T_p$ is injective because of [Mat89, Theorem 22.5]. If then follows that $\operatorname{Ker}(\gamma)$ is supported on a subscheme of dimension inferior to n, so that it must be zero because $\mathcal{O} \otimes \mathfrak{h}_{\lambda}$ is pure. This proves that γ is injective and we are left with verifying that $\operatorname{Im} \gamma$ is saturated. This follows from Proposition A.17-(2) because U is of big and $\operatorname{Im} \gamma$ is certainly saturated over U [Mat89, Theorem 22.5]. \square

3.3. Irreducible components of spaces of foliations. As in Section 3.2 above, we keep on writing T for the tangent sheaf of \mathbb{P}^n . Also, for the sake of clarity in notation, we let P stand for \mathbb{P}^n and Ω^q for the sheaf of q-forms on P.

We set out to study irreducible components of $\operatorname{Fol}_2(P,2) = \operatorname{Fol}_2(P,\mathcal{O}(n+1))$. (The definition of this quasi-projective scheme is given in Section 1.3.) This shall be done by combining Theorem C and one of the main results in [CP08], which we like to call "an openness theorem":

Theorem 3.4 (See [CP08, Theorem 2]). Let $\varphi \in \operatorname{Fol}_r(P, m)$ be such that:

- (1) The \mathcal{O}_P -module $\operatorname{Ker}_{\varphi}$ is free. (For $\operatorname{Ker}_{\varphi}$, see Section B.1.1.)
- (2) The dimension of $\operatorname{Sing}(\varphi)$ is at most n-3.

Then for each ω in a neighbourhood of φ in $\operatorname{Fol}_r(P,m)$, the tangent sheaf $\operatorname{Ker}_{\omega}$ is also free.

Let now $n \ge 4$ and recall from Section 3.2 that \mathcal{P}'_{n+1} stands for the set of all partitions having a summand equal to five.

Lemma 3.5. If $\lambda \in \mathcal{P}'_{n+1}$, then $J^{\sharp}_{\lambda} \wedge H^{\sharp}_{\lambda} \neq 0$.

Proof. We assume otherwise; then for each $p \in P$, there exists $(\alpha, \beta) \in \mathbb{C}^2 \setminus \{0\}$ such that $(\alpha J_{\lambda}^{\natural} + \beta H_{\lambda}^{\natural})^{\natural}(p) = 0$. This contradicts Corollary 3.2.

Let us keep assuming that λ is an element of \mathcal{P}'_{n+1} , and let us write

$$\mathfrak{h}_{\lambda} = \mathbb{C}J_{\lambda} + \mathbb{C}H_{\lambda};$$

this is a non-abelian subalgebra of \mathfrak{sl}_{n+1} .

We define a linear map

$$\Psi: \wedge^2 \mathfrak{sl}_{n+1} \longrightarrow H^0(P, \wedge^2 T)$$

by $v \wedge w \to v^{\dagger} \wedge w^{\dagger}$, and from this we obtain a morphism

$$\mathbb{P}\Psi: \mathbb{P}\left(\wedge^2\mathfrak{sl}_{n+1}\right) \setminus \mathbb{P}(\operatorname{Ker}(\Psi)) \longrightarrow \mathbb{P}\left(H^0(P, \wedge^2 T)\right).$$

Let

$$\pi: \operatorname{Gr}(2,\mathfrak{sl}_{n+1}) \longrightarrow \mathbb{P}\left(\wedge^2 \mathfrak{sl}_{n+1}\right)$$

stand for the Plücker immersion and define the morphism ψ by means of the diagram

$$\operatorname{Gr}(2,\mathfrak{sl}_{n+1}) \setminus \pi^{-1} \mathbb{P}(\operatorname{Ker}(\Psi)) \xrightarrow{\pi} \mathbb{P}(\wedge^{2}\mathfrak{sl}_{n+1}) \setminus \mathbb{P}(\operatorname{Ker}(\Psi))$$

$$\downarrow^{\mathbb{P}\Psi}$$

$$\psi \longrightarrow \mathbb{P}H^{0}(P, \wedge^{2}T).$$

Lemma 3.5 tells us that

$$\mathfrak{h}_{\lambda} \in \mathrm{Gr}(2,\mathfrak{sl}_{n+1}) \setminus \pi^{-1} \mathbb{P}(\mathrm{Ker}(\Psi)).$$

Through the identification $\wedge^2 T \stackrel{\sim}{\to} \Omega^{n-2} \otimes \det T$, the morphism ψ gives rise to a morphism, denoted likewise,

$$\psi: \operatorname{Gr}(2,\mathfrak{sl}_{n+1}) \setminus \pi^{-1} \mathbb{P}(\operatorname{Ker}(\Psi)) \longrightarrow \mathbb{P}H^0(P, \Omega^{n-2} \otimes \det T).$$

Let now $\operatorname{IF}_2(P, \det T)$ be the closed subscheme of $\mathbb{P}H^0(P, \Omega^{n-2} \otimes \det T)$ consisting of integrable forms. A simple verification shows that the restriction of ψ to $\operatorname{SLie}(2, \mathfrak{sl}_{n+1})$ (recall that this is a closed and reduced subscheme of $\operatorname{Gr}(2, \mathfrak{sl}_{n+1})$) factors through $\operatorname{IF}_2(P, \det T)$ and we arrive at a morphism

$$\psi : \mathrm{SLie}(2, \mathfrak{sl}_{n+1}) \setminus \pi^{-1} \mathbb{P}(\mathrm{Ker}(\Psi)) \longrightarrow \mathrm{IF}_2(P, \det T).$$

We let $\mathrm{SLie}(2,\mathfrak{sl}_{n+1})^{\circ}$ be the open subset of $\mathrm{SLie}(2,\mathfrak{sl}_{n+1}) \setminus \pi^{-1}\mathbb{P}(\mathrm{Ker}(\Psi))$ obtained as the inverse image of $\mathrm{Fol}_2(P, \det T)$ via ψ . Note that $\mathfrak{h}_{\lambda} \in \mathrm{SLie}(2,\mathfrak{sl}_{n+1})^{\circ}$. Given $\mathfrak{h} \in \mathrm{SLie}(2,\mathfrak{sl}_{n+1})^{\circ}$, the form $\psi(\mathfrak{h}) \in \mathrm{Fol}_2(P, \det T)$ is the twisted (n-2)-form with values on $\det T$ associated to the foliation $\mathfrak{h} \otimes \mathcal{O} \subset T$. Note that in this case $\mathfrak{h} = H^0(P, \mathrm{Ker}_{\psi(\mathfrak{h})})$.

From the fact that $(J_{\lambda}, H_{\lambda})$ is part of an \mathfrak{sl}_2 -triple $(J_{\lambda}, H_{\lambda}, K_{\lambda})$, we know from Proposition 2.5 that \mathfrak{h}_{λ} belongs to a unique irreducible component Σ_{λ} of $\mathrm{SLie}(2, \mathfrak{sl}_{r+1})$. Let $\Sigma_{\lambda}^{\circ} = \mathrm{SLie}(2, \mathfrak{sl}_{n+1})^{\circ} \cap \Sigma_{\lambda}$.

Theorem 3.6. For each $\lambda \in \mathcal{P}'_{n+1}$, the closed subscheme $\overline{\psi(\Sigma_{\lambda}^{\circ})}$ is an irreducible component of $\operatorname{Fol}_2(P, \det T) = \operatorname{Fol}_2(P, 2)$.

Proof. Let Z be an irreducible component of $\operatorname{Fol}_2(P,2)$ containing $\overline{\psi(\Sigma_{\lambda}^{\circ})}$. We want to show that $\overline{\psi(\Sigma_{\lambda}^{\circ})}$ contains an open and non-empty subset of Z.

We know that $\operatorname{codim} \operatorname{Sing}(\psi(\mathfrak{h}_{\lambda})) \geq 3$ and hence there exists an open neighbourhood U of $\psi(\mathfrak{h}_{\lambda})$ such that $\operatorname{Ker}_{\omega} \simeq \mathcal{O}^2$ for every $\omega \in U$ [CP08, Corollary 6.1]. For any $\omega \in U$, the space $H^0(P, \operatorname{Ker}_{\omega})$ is a subalgebra of $H^0(P, T) \simeq \mathfrak{sl}_{n+1}$ of dimension 2. It is not difficult to see that $H^0(P, \operatorname{Ker}_{\omega}) \not\in \pi^{-1}\mathbb{P}(\operatorname{Ker}(\Psi))$, which implies that

$$U \subset \psi(\mathrm{SLie}(2,\mathfrak{sl}_{n+1})^{\circ}).$$

In addition, if $\mathfrak{h} \in \mathrm{SLie}(2,\mathfrak{sl}_{n+1})^{\circ}$ belongs to $\psi^{-1}(U)$, then $H^{0}(P, \mathrm{Ker}_{\psi(\mathfrak{h})}) = \mathfrak{h}$.

Because $Z \cap U$ is an irreducible subspace, there exists an irreducible component I of $SLie(2, \mathfrak{sl}_{n+1})^{\circ}$ such that

$$(\star) Z \cap U \subset \overline{\psi(I)};$$

in particular $\overline{\psi(\Sigma_\lambda^\circ)} \cap U \subset \overline{\psi(I)}$. Then $\overline{\psi(\Sigma_\lambda^\circ)} \cap \psi(I)$ is dense in $\overline{\psi(\Sigma_\lambda^\circ)}$ and hence the constructible set $\psi(I)$ must contain an open and non-empty subset of $\overline{\psi(\Sigma_\lambda^\circ)}$ [EGA, 0_{III} , 9.2.3]. Hence, there exists an open subset $V \subset \mathrm{Fol}_2$ such that $\psi(I) \supset V \cap \psi(\Sigma_\lambda^\circ) \neq \varnothing$. Since $U \cap V \cap \psi(\Sigma_\lambda^\circ) \neq \varnothing$, we assume that $V \subset U$. Now, for each $\mathfrak{h} \in \psi^{-1}(V) \cap \Sigma_\lambda^\circ$, the form $\psi(\mathfrak{h})$ belongs to $\psi(I)$, and since $\psi(\mathfrak{h}) \in U$, it follows that $\mathfrak{h} \in I$. This shows that $I = \Sigma_\lambda^\circ$. From (\star) , we conclude that $\overline{\psi(\Sigma_\lambda^\circ)}$ contains the open and non-empty subset $Z \cap U$.

Using [Mar03, Corollary 3.1], we conclude that:

Corollary 3.7. For $n \geq 4$ we have

$$\operatorname{ic}_{2}(\mathbb{P}_{\mathbb{C}}^{n}, 2) \geq \# \text{ partitions of } n - 4$$

$$> \frac{e^{2\sqrt{n-4}}}{14}. \quad \Box$$

From [CP08, Corollary 5.1] we conclude that

Corollary 3.8. Let $r \geq 2$ be fixed. Then

$$\lim_{n\to\infty} \mathrm{ic}_r(\mathbb{P}^n_{\mathbb{C}}, 2) = +\infty.$$

Question 3.9. Let $q \geq 2$. Does $\limsup_{n \to \infty} \mathrm{ic}^q(\mathbb{P}^n_{\mathbb{C}}, 2)$ exist?

Remark 3.10. We constructed in this section a morphism of reduced schemes from an open subset of $\mathrm{SLie}(2,\mathfrak{sl}_{n+1})$ to $\mathrm{Fol}_2(\mathbb{P}^n_{\mathbb{C}},2)$ and it is instructive to note that it is not usually possible to extend this morphism to the whole of $\mathrm{SLie}(2,\mathfrak{sl}_{n+1})$. Indeed, let $E_{ij} \in \mathfrak{gl}_{n+1}$ be defined by

$$E_{ij}(\vec{e}_h) = \begin{cases} 0 & \text{if } j \neq h, \\ \vec{e}_i & \text{if } j = h, \end{cases}$$

It is readily seen that $\mathfrak{h} := \mathbb{C}E_{11} + \mathbb{C}E_{12}$ is a non-commutative subalgebra but that the natural \mathcal{O} -linear morphism $\gamma : \mathcal{O} \otimes \mathfrak{h} \to T$ is *not* injective. Indeed, we easily see that $E_{11}^{\mathfrak{h}} \wedge E_{12}^{\mathfrak{h}} = 0$. Also, it is possible that $\mathcal{O} \otimes \mathfrak{h} \to T$ be injective but $\dim \operatorname{Sing}(\gamma) = n - 1$.

4. DISTRIBUTIONS WITH A LOCALLY FREE TANGENT SHEAF

Let $f: X \to S$ be a smooth morphism of Noetherian schemes and $\mathcal V$ a relative distribution on X/S (Definition B.1). In what follows, for each Noetherian S-scheme $\tilde S$, we let $\mathcal V_{\tilde S}$ stand for the distribution on $X\times_S \tilde S/\tilde S$ obtained as the pull-back of $\mathcal V$ via the projection $\operatorname{pr}_X: X\times_S \tilde S \to X$ (Definition B.21). Similarly, for a coherent $\mathcal O_X$ -module $\mathcal M$, we let $\mathcal M|_{\tilde S}$ be $\operatorname{pr}_X^*\mathcal M$. Beware that $T_{\mathcal V|_S} \neq T_{\mathcal V}|_{S}$.

4.1. Constructibility results for set defined by the tangent sheaf.

Proposition 4.1. The set Φ of points s in S where the tangent sheaf of the distribution V_s , the pull-back of V to the fibre X_s , is locally free is constructible in S.

Proof. We employ [EGA, $0_{\rm III}$, 9.2.3] in order to verify constructibility. For each integral and closed subscheme $\tilde{S} \subset S$, we need to prove that $\Phi \cap \tilde{S}$ contains an open and nonempty subset, or that it is nowhere dense. Using Corollary B.23 and working with $\mathcal{V}|_{\tilde{S}}$, we can, and do assume, that S is itself integral and set out to verify that Φ either contains an open and dense subset, or that it is contained in a proper closed subset. Let us remark that under the present assumptions, X is the disjoint union of integral schemes. Working with connected components, there is no loss of generality in supposing X to be itself integral. In this case, the \mathcal{O}_X -module $Q_{\mathcal{V}}$ is torsion-free.

By generic flatness [EGA, IV₃, 8.9.4], there exists an open and dense subset $S' \subset S$ where $Q_{\mathcal{V}}|_{S'}$ is $\mathcal{O}_{S'}$ -flat. (For notation, the reader should consult Definition B.1.) Hence, for $s \in S'$, we have an exact sequence

$$0 \longrightarrow T_{\mathcal{V}}|_{\mathfrak{s}} \longrightarrow T_{X_{\mathfrak{s}}/\mathbf{k}(\mathfrak{s})} \longrightarrow Q_{\mathcal{V}}|_{\mathfrak{s}} \longrightarrow 0.$$

Let $\eta \in S'$ be the generic point. Since $Q_{\mathcal{V}}|_{\eta}$ is a torsion-free $\mathcal{O}_{X_{\eta}}$ -module, there exists an open neighbourhood S'' of η such that for all $s \in S''$ the \mathcal{O}_{X_s} -module $Q_{\mathcal{V}}|_s$ is torsion-free. (The details, which are difficult, are worked out on p. 66, item 3^o of [EGA, IV₃].) Hence, for $s \in S''$, we have

$$\left. \left(\dagger \right) \right. \qquad \left. T_{\mathcal{V}_s} = T_{\mathcal{V}} \right|_s.$$

In particular, the following equality holds true:

$$\Phi \cap S'' = \left\{ s \in S'' : T_{\mathcal{V}} |_{s} \text{ is locally free} \right\}.$$

If $\eta \in \Phi$ then, because of (‡) and [EGA, IV₃, 8.5.5, p. 23], there exists an open and dense subset $S^{\circ} \subset S''$ such that $T_{\mathcal{V}}|_{S^{\circ}}$ is a locally free $\mathcal{O}_{X_{S^{\circ}}}$ -module. Hence, $S^{\circ} \subset \Phi \cap S''$.

Otherwise, the point η is not in Φ ; let Φ^c stand for $S \setminus \Phi$, so that $\eta \in \Phi^c$. In this case, according to [EGA, IV₃, 9.4.7.1, p. 64], there exists an open and dense subset S° of S'' such that for each $s \in S^\circ$, the \mathcal{O}_{X_s} -module $T_{\mathcal{V}}|_s \simeq T_{\mathcal{V}_s}$ fails to be flat. Hence $S^\circ \subset \Phi^c$, which proves that $\Phi \subset S \setminus S^\circ$.

We now want place ourselves in the following setting: S is Noetherian scheme over \Bbbk (recall that \Bbbk is an arbitrary field) and there exists a smooth \Bbbk -scheme M such that $X=M\times_{\Bbbk}S$, and $f:X\to S$ is just the projection. Let E be a vector bundle over M. Following a notation similar to the one in the beginning of the section, given a morphism $\tilde{S}\to S$, we denote by $E_{\tilde{S}}$ the vector bundle $\mathrm{pr}_M^*(E)$ on $M\times_{\Bbbk}\tilde{S}=X\times_S\tilde{S}$. In particular, for each point $s\in S$, we have $E_s\simeq E\otimes_{\Bbbk}\mathbf{k}(s)$.

Proposition 4.2. Assume M to be **proper** over \mathbb{k} . Then the set

$$\Phi_E = \{ s \in S : T_{\mathcal{V}_s} \simeq E_s \}.$$

is constructible.

Proof. As in the proof of Proposition 4.1, we assume that S and X are themselves integral and set out to verify that Φ_E either contains an *open and dense subset*, or that it is contained in a *proper closed subset*.

By generic flatness [EGA, IV₃, 8.9.4], there exists an open and dense subset $S^{\circ} \subset S$ such that $Q_{\mathcal{V}}|_{S^{\circ}}$ and $T_{\mathcal{V}}|_{S^{\circ}}$ are $\mathcal{O}_{S^{\circ}}$ -flat. Hence, for each $s \in S^{\circ}$ we have

$$T_{\mathcal{V}_s} = T_{\mathcal{V}}|_{\mathfrak{s}}.$$

In particular, $\Phi_E \cap S^{\circ}$ coincides with

$$\{s \in S^{\circ} : T_{\mathcal{V}}|_{s} \simeq E \otimes_{k} \mathbf{k}(s)\}.$$

Let $\eta \in S^{\circ}$ be the generic point. If $\eta \in \Phi_E$, then $T_{\mathcal{V}}\big|_{\eta} \simeq E_{\eta}$ and there exists an open and dense subset $S^{\circ \circ} \subset S^{\circ}$ such that $T_{\mathcal{V}}\big|_{S^{\circ \circ}} \simeq E_{S^{\circ \circ}}$ [EGA, IV₃, 8.5.2.5]. Consequently, $S^{\circ \circ} \subset \Phi_E \cap S^{\circ}$. Otherwise, $\eta \notin \Phi_E$; let Φ_E^c stand for $S \setminus \Phi_E$, so that $\eta \in \Phi_E^c$. Let

$$p: \mathbf{Isom}(T_{\mathcal{V}}, E_S) \longrightarrow S$$

stand for the scheme of isomorphisms between $T_{\mathcal{V}}$ and E_S ; this is an affine scheme over S, as can be deduced from the proof of [LMB00, 4.6.2.1]. Then, $p^{-1}(\eta) = \emptyset$, which shows that there exists a closed subscheme $S_1 \neq S$ such that p factors through the immersion $S_1 \to S$. Hence, $p^{-1}(S^{\circ}) \to S^{\circ}$ factors through $S_1 \cap S^{\circ}$ showing that $\Phi_E \cap S^{\circ} \subset S_1$. In conclusion, $\Phi_E \subset S_1 \cup (S \setminus S^{\circ})$.

4.2. "Openness" of the locus of local freeness: first case. Let R be a discrete valuation ring with uniformizer t and field of fractions K. We write R_n for the quotient $R/(t^{n+1})$ and, given an R-scheme W, we let W_n , respectively W_K , denote the scheme $W \otimes R_n$, respectively $W \otimes_R K$. We assume that S is $\operatorname{Spec} R$ so that we have a smooth morphism

$$f: X \longrightarrow \operatorname{Spec} R$$
.

Theorem 4.3. Let F be a reflexive coherent \mathcal{O}_X -module. Let

$$Z = \{x \in X : F_x \text{ is not free}\}.$$

Assume that:

- (i) the \mathcal{O}_{X_0} -module $(F|_{X_0})^{\vee\vee}$ is locally free and
- (ii) the following inequalities

$$\operatorname{codim}(Z \cap X_0, X_0) \geq 3$$
 and $\operatorname{codim}(Z \cap X_K, X_K) \geq 3$

hold true.

Then F is locally free on an open neighbourhood of the special fibre X_0 .

Proof. There is no loss of generality in assuming that $X = \operatorname{Spec} A$ with A a domain. The proof is structured with a sequence of Lemmas.

The following is a simple consequence of the codimension formula [EGA, IV_2 , 5.1.3]; we omit the details.

Lemma 4.4.
$$\operatorname{codim}(Z, X) \geq 3$$
.

Using [Ha80, Proposition 1.1], we may assume that F fits into an exact sequence $0 \to F \to E \to Q \to 0$, where E is locally free and Q is torsion-free. We abbreviate $F|_{X_n}$ to F_n and let U be the open subset $X \setminus Z$. One of the main actors in what follows is the module of "formal functions" $\varprojlim_n H^0(U_n, F_n)$ of F on U.

Lemma 4.5. *The projection map*

$$\varprojlim_{n} H^{0}(U_{n}, F_{n}) \longrightarrow H^{0}(U_{0}, F_{0})$$

is surjective.

Proof. We shall abuse notation and let F_n stand for the sheaf of abelian groups on X_0 obtained from the fact that X_n and X_0 underlie the same topological space. From the fact that F is free of t-torsion, we have an exact sequence of sheaves

$$0 \longrightarrow F_0 \longrightarrow F_{n+1} \xrightarrow{\operatorname{pr}} F_n \longrightarrow 0.$$

Hence, in order for $H^0(U_{n+1},F_{n+1})\to H^0(U_n,F_n)$ to be surjective it is sufficient that $H^1(U_0,F_0)=0$. Now, since F is locally free on U, we know that $H^1(U_0,F_0)\simeq H^1(U_0,F_0^{\vee\vee})$. (During this proof, we shall write $F_0^{\vee\vee}$ instead of $(F_0)^{\vee\vee}$.) From the long cohomology exact sequence with supports of $F_0^{\vee\vee}$ and the fact that X_0 is affine, we obtain an isomorphism $H^1(U_0,F_0^{\vee\vee})\simeq H^2_{Z_0}(X_0,F_0^{\vee\vee})$. We can therefore rely on the fact that $F_0^{\vee\vee}$ is locally free. Indeed, for every $z\in Z_0$, we have

$$\operatorname{depth} (F_0^{\vee\vee})_z = \operatorname{depth} \mathcal{O}_{X_0,z}$$
$$= \dim \mathcal{O}_{X_0,z}$$
$$> 3$$

and hence $H_{Z_0}^2(X_0, F_0^{\vee\vee})=0$, by Lemma 3.1 and Proposition 3.3 of [SGA2, Exp. III].

Lemma 4.6. The restriction map

$$\rho: H^0(X_0, F_0) \longrightarrow H^0(U_0, F_0)$$

is surjective.

Proof. Let A' stand for the t-adic completion of A and let $X' = \operatorname{Spec} A'$. Denoting by $h: X' \to X$ the canonical *flat* morphism, we introduce the following notations: $Z' = h^{-1}(Z)$, $U' = X' \setminus Z'$ and $F' = h^*F$. Let us note that $\operatorname{codim}(Z', X') \ge \operatorname{codim}(Z, X) \ge 3$ [EGA, IV₂, 2.3.4-5].

Step 1. We wish to show that the natural map

(4.1)
$$H^0(U', F') \longrightarrow \varprojlim_n H^0(U_n, F_n)$$

is bijective. For that we will employ Proposition 1.4 of [SGA2, Exp. IX].

Let $x' \in U'$ be such that $\operatorname{codim}(\overline{\{x'\}} \cap Z', \overline{\{x'\}}) = 1$. If $\operatorname{codim}(\overline{\{x'\}}, X') \leq 1$, then $\operatorname{codim}(\overline{\{x'\}} \cap Z', X') \leq 2$, which is excluded. Hence, $\dim \mathcal{O}_{X',x'} = \operatorname{codim}(\overline{\{x'\}}, X') \geq 2$ for such x'. Since F is reflexive so is F' and hence $\operatorname{depth} F'_{x'} \geq 2$ [BH93, Proposition 1.4.1]. In conclusion, by the aforementioned result of [SGA2], we are right to affirm that the map in (4.1) is bijective.

Step 2. Since $\operatorname{codim}(Z', X') \ge \operatorname{codim}(Z, X) \ge 3$ and F' is reflexive, we know that depth $F'_{z'} \ge 2$ for each $z' \in Z'$ [BH93, Proposition 1.4.1]. Then, the map

$$H^0(X', F') \longrightarrow H^0(U', F')$$

is an isomorphism because $\underline{H}_{Z'}^0(F') = \underline{H}_{Z'}^1(F') = 0$ (cf. Proposition 3.3 in [SGA2, Exp. III] and Corollary 4 in [SGA2, Exp. III]). Using the commutative diagram

$$H^{0}(X',F') \xrightarrow{\sim} \varprojlim_{n} H^{0}(X_{n},F_{n}) \xrightarrow{\longrightarrow} H^{0}(X_{0},F_{0})$$

$$\downarrow^{\sim} \qquad \qquad \downarrow \textcircled{1}$$

$$H^{0}(U',F') \xrightarrow{\sim} \varprojlim_{n} H^{0}(U_{n},F_{n}) \xrightarrow{\longrightarrow} H^{0}(U_{0},F_{0})$$

we assure that the map (1) is indeed surjective.

Lemma 4.7. The \mathcal{O}_{X_0} -module F_0 is reflexive (and hence $F_0 \xrightarrow{\sim} F_0^{\vee\vee}$).

Proof. We wish to apply [Ha80, Proposition 1.3] (or [BH93, Proposition 1.4.1]). Let $x \in X_0$ be such that $\dim \mathcal{O}_{X_0,x} \geq 2$: it is to be shown that $\operatorname{depth} F_{0,x} \geq 2$. If $x \in U_0$, the we know that $F_{0,x}$ is free, so that $\operatorname{depth} F_{0,x} = \operatorname{depth} \mathcal{O}_{X_0,x} \geq 2$. Hence, all difficulty lies in the case where $x \in Z_0$. We then rely on the cohomological characterization of depth.

Because of Lemma 4.6 and the exact sequence of local cohomology

$$0 \longrightarrow H^0(X_0, F_0) \longrightarrow H^0(U_0, F_0) \longrightarrow H^1_{Z_0}(X_0, F_0) \longrightarrow \underbrace{H^1(X_0, F_0)}_{0}$$

we conclude that $H^1_{Z_0}(X_0, F_0) = 0$. Since $H^0_{Z_0}(X_0, F_0) = 0$ because F_0 is torsion-free, we conclude from Proposition 3.3 of [SGA2, Exp. III] in conjunction with Corollary 4 of [SGA2, Exp. II] that depth $F_{0,x} \geq 2$ for all $x \in Z_0$.

We then finish the proof of the theorem: by Lemma 4.7, we know that F_0 is a flat \mathcal{O}_{X_0} -module. Since F is R-flat, the fibre-by-fibre flatness criterion [EGA, IV₃, 11.3.10] assures that F is \mathcal{O}_X -flat on each point of X_0 and hence on an open neighbourhood of X_0 . Now, over a local Noetherian ring, each flat module of finite type is actually free [Mat89, Theorem 7.10, p.51].

We now state a variant of Theorem 4.3 in terms more akin to the theory of distributions. The definitions needed to grasp what follows are made in Appendix B.

Corollary 4.8. Let V be a distribution on X/S, where S is the spectrum of the discrete valuation ring R. Assume that

H1. The pull-back distribution $V_0 = V|_{X_0}$ has a locally free tangent sheaf.

H2. The inequality

$$\operatorname{codim}(\operatorname{Sing}(\mathcal{V}) \cap X_0, X_0) \ge 2$$

holds true.

H3. *The inequalities*

$$\operatorname{codim}(\operatorname{TSing}(\mathcal{V}) \cap X_0, X_0) \ge 3$$
 and $\operatorname{codim}(\operatorname{TSing}(\mathcal{V}) \cap X_K, X_K) \ge 3$

hold true

Then the \mathcal{O}_X -module T_V is locally free on an open neighbourhood of the special fibre X_0 . Finally, if X is proper, then T_V is locally free.

Proof. It is enough to prove that $(T_{\mathcal{V}}|_{X_0})^{\vee\vee}$ is locally free and apply Theorem 4.3. Indeed, $T_{\mathcal{V}}$ is reflexive [Ha80, Proposition 1.1] and hypothesis (ii) in the statement of Theorem 4.3 is guaranteed by **H3**.

Consider the exact sequence of \mathcal{O}_{X_0} -modules

$$0 \longrightarrow T_{\mathcal{V}}|_{X_0} \longrightarrow T_{X_0} \longrightarrow Q_{\mathcal{V}}|_{X_0} \longrightarrow 0.$$

Letting $U=X\setminus \mathrm{Sing}(\mathcal{V})$, we know that $(T_{\mathcal{V}}|_{X_0})|_{U_0}$ is saturated in $T_{X_0}|_{U_0}$. By **H2**, the open subset $U_0\subset X_0$ is big, and hence $(T_{\mathcal{V}}|_{X_0})^{\mathrm{sat}}\simeq (T_{\mathcal{V}}|_{X_0})^{\vee\vee}$, by Proposition A.17-(2). Now, the definition of $\mathcal{V}|_{X_0}$ (cf. Definition B.21) jointly with **H1** say that $(T_{\mathcal{V}}|_{X_0})^{\mathrm{sat}}$ is locally free. Hence $(T_{\mathcal{V}}|_{X_0})^{\vee\vee}$ is locally free.

The final statement concerning the case where X is proper is simple: if $Z = \{x \in X : T_{\mathcal{V},x} \text{ is not free}\}$, then Z is a closed subset contained in X_K , which is only possible if $Z' = \emptyset$.

We now end with a simple example showing the necessity of **H3** in Corollary 4.8.

Example 4.9. The notations in the beginning of this section are in force. Let A = R[x, y, z], $X = \operatorname{Spec} A$. In what follows, $\{\partial_x, \partial_y, \partial_z\}$ is the basis of $T_{X/R}$ dual to $\{dx, dy, dz\}$. We shall use the theory of Fitting ideals [Ei95, 20.2].

Consider the closed 1-form

$$\varphi = x \mathrm{d}y + y \mathrm{d}x + t \mathrm{d}z,$$

and let \mathcal{V} be the relative distribution on X defined by $T_{\mathcal{V}} = \operatorname{Ker} \varphi$. We have

$$T_{\mathcal{V}} = \operatorname{Im} \begin{pmatrix} x & t & 0 \\ -y & 0 & t \\ 0 & -y & -x \end{pmatrix}$$
$$= \mathcal{O}_X(x\partial_x - y\partial_y) + \mathcal{O}_X(t\partial_x - y\partial_z) + \mathcal{O}_X(t\partial_y - x\partial_z).$$

By construction, $T_{\mathcal{V}}$ is saturated in $T_{X/R}$ and its sequence of Fitting ideals is

$$0 \subset \underbrace{\operatorname{Fitt}_0(T_{\mathcal{V}})}_{0} \subset \underbrace{\operatorname{Fitt}_1(T_{\mathcal{V}})}_{0} \subset \underbrace{\operatorname{Fitt}_2(T_{\mathcal{V}})}_{(x,y,t)} \subset \underbrace{\operatorname{Fitt}_3(T_{\mathcal{V}})}_{(1)}.$$

Consequently $T_{\mathcal{V}}$ is not \mathcal{O}_X -flat [Ei95, Proposition 20.8] and $T_{\mathcal{V}}|_{X_0}$ is not \mathcal{O}_{X_0} -flat either [Ei95, Corollary 20.5, p.494].

Let us analyse the singularities of V, which amounts to studying singularities of Q_{V} . By definition we have the following exact sequence:

$$(\dagger) \qquad \mathcal{O}_{X}^{3} \xrightarrow{\begin{pmatrix} x & t & 0 \\ -y & 0 & t \\ 0 & -y & -x \end{pmatrix}} \mathcal{O}_{X}^{3} \longrightarrow Q_{\mathcal{V}} \longrightarrow 0.$$

The sequence of Fitting ideals of $Q_{\mathcal{V}}$ is then

$$(\ddagger) \qquad 0 \subset \underbrace{\operatorname{Fitt}_0(Q_{\mathcal{V}})}_{0} \subset \underbrace{\operatorname{Fitt}_1(Q_{\mathcal{V}})}_{(y^2,xy,ty,x^2,tx,t^2)} \subset \underbrace{\operatorname{Fitt}_2(Q_{\mathcal{V}})}_{(x,y,t)} \subset \underbrace{\operatorname{Fitt}_3(Q_{\mathcal{V}})}_{(1)}$$

so that the closed *subset* $\operatorname{Sing}(\mathcal{V})$ is $\{x=y=t=0\}$; it is contained in X_0 . Obviously, $\operatorname{codim}(\operatorname{Sing}(\mathcal{V}) \cap X_0, X_0) = 2$.

Let \mathcal{V}_0 be the pull-back of \mathcal{V} to the special fibre X_0 . By definition, $T_{\mathcal{V}_0}$ sits in an exact sequence

$$0 \longrightarrow T_{\mathcal{V}_0} \longrightarrow T_{X_0} \longrightarrow (Q_{\mathcal{V}}|_{X_0})_{\mathrm{tof}} \longrightarrow 0.$$

(For the notation "tof", see Definition A.1. Note that there is no distinction here between torsion-free and torsion-less modules.) Using the presentations in (\dagger) , we have

$$\mathcal{O}_{X_0}^3 \xrightarrow{\begin{pmatrix} x & 0 & 0 \\ -y & 0 & 0 \\ 0 & -y & -x \end{pmatrix}} \mathcal{O}_{X_0}^3 \xrightarrow{\overline{\pi}} Q_{\mathcal{V}}|_{X_0} \longrightarrow 0$$

so that $\overline{\partial}_y, \overline{\partial}_z \in Q_{\mathcal{V}}|_{X_0}$ are annihilated by non-zero divisors of $A_0[x,y,z]$. Hence, the quotient sheaf $Q_{\mathcal{V}_0}$ is described by the exact sequence

$$\mathcal{O}_{X_0}^3 \xrightarrow{\begin{pmatrix} x & 0 & 0 \\ -y & 0 & 0 \\ 0 & 1 & 1 \end{pmatrix}} \mathcal{O}_{X_0}^3 \longrightarrow Q_{\mathcal{V}_0} \longrightarrow 0.$$

This implies that $T_{\mathcal{V}_0} = \mathcal{O}_{X_0}(x\partial_x - y\partial_y) + \mathcal{O}_{X_0}\partial_z \simeq \mathcal{O}_{X_0}^2$ is locally free, while $T_{\mathcal{V}}|_{X_0}$ is not. Finally, we have sequence of Fitting ideals:

$$\underbrace{\mathrm{Fitt}_0(Q_{\mathcal{V}_0})}_0 \subset \underbrace{\mathrm{Fitt}_1(Q_{\mathcal{V}_0})}_{(x,y)} \subset \underbrace{\mathrm{Fitt}_2(Q_{\mathcal{V}_0})}_{(1)},$$

which proves that $\operatorname{Sing}(\mathcal{V}_0)$ is $\{x=y=0\}\subset X_0$. Also, we have from (\ddagger)

$$\underbrace{\operatorname{Fitt}_0\left(Q_{\mathcal{V}}\big|_{X_0}\right)}_{0} \subset \underbrace{\operatorname{Fitt}_1\left(Q_{\mathcal{V}}\big|_{X_0}\right)}_{(x^2,xy,y^2)} \subset \underbrace{\operatorname{Fitt}_2\left(Q_{\mathcal{V}}\big|_{X_0}\right)}_{(x,y)} \subset \underbrace{\operatorname{Fitt}_3\left(Q_{\mathcal{V}}\big|_{X_0}\right)}_{(1)}.$$

This has the interesting consequence that "the" Fitting ideal (the first non-zero) of $Q_{\mathcal{V}}|_{X_0}$ is the second, while "the" Fitting ideal of $Q_{\mathcal{V}_0}$ is the first.

4.3. "Openness results": general case. We now abandon the assumption that the base scheme S is the spectrum of a DVR and go back to the general setting of the start: $f: X \to S$ is a smooth morphism of Noetherian schemes. Joining Corollary 4.8 and Proposition 4.1, we arrive at:

Corollary 4.10 (Theorem A). Let $f: X \to S$ be a proper and smooth morphism of Noetherian schemes. For each $s \in S$, let V_s stand for the pull-back of V to the fibre X_s above s. Suppose that for each $s \in S$, we have

$$\operatorname{codim}(\operatorname{Sing}(\mathcal{V}) \cap X_s, X_s) \ge 3.$$

Then the set

$$\Phi = \{ s \in S : T_{\mathcal{V}_s} \text{ is locally free} \}$$

is open in S.

Proof. Let $\sigma \leadsto s$ be a specialization in S with $s \in \Phi$. According to [EGA, II, 7.1.9], there exists a scheme D which is the spectrum of a discrete valuation, has generic point η and closed point o, and a map $\varphi : D \to S$ sending η to σ and o to s, and, in addition, is such that $\mathbf{k}(\sigma) \overset{\sim}{\to} \mathbf{k}(\eta)$.

Let now $Y:=X\times_S D$ and define $\mathcal W$ to be the pull-back of $\mathcal V$ to Y/D. Because $T_{\mathcal V_s}$ is locally-free, so is $T_{\mathcal W_o}$ (cf. the transitivity of the pull-back, Corollary B.23). Since $\operatorname{Sing}(\mathcal W)$ is contained in the inverse image of $\operatorname{Sing}(\mathcal V)$ in Y (see Proposition B.22), we have

$$\operatorname{codim}(\operatorname{Sing}(\mathcal{W})\cap Y_o,Y_o)\geq 3\quad\text{and}\quad\operatorname{codim}(\operatorname{Sing}(\mathcal{W})\cap Y_\eta,Y_\eta)\geq 3.$$

(The zealous reader here may wish to note that the inverse image of $\operatorname{Sing}(\mathcal{V})$ in $X_o = X_s \otimes_{\mathbf{k}(s)} \mathbf{k}(o)$ also has codimension at least 3 [EGA, IV₂, 6.1.4].) By Corollary 4.8, $T_{\mathcal{W}_{\eta}}$ has a locally free tangent sheaf. But $\mathcal{W}_{\eta} = \mathcal{V}_{\sigma}$ and hence $\sigma \in \Phi$. We then conclude that Φ is stable under generalisations and, being constructible (Proposition 4.1), must be open.

For the next result, we shall require some basic facts concerning Kupka singularities. These are worked out in Section B.4. We also employ the notations of the mentioned section in the following result.

Corollary 4.11 (Theorem B). Let $f: X \to S$ be a proper and smooth morphism of Noetherian schemes. Assume that for certain $q \ge 1$ and line bundle L, the distribution V is

- defined by an LDS twisted q-form with values on L and
- involutive.

For each $s \in S$, let V_s stand for the pull-back of V to the fibre X_s above s. Suppose that for each $s \in S$, we have

$$\operatorname{codim}(\operatorname{Sing}(\mathcal{V}) \cap X_s, X_s) \geq 2.$$

and

$$\operatorname{codim}\left(\operatorname{NKup}(\mathcal{V})\cap X_s,X_s\right)\geq 3.$$

Then the set

$$\Phi = \{ s \in S : T_{\mathcal{V}_s} \text{ is locally free} \}$$

is open in S.

Proof. Let $\sigma \leadsto s$ be a specialization in S with $s \in \Phi$. According to [EGA, II, 7.1.9], there exists a scheme D which is the spectrum of a discrete valuation, has generic point η and closed point o, and a map $\varphi : D \to S$ sending η to σ and o to s, and, in addition, is such that $\mathbf{k}(\sigma) \overset{\sim}{\to} \mathbf{k}(\eta)$.

Let now $Y:=X\times_S D$ and define $\mathcal W$ to be the pull-back of $\mathcal V$ to Y/D. Because $T_{\mathcal V_s}$ is locally-free, so is $T_{\mathcal W_o}$ (cf. the transitivity of the pull-back, Corollary B.23). Since $\operatorname{Sing}(\mathcal W)$ is the inverse image of $\operatorname{Sing}(\mathcal V)$ in Y, we have

$$\operatorname{codim}(\operatorname{Sing}(\mathcal{W}) \cap Y_o, Y_o) \ge 2$$
 and $\operatorname{codim}(\operatorname{Sing}(\mathcal{W}) \cap Y_\eta, Y_\eta) \ge 2$.

(The zealous reader here may wish to note that the inverse image of $\operatorname{Sing}(\mathcal{V})$ in $X_o = X_s \otimes_{\mathbf{k}(s)} \mathbf{k}(o)$ also has codimension at least 3 [EGA, IV₂, 6.1.4].) Since $\operatorname{NKup}(\mathcal{W}) \supset \operatorname{TSing}(\mathcal{W})$, see Proposition B.31, we have

$$\operatorname{codim}(\operatorname{TSing}(\mathcal{W}) \cap Y_o, Y_o) \ge 3$$
 and $\operatorname{codim}(\operatorname{TSing}(\mathcal{W}) \cap Y_n, Y_n) \ge 3$.

By Corollary 4.8, $T_{W_{\eta}}$ has a locally free tangent sheaf. But $W_{\eta} = V_{\sigma}$ and hence $\sigma \in \Phi$. We then conclude that Φ is stable under generalisations and, being constructible (Proposition 4.1), must be open.

It is sometimes useful to formulate Corollary 4.11 in a slightly different manner.

Corollary 4.12. Let $f: X \to S$ be a proper and smooth morphism of Noetherian schemes having irreducible fibres. Adopt the same notations regarding pull-backs as in Corollary 4.11. Assume that for $o \in S$, the \mathcal{O}_{X_o} -module $T_{\mathcal{V}_o}$ is locally free and $\operatorname{codim}(\operatorname{Sing}(\mathcal{V}) \cap X_o, X_o) \geq 3$. Then there exists an open neighbourhood U of o in S such that $T_{\mathcal{V}_s}$ is locally free for all $s \in U$.

Proof. We only need to remark that

$$S' = \{ s \in S : \operatorname{codim}(\operatorname{Sing}(\mathcal{V}) \cap X_s, X_s) \ge 3 \}$$

is an open subset of S by Chevalley's semi-continuity theorem [EGA, IV₃, 13.1.5] and properness of Sing(V), and then apply Corollary 4.11.

We now wish to join Corollary 4.8 and Proposition 4.2 in order to show that, in certain cases, the isomorphism class of the tangent sheaf of a distribution remains constant on an open subset of the base. For that, we shall require some basic results of the theory of deformations.

Let us place ourselves in the setting of Proposition 4.2: S is a Noetherian scheme over \Bbbk (we place no hypothesis on the field \Bbbk), M is a smooth and connected \Bbbk -scheme, and X is $M \times_{\Bbbk} S$, while $f: X \to S$ is just the projection. Let E be a vector bundle over M. We assume E to be rigid, i.e. $\operatorname{Ext}^1_{\mathcal{O}_M}(E,E)=0$. The reader having familiarity with deformation theory [Sch68] will probably intuit that the terminology is given in order to express the fact that E "will not deform". Unfortunately, we were unable to find a suitable reference for the technique behind this intuition, so that we work out the details in a proposition.

Proposition 4.13. Let us adopt the above conventions and notations. Fix $s \in S$ and let \mathcal{E} be a vector bundle over $X \times_{\mathbb{k}} S$ such that $E \otimes_{\mathbb{k}} \mathbf{k}(s) = \mathcal{E}|_{M \otimes_{\mathbb{k}} \mathbf{k}(s)}$. Then $\mathcal{E} \otimes_{\mathcal{O}_S} \mathcal{O}_{S,s} \simeq E \otimes_{\mathbb{k}} \mathcal{O}_{S,s}$.

Proof. Let $s \in S$ be a point and then introduce the category \mathcal{C} of local Artin $\widehat{\mathcal{O}}_{S,s}$ -algebras with residue field k(s) [Sch68, Section 1]. In this setup, we have the deformation functor

$$\operatorname{Def}_E:\mathcal{C}\longrightarrow\operatorname{Set}$$

associated to the vector bundle $E \otimes_{\mathbb{k}} \mathbf{k}(s)$ on the $\mathbf{k}(s)$ -scheme $M \otimes_{\mathbb{k}} \mathbf{k}(s)$. We then know that the tangent space to Def_E is isomorphic to

$$\operatorname{Ext}^{1}(E \otimes_{\Bbbk} \mathbf{k}(s), E \otimes_{\Bbbk} \mathbf{k}(s)) \simeq \operatorname{Ext}^{1}(E, E) \otimes_{\Bbbk} \mathbf{k}(s)$$

$$= 0.$$

Let R be a hull for Def_E [Sch68, Theorem 2.11]. (That Def_E satisfies Schlessinger's conditions can be verified as in Section 3.1 of [Sch68].) This is a complete local $\widehat{\mathcal{O}}_{S,s}$ -algebra with residue field $\mathbf{k}(s)$. By definition of R, its relative Zariski tangent space over $\widehat{\mathcal{O}}_{S,s}$ is $\mathrm{Ext}^1(E\otimes_{\Bbbk}\mathbf{k}(s), E\otimes_{\Bbbk}\mathbf{k}(s)) = 0$. Consequently, the structural map $\widehat{\mathcal{O}}_{S,s} \to R$ is surjective [Sch68, Lemma 1.1]. We conclude that $\mathrm{Def}_E(A)$ has at most one element for each $A \in \mathcal{C}$. Now, it is clear that for every such A, the class of $E\otimes_{\Bbbk}A$ is an element in $\mathrm{Def}_E(A)$, so that $\mathrm{Def}_E(A)$ is simply this class.

Let then \mathcal{E} be a vector bundle as in the statement. For each \mathcal{O}_S -algebra A, we put $\mathcal{E}_A := \mathcal{E} \otimes_{\mathcal{O}_S} A$ and $E_A := E \otimes_{\mathbb{k}} A$; these are vector bundles on the A-scheme $M \otimes_{\mathbb{k}} A$. From the argument above, there exists, for each $A \in \mathcal{C}$, an isomorphism $u_A : \mathcal{E}_A \xrightarrow{\sim} E_A$.

Let us agree to denote by $\mathcal{O}_{s,n}$ the quotient of $\mathcal{O}_{S,s}$ by the (n+1)st power of its maximal ideal, by I_n , respectively P_n , the group of automorphisms of $E_{\mathcal{O}_{s,n}}$, respectively set of isomorphisms $\mathcal{E}_{\mathcal{O}_{s,n}} \overset{\sim}{\to} E_{\mathcal{O}_{s,n}}$. Then, $P_n \neq \varnothing$ and I_n acts on its left freely and transitively. Since the restriction map $I_{n+1} \to I_n$ is surjective, it is possible to find a compatible family of isomorphisms $u_n : \mathcal{E}_{\mathcal{O}_{s,n}} \overset{\sim}{\to} E_{\mathcal{O}_{s,n}}$. (For the careful reader: surjectivity of $I_{n+1} \to I_n$ can be checked using the fact that for any given local Noetherian ring A with residue field $\mathbf{k}(s)$, a morphism $u : E_A \to E_A$ is an isomorphism if and only if its restriction $u_0 : E \otimes_{\mathbb{k}} \mathbf{k}(s) \to E \otimes_{\mathbb{k}} \mathbf{k}(s)$ is an isomorphism.)

From Grothendieck's Existence Theorem [EGA, III₁, 5.1.4], we obtain an isomorphism of vector bundles $u: \mathcal{E}_{\widehat{\mathcal{O}}_s} \overset{\sim}{\to} E_{\widehat{\mathcal{O}}_s}$. Then, because

$$\operatorname{Hom}(E_{\widehat{\mathcal{O}}_s}, \mathcal{E}_{\widehat{\mathcal{O}}_s}) \simeq \operatorname{Hom}(E_{\mathcal{O}_s}, \mathcal{E}_{\mathcal{O}_s}) \otimes_{\mathcal{O}_s} \widehat{\mathcal{O}}_s$$

there exists $u^{\circ}: E_{\mathcal{O}_s} \to \mathcal{E}_{\mathcal{O}_s}$ such that $u^{\circ} \otimes_{\mathcal{O}_s} \operatorname{id} \equiv u$ modulo the maximal ideal of $\widehat{\mathcal{O}}_s$. It follows that u° is an isomorphism. Hence, $E_{\mathcal{O}_s} \simeq \mathcal{E}_{\mathcal{O}_s}$.

Corollary 4.14. Let us adopt the above setting: M is a smooth and proper \mathbb{k} -scheme, S is a Noetherian \mathbb{k} -scheme, $X = M \times_{\mathbb{k}} S$, $f: X \to S$ is the projection and E is a rigid vector bundle on M. For each $s \in S$, let \mathcal{V}_s stand for the pull-back of \mathcal{V} to the fibre $X_s = M \otimes_{\mathbb{k}} \mathbf{k}(s)$ and suppose that

$$\operatorname{codim}(\operatorname{Sing}(\mathcal{V}) \cap X_s, X_s) > 3$$

for each $s \in S$. Then the set

$$\Phi_E = \{ s \in S : T_{\mathcal{V}_s} \text{ is isomorphic to } E_s \}$$

is open in S.

Proof. Let $\sigma \rightsquigarrow s$ be a specialization in S with $s \in \Phi_E$. According to [EGA, II, 7.1.9], there exists the spectrum of a discrete valuation ring D with generic point η and closed point 0, and a map $\varphi: D \to S$ such that $\varphi(\eta) = \sigma$, $\varphi(0) = s$ and $k(\sigma) \xrightarrow{\sim} k(\eta)$.

Let now $Y:=X\times_S D$ and define $\mathcal{W}:=\mathcal{V}|_Y$, the pull-back of \mathcal{V} to Y/D. Because $T_{\mathcal{V}_s}\simeq E\otimes_k \mathbf{k}(s)$, we have $T_{\mathcal{W}_0}\simeq E\otimes_k \mathbf{k}(0)$. Note, in particular, that this \mathcal{O}_{Y_0} -module is locally free. By Corollary 4.8, $T_{\mathcal{W}}$ is locally free over \mathcal{O}_Y . By the above discussion, we conclude that $T_{\mathcal{W}}\simeq E\otimes_k \mathcal{O}_D$. Hence, $T_{\mathcal{W}_\eta}\simeq (T_{\mathcal{W}})_\eta\simeq E_\eta$. We then conclude that Φ_E is stable under generalisations and, being constructible (Proposition 4.2), must be open. \square

5. VECTOR FIELDS ON THE BOREL VARIETY OF A SIMPLE ALGEBRAIC GROUP

In this section we set out to construct a class of examples of distributions, in fact foliations, on Borel varieties having a singular set of codimension at least three. This will enable us to apply Theorem A.

5.1. **Notations and fundamental results.** We set up some notations and terminology which are *in force in Section 5*. The field k is to be algebraically closed and of characteristic zero. We shall work only with reduced algebraic k-schemes; points are always assumed to be closed.

Let G/\mathbb{k} be a *semi-simple and adjoint* linear algebraic group with Lie algebra \mathfrak{g} . (So \mathfrak{g} is semi-simple [Hu75, 13.5].) The subset of nilpotent, respectively semi-simple, elements in \mathfrak{g} shall be denoted by \mathfrak{g}_{nil} , respectively \mathfrak{g}_{ss} .

Given $x \in \mathfrak{g}$, we let $Z_G(x)$ stand for the centralizer of x in G, i.e. the stabilizer of x for the adjoint action. We let also $Z_{\mathfrak{g}}(x)$ stand for the centralizer of x in \mathfrak{g} , which is $\{y \in \mathfrak{g} : [x,y] = 0\}$. We fix a Borel subgroup A with maximal torus T and unipotent part U. The Lie algebra of A is denoted by \mathfrak{g} and that of T by \mathfrak{t} .

Let \mathcal{B} be the *Borel variety* of G: its points correspond simply to the Borel subgroups of G and, as these are all conjugated to A, we have $\mathcal{B} = G/A$ [Bo91, §11], [Hu75, 23.3]. In particular, \mathcal{B} is a smooth and connected rational variety of dimension $\dim U$.

Among subvarieties of \mathcal{B} , the *Springer fibres* have been the centre of much attention. These are defined, once chosen $x \in \mathfrak{g}$, as

$$\mathcal{B}_x = \{ B \in \mathcal{B} : x \in \text{Lie } B \}.$$

(The name is derived from the fact that for $x \in \mathfrak{g}_{nil}$, each \mathcal{B}_x appear as the fibre in the Springer resolution of the singularities of \mathfrak{g}_{nil} [Sp69].) Let us now state a deep result in the theory on which much of our arguments hinge: Steinberg's "dimension formula."

Theorem 5.1 ([St76], [Mc02, §7.4]). Assume that G is semi-simple and let $r = \dim T$ be its rank. Then, for any $x \in \mathfrak{g}$, the following formula holds:

$$\dim Z_G(x) = r + 2\dim \mathcal{B}_x.$$

As a consequence, we have

$$2 \operatorname{codim}(\mathcal{B}_x, \mathcal{B}) = 2 \dim U - 2 \dim \mathcal{B}_x$$
$$= 2 \dim U + r - \dim Z_G(x).$$
$$= \operatorname{codim} Z_G(x).$$

(Note in particular that $\operatorname{codim} Z_G(x)$ is always even.) Since we are in characteristic zero, we know that $\operatorname{Lie} Z_G(x) = Z_{\mathfrak{g}}(x)$ [Bo91, Lemma 7.4] and we shall work with $Z_{\mathfrak{g}}(x)$ in place of $Z_G(x)$. In a nutshell, the convenient formula hods:

(5.1)
$$\operatorname{codim}(\mathcal{B}_x, \mathcal{B}) = \frac{1}{2} \operatorname{codim} Z_{\mathfrak{g}}(x).$$

The following shall be useful to us: its simple proof is omitted.

Lemma 5.2. Let $x \in \mathfrak{g}$ have Jordan-Chevalley decomposition $x = x_s + x_n$. Then $Z_{\mathfrak{g}}(x) = Z_{\mathfrak{g}}(x_s) \cap Z_{\mathfrak{g}}(x_n)$. In particular

$$\operatorname{codim} Z_{\mathfrak{g}}(x) \ge \max(\operatorname{codim} Z_{\mathfrak{g}}(x_s), \operatorname{codim} Z_{\mathfrak{g}}(x_n)).$$

5.2. The variety of Borel subgroups fixed by a subalgebra. Let now $\mathfrak{h} \subset \mathfrak{g}$ be a non-zero subalgebra. We now define the object to be studied in this section: it is the set (soon enough we shall endow it with more structure)

$$\mathcal{B}_{\mathfrak{h}} = igcup_{x \in \mathfrak{h} \setminus \{0\}} \mathcal{B}_x.$$

Clearly $\mathcal{B}_x = \mathcal{B}_y$ if $x, y \in \mathfrak{g} \setminus \{0\}$ are proportional, so, for each $\ell \in \mathbb{P}(\mathfrak{h})$, the set \mathcal{B}_ℓ is well defined. Following Springer [Sp69], we shall analyse $\mathcal{B}_{\mathfrak{h}}$ through the lenses of the incidence set, which is:

$$V_{\mathfrak{h}} = \{(\ell, B) \in \mathbb{P}(\mathfrak{h}) \times \mathcal{B} : \ell \subset \text{Lie } B\}.$$

Proposition 5.3. The set $V_{\mathfrak{h}}$ is closed in $\mathbb{P}(\mathfrak{h}) \times \mathcal{B}$.

Proof. We Recall that A stands for a Borel subgroup of G with Lie algebra \mathfrak{a} . Consider the action morphism $\alpha: \mathbb{P}(\mathfrak{h}) \times G \to \mathbb{P}(\mathfrak{g})$ defined by $(\ell,g) \mapsto g^{-1}(\ell)$. Then $\alpha^{-1}(\mathbb{P}(\mathfrak{a})) = \{(\ell,g) \in \mathbb{P}(\mathfrak{h}) \times G : \ell \subset g(\mathfrak{a})\}$, which is $\{(\ell,g) : \ell \subset \operatorname{Lie} gAg^{-1}\}$. Let $\pi: \mathbb{P}(\mathfrak{h}) \times G \to \mathbb{P}(\mathfrak{h}) \times \mathcal{B}$ be the morphism derived from the projection $G \to \mathcal{B}$. Clearly $\pi(\alpha^{-1}(\mathbb{P}(\mathfrak{a}))) = V_{\mathfrak{h}}$. Now, although π is not a proper morphism, it is the quotient morphism for the action of A on the right of $\mathbb{P}(\mathfrak{h}) \times G$ given by $(\ell,g) * a = (\ell,ga)$ and hence the closed and A-invariant subset $\alpha^{-1}(\mathbb{P}(\mathfrak{a}))$ is taken to a closed subset.

Let
$$\operatorname{pr}_1: \mathbb{P}(\mathfrak{h}) \times \mathcal{B} \to \mathbb{P}(\mathfrak{h})$$
 and $\operatorname{pr}_2: \mathbb{P}(\mathfrak{h}) \times \mathcal{B} \to \mathcal{B}$ be the projections. Then $\mathcal{B}_{\mathfrak{h}}:=\operatorname{pr}_2(V_{\mathfrak{h}}),$

so that $\mathcal{B}_{\mathfrak{h}}$ now has the structure of a closed subset of \mathcal{B} and moreover $\dim \mathcal{B}_{\mathfrak{h}} \leq \dim V_{\mathfrak{h}}$ [EGA, IV₂, 4.1.2(i)]. Note that the fibre of $(\mathrm{pr}_2)|_{V_{\mathfrak{h}}}$ above $B \in \mathcal{B}_{\mathfrak{h}}$ is $\mathbb{P}(\mathfrak{h} \cap \mathrm{Lie}\, B)$. Our strategy from this point on is to bound $\mathrm{codim}\, \mathcal{B}_{\ell}$ from below, that is, find a certain $c \in \mathbb{N}$ satisfying

$$\operatorname{codim}(\mathcal{B}_{\ell}, \mathcal{B}) \ge c, \quad \forall \ell \in \mathbb{P}(\mathfrak{h}).$$

This will then allow us to conclude that

(5.2)
$$\operatorname{codim}(\mathcal{B}_{\mathfrak{h}}, \mathcal{B}) \geq c - \dim \mathbb{P}(\mathfrak{h}).$$

(We apply [EGA, IV_2 , 5.6.7] to pr_1 .) To arrive at this goal, equation (5.1) and Lemma 5.2 allow us to consider separately centralisers of semi-simple and nilpotent elements, which is done in Sections 5.3 and 5.4

5.3. Centralisers of semi-simple elements. Recall that T stands for a maximal torus of G; denote by $\mathfrak t$ its Lie algebra and let $\Phi \subset \mathfrak t^\vee$ be the associated root system [Hu78, Section 8]. As we have chosen a Borel subgroup A, we have in our hands a base Δ and a set of positive roots Φ^+ . As customary, given $\alpha \in \Phi$, we let $\mathfrak g_\alpha = \{x \in \mathfrak g : [sx] = \alpha(s)x$ for each $s \in \mathfrak t\}$. These conventions are now employed in the remainder of Section 5.

For any given $s \in \mathfrak{t}$, let

$$s^{\perp} = \{ \lambda \in \mathfrak{t}^{\vee} : \lambda(s) = 0 \}.$$

Lemma 5.4. The following are true

(1) Let $s \in \mathfrak{t} \setminus \{0\}$ be given. Then $Z_{\mathfrak{g}}(s) = \mathfrak{t} \oplus \bigoplus_{\alpha \in \Phi \cap s^{\perp}} \mathfrak{g}_{\alpha}$ and $\Phi \cap s^{\perp}$ is its root system (eventually reductible). In particular

$$\operatorname{codim} Z_{\mathfrak{g}}(s) = \#(\Phi \setminus s^{\perp}).$$

(2) Let $s' \in \mathfrak{g}_{ss} \setminus \{0\}$. Then there exists an element $\sigma \in \mathfrak{t}$ such that $\operatorname{codim} Z_{\mathfrak{g}}(s') = \#(\Phi \setminus \sigma^{\perp})$.

Proof. (1) See [CM93, Lemma 2.1.2,p.20].

(2) This follows from the fact that any two maximal tori are conjugate. \Box

The relation between the root system Φ and the root system $\Phi \cap s^{\perp}$ is explained by:

Proposition 5.5. Each base of $\Phi \cap s^{\perp}$ can be extended to a base of Φ . In particular, the Dynkin diagram of $\Phi \cap s^{\perp}$ is obtained from the Dynkin diagram of Φ by removal of a number of vertices and the edges touching them.

Proof. [BLie, VI.1.7, Proposition 24].

From a direct analysis of the Dynkin diagrams and the tables of [BLie], we derive.

Corollary 5.6. We suppose that \mathfrak{g} is simple. Let $s \in \mathfrak{g}_{ss} \setminus \{0\}$.

- (1) Assume that Φ is of type A_r for some $r \geq 1$. Then $\Phi \cap s^{\perp}$ a direct sum of systems of type A. In particular, $\#(\Phi \setminus s^{\perp}) \geq 2r$.
- (2) Assume that Φ is of type B_r for some $r \geq 2$, respectively C_r for some $r \geq 3$. Then $\Phi \cap s^{\perp}$ is a direct sum of systems of type A or B, respectively A or C. In particular, $\#(\Phi \setminus s^{\perp}) \geq 4r 2$.
- (3) Assume that Φ is of type D_r for some $r \geq 4$. Then $\Phi \cap s^{\perp}$ is a direct sum of systems of type A or D. In particular, $\#(\Phi \setminus s^{\perp}) \geq 4r 4$.
- (4) Assume that Φ is of type E_6 . Then $\Phi \cap s^{\perp}$ is either E_6 or a direct sum of systems of type A, D. In particular, $\#(\Phi \setminus s^{\perp}) \geq 36$.
- (5) Assume that Φ is of type E_7 . Then $\Phi \cap s^{\perp}$ is a direct sum of systems of type A, D and E. In particular, $\#(\Phi \setminus s^{\perp}) \geq 54$.
- (6) Assume that Φ is of type E_8 . Then $\Phi \cap s^{\perp}$ is a direct sum of systems of type A, D and E. In particular, $\#(\Phi \setminus s^{\perp}) \geq 114$.
- (7) Assume that Φ is of type F_4 . Then $\Phi \cap s^{\perp}$ is F_4 or a direct sum of systems of type A, B or C. In particular, $\#(\Phi \setminus s^{\perp}) \geq 30$.
- (8) Assume that Φ is of type G_2 . Then $\#(\Phi \setminus s^{\perp}) \geq 16$.

Proof. The idea of proof is simple and requires constant observation of the tables in [BLie]. We shall leave to the reader the verification, with the help of Proposition 5.5, that the only possible types for the system $\Phi \cap s^{\perp}$ are the ones stated. Also, we note that $\#\Phi \cap s^{\perp} \neq \Phi$, since Φ spans \mathfrak{t}^{\vee} .

Let us adopt the following convention. For a root system of type A_r , B_r ,..., we shall let a_r , b_r ,... stand for the number of roots in it. Hence $a_r = r(r+1)$, $b_r = c_r = 2r^2$, $d_r = 2r(r-1)$, $e_6 = 72$, $e_7 = 126$, $e_8 = 240$, $f_4 = 48$ and $g_2 = 12$. At this point, we note that if $\tau \in \{a, b, c, d\}$, then $\tau_{i+j} \ge \tau_i + \tau_j$.

Now we move on to verify assertion (3) hoping that the reader will be able to verify the remaining by following the presented method. Let then Φ be of type D_r . It follows that $\Phi \cap s^{\perp}$ is of type $A_{r_1} + \cdots + A_{r_m} + D_{r_{m+1}} + \cdots + D_{r_n}$, where $\sum r_i \leq r-1$. Now, $a_i \leq d_i$, so $\#\Phi \cap s^{\perp} \leq d_i + d_j$ with i+j=r-1. Then $\#\Phi \setminus s^{\perp} \geq d_r - d_i - d_j$, and $d_r - d_i - d_j \geq 4(r-1)$ as a simple verification shows.

Corollary 5.7. Suppose that $\mathfrak g$ is simple and that Φ is not of type A_1,A_2,A_3 or B_2 . Then

$$\frac{1}{2}\operatorname{codim} Z_{\mathfrak{g}}(s) \geq 4.$$

Remark 5.8. The expression of $\#(\Phi \setminus s^{\perp})$ in terms of tabulated data is known in the case where s is a co-root and Φ is ADE: According to [BLie, VI.1.11, Proposition 32] we have $\#(\Phi \setminus s^{\perp}) = 4 \operatorname{Cox}(\Phi) - 6$, where $\operatorname{Cox}(\Phi)$ is the Coxeter number of Φ .

5.4. Centralisers of nilpotent elements. We assume here that \mathfrak{g} is *simple*, so that Φ is irreducible. Let $\widetilde{\alpha}$ be the longest root in Φ . (For the existence of $\widetilde{\alpha}$, see [Hu78, VI.1.8, Proposition 25].) Then

Theorem 5.9. For each $n \in \mathfrak{g}_{nil} \setminus \{0\}$ we have

$$\operatorname{codim} Z_{\mathfrak{g}}(n) \geq \#(\Phi^+ \setminus \widetilde{\alpha}^{\perp}) + 1.$$

In addition, the lower bound is attained.

In order to interpret $\Phi \setminus \tilde{\alpha}^{\perp}$, we need the "dual Coxeter" number $Cox^*(\Phi)$ [FS97, 7.6, p.119] and a result proved by R. Suter and W. Wang independently.

Theorem 5.10 ([Su98, Proposition 1],[Wa99, Theorem 1]). We have

$$\#(\Phi \setminus \widetilde{\alpha}^{\perp}) = 4 \operatorname{Cox}^*(\Phi) - 6.$$

In particular

$$\#(\Phi^+ \setminus \widetilde{\alpha}^\perp) = 2 \operatorname{Cox}^*(\Phi) - 3.$$

Corollary 5.11. Let $n \in \mathfrak{g}_{nil} \setminus \{0\}$. The lower line in the following diagram gives a lower bound for

$$\frac{1}{2}\operatorname{codim} Z_{\mathfrak{g}}(n).$$

<i>Type of root system</i> Φ	A_r	B_r	C_r	D_r	E_6	E_7	E_8	F_4	G_2
lower bound	r	2r-2	r	2r-3	11	17	27	8	3.

From eq. (5.1) we obtain:

Corollary 5.12. If \mathfrak{g} is not of type A_1, A_2, A_3 , B_2 , C_3 or G_2 then, for any $n \in \mathfrak{g}_{nil} \setminus \{0\}$, we have $\operatorname{codim}(\mathcal{B}_n, \mathcal{B}) > 4$.

5.5. The codimension of $\mathcal{B}_{\mathfrak{h}}$. Let us now assume that $\dim \mathfrak{h}=2$. We then suppose that the root system Φ of \mathfrak{g} is not of type A_1,A_2,A_3,B_2,C_3 or G_2 . Then, by Corollary 5.7, Corollary 5.12 and Lemma 5.2, we have

$$\operatorname{codim}(\mathcal{B}_\ell,\mathcal{B}) \geq 4 \qquad \text{for any } \ell \in \mathbb{P}(\mathfrak{h}).$$

Consequently,

$$\operatorname{codim}(\mathcal{B}_{\mathfrak{h}},\mathcal{B}) \geq 3$$

in these cases because of eq. (5.2).

5.6. Singularities of fundamental vector fields on the Borel variety and foliations associated to $SLie(2,\mathfrak{g})$. Let us identify \mathcal{B} and G/A and let $\pi:G\to G/A$ stand for the natural projection. Under this identification, the action of G on the left of \mathcal{B} by conjugation corresponds to the obvious action of G on the left of G/A. In addition, letting G act on itself by left-translations, it is obvious that π is G-equivariant. For each $v\in\mathfrak{g}=\mathrm{Lie}\,G$, let v^{\natural} be the fundamental vector field associated to v.

The action of G on $\mathcal B$ allows us to construct a map of Lie algebras $\mathfrak g \to H^0(\mathcal B, T_{\mathcal B})$, which shall be denoted by $v \mapsto v^{\natural}$; the vector field v^{\natural} is the "fundamental" vector field on $\mathcal B$. Let us briefly recall the definition of this classical object. If $\vartheta: G \to \mathcal B$ is the orbit morphism sending $1 \in G$ to $x \in \mathcal B$, then $v^{\natural}(x) = \mathrm{D}_1 \vartheta(v)$. (Here $\mathrm{D}_x \pi$ is the derivative between tangent spaces.)

Lemma 5.13. The vector field v^{\natural} has a zero at $\pi(x)$ if and only if $v \in \operatorname{Ad}_{x}(\mathfrak{a}) = \operatorname{Lie}(xAx^{-1})$. Otherwise said, v^{\natural} has a zero at the Borel subgroup $B \in \mathcal{B}$ if and only if $v \in \operatorname{Lie} B$.

Proof. For $y \in G$, let R_y and L_y be respectively the right and left translations by y on G. Let $\vartheta: G \to \mathcal{B}$ be the orbit morphism sending the neutral element e to x; we have $v^{\natural}(\pi(x)) = D_e \vartheta(v)$. Since $\pi R_x = \vartheta$, we conclude that

$$v^{\natural}(\pi(x)) = D_x \pi \left(D_e R_x(v) \right).$$

Now, the map $D_x\pi: T_xG \to T_{\pi(x)}\mathcal{B}$ is a surjection and its kernel is $D_eL_x(\mathfrak{a})$ because $\pi^{-1}(\pi(x)) = xA$. Hence, $v^{\natural}(\pi(x)) = 0$ if and only if $D_eR_x(v) \in D_eL_x(\mathfrak{a})$, which is the case if and only if $v \in D_e(R_{x^{-1}}L_x)(\mathfrak{a}) = \mathrm{Ad}_x(\mathfrak{a})$.

To verify the last claim, we observe that the point $\pi(x)$ of \mathcal{B} corresponds to the Borel subgroup xAx^{-1} .

Consider the $\mathcal{O}_{\mathcal{B}}$ -linear morphism

$$\gamma: \mathcal{O}_{\mathcal{B}} \otimes \mathfrak{g} \longrightarrow T_{\mathcal{B}}$$

determined by sending $v \in \mathfrak{g}$ to the field v^{\natural} .

Proposition 5.14. Let \mathfrak{h} be a two-dimensional subalgebra of \mathfrak{g} and suppose that \mathfrak{g} is not of type A_1, A_2, A_3, B_2, C_3 or G_2 .

- (1) The morphism $\gamma: \mathcal{O}_{\mathcal{B}} \otimes \mathfrak{h} \to T_{\mathcal{B}}$ is injective and its image is saturated.
- (2) If $\mathcal{A}(\mathfrak{h})$ is the (involutive) distribution determined by $\gamma(\mathcal{O}_{\mathcal{B}} \otimes \mathfrak{h})$, then $\operatorname{codim} \operatorname{Sing} \mathcal{A}(\mathfrak{h}) \geq 3$.

Proof. From the assumptions of \mathfrak{h} , we know from Section 5.5 that $\operatorname{codim} \mathcal{B}_{\mathfrak{h}} \geq 3$. Now, for a closed point $x \in \mathcal{B}$, the map of \mathbb{k} -spaces

$$\gamma(x): (\mathcal{O}_{\mathcal{B}}\otimes\mathfrak{h})(x)\longrightarrow T_{\mathcal{B}}(x)$$

will fail to be injective precisely when for a certain $v \in \mathfrak{h} \setminus \{0\}$ we have $v^{\sharp}(x) = 0$, since

$$1 \otimes \mathrm{id} : \mathfrak{h} \longrightarrow (\mathcal{O}_{\mathcal{B}} \otimes \mathfrak{h})(x)$$

is an isomorphism. Hence, letting

$$\Sigma := \left\{ x \in \mathcal{B} : \begin{array}{c} \gamma(x) : (\mathcal{O}_{\mathcal{B}} \otimes \mathfrak{h})(x) \to T_{\mathcal{B}}(x) \\ \text{fails to be injective} \end{array} \right\},$$

it follows that $\mathcal{B}_{\mathfrak{h}}$ is the set of closed points in Σ . As a consequence, $\gamma: \mathcal{O}_{\mathcal{B}} \otimes \mathfrak{h} \to T_{\mathcal{B}}$ is injective over $\mathcal{B} \setminus \Sigma$, and on this open subset $\gamma(\mathcal{O}_{\mathcal{B}} \otimes \mathfrak{h})$ is a subbundle of $T_{\mathcal{B}}$ [Mat89, Theorem 22.5, p.176]. From Proposition A.17, we see that $\gamma(\mathcal{O}_{\mathcal{B}} \otimes \mathfrak{h})$ is a strongly saturated $\mathcal{O}_{\mathcal{B}}$ -submodule of $T_{\mathcal{B}}$ and $\gamma(\mathcal{O}_{\mathcal{B}} \otimes \mathfrak{h})$ thus defines a distribution. Moreover, we see that $\mathcal{B}_{\mathfrak{h}}$ is none other than the set of closed points in $\mathrm{Sing}(\mathcal{A}(\mathfrak{h}))$.

- 6. IRREDUCIBLE COMPONENTS OF THE SPACE OF FOLIATIONS IN THE CASE OF A BOREL VARIETY
- 6.1. The scheme of distributions. We fix base field k and let X be a smooth, proper and geometrically connected n-dimensional k-scheme. In what follows, unadorned direct products are taken over $\operatorname{Spec} k$ and, for a given k-scheme S, or \mathcal{O}_S -module \mathcal{M} over it, we shall write X_S , respectively \mathcal{M}_S , for the product $X \times S$, resp. for the pull-back of \mathcal{M} to $X \times S$.

Let L be an invertible sheaf on X and q an integer in $\{1, \ldots, n\}$. Denote by A the affine scheme of twisted q-forms with values on L so that we have an universal q-form

$$\Phi: \wedge^q T_{X_A/A} \longrightarrow L_A.$$

Let $B \subset A$ be the open subscheme of A consisting of all points $a \in A$ such that

$$\operatorname{codim}(\operatorname{Sing}(\Phi) \cap X_a, X_a) \ge 2.$$

Note that $\operatorname{codim}\operatorname{Sing}(\Phi|_{X_B})\geq 2$. For the sake of simplicity, let us abuse notation and denote by Φ also the pull-back of Φ to $X_B\subset X_A$. Let Ker_Φ stand for the \mathcal{O}_{X_B} -submodule of $T_{X_B/B}$ defined by the form Φ as in eq. (B.2). Consider the *closed* subscheme D of B with the following universal property: For each morphism $S\to B$, the sheaf $\operatorname{Ker}_\Phi\times_B S$ is free of rank n-q on the generic point of each fibre $X\times\{s\}$ if and only $S\to B$ factors through D [RG71, Part 1, Lemma 4.3.2].

Lemma 6.1. Let $u: S \to B$ be a morphism and let $\psi: X_S \to X_B$ be the natural map. Then $\psi^*(\omega)$ is LDS if and only if u factors through D.

Proof. Let ξ be the generic point of X. For each S and each $s \in S$, we let ξ_s stand for the generic point of $X \times \{s\}$. Note that for each $b \in B$, we have $\xi_b \in \operatorname{Reg}(\Phi) \cap X \times \{b\}$ by construction. Now, if $s \in S$ is sent to $b \in B$, then $\psi(\xi_s) = \xi_b$ and $\xi_s \in \operatorname{Reg}(\psi^*\omega)$. We note that $\psi^*(\omega)$ is very irreducible because $\operatorname{Reg}(\psi^*(\omega)) = \psi^{-1}(\operatorname{Reg}(\omega))$ and hence $\operatorname{Reg}(\psi^*\omega) \cap X \times \{s\}$ is always big in $X \times \{s\}$. Consequently,

$$(\psi^*(\operatorname{Ker}_{\omega}))_{\xi_s} \simeq (\operatorname{Ker}_{\psi^*(\omega)})_{\xi_s}$$

Suppose that $\psi^*(\omega)$ is LDS. We must show that $\operatorname{Ker}_{\psi^*(\omega)}$ is free of rank n-q on ξ_s . Note that, since u takes values in B, the form $\psi^*(\omega)$ is immediately very irreducible. Then, $\left(\operatorname{Ker}_{\psi^*(\omega)}\right)_{\xi_s}$ has rank n-q (Lemma B.16). It then follows that the $\operatorname{free} \mathcal{O}_{X_S,\xi_s}$ -module $(\psi^*(\operatorname{Ker}_{\omega}))_{\xi_s}$ has rank n-q.

Conversely, suppose that for each $s \in S$, the \mathcal{O}_{X_S,ξ_s} -module $(\psi^*(\mathrm{Ker}_\omega))_{\xi_s}$ is free of rank n-q so that $(\mathrm{Ker}_{\psi^*(\omega)})_{\xi_s}$ is likewise. Since $\mathrm{Ass}(X\times S)=\{\xi_s:s\in\mathrm{Ass}(S)\}$, we conclude that $\mathrm{Ker}_{\psi^*(\omega)}$ is always free of rank n-q on the associated points of $X\times S$. By Lemma B.16, we conclude that $\psi^*(\omega)$ is LDS.

Definition 6.2. The scheme D is called the scheme of LDS forms on X of codimension q and determinant L. It will be denoted by $\mathbf{Dist}^q(X,L)^+$. The quotient of $\mathbf{Dist}^q(X,L)^+$ by the natural action of \mathbb{G}_m is the *scheme of distributions of codimension* q *and determinant* L and is denoted by $\mathbf{Dist}^q(X,L)$. For the sake of brevity, $\mathbf{Dist}_r(X,L)$ shall stand for $\mathbf{Dist}^{n-r}(X,L)$.

Let finally $\mathbf{Fol}^q(X, L)^+$ stand for the scheme of foliations on X. This is the closed subscheme of $D := \mathbf{Dist}^q(X, L)^+$ on which the $\mathcal{O}_{X \times D}$ -linear map

$$\wedge^2 \mathrm{Ker}_{\Phi} \longrightarrow \wedge^2 T_{X \times D/D} \longrightarrow \frac{T_{X \times D/D}}{\mathrm{Ker}_{\Phi}}$$

defined by the bracket vanishes. The quotient of $\mathbf{Fol}^q(X,L)^+$ by the natural action of \mathbb{G}_m is the scheme of foliations of determinant L and codimension q on X and is denoted by $\mathbf{Fol}^q(X,L)$.

For the sake of brevity, we let $\mathbf{Fol}_r(X, L)$ stand for $\mathbf{Fol}^{n-r}(X, L)$. It is also convenient to denote the underlying reduced schemes by dropping the boldface typeset: $\mathrm{Dist}^q(X, L)$, $\mathrm{Dist}_r(X, L)$, etc.

6.2. Irreducible components of the space Fol. Let us place ourselves in the situation described in Section 5.1: G/\mathbb{k} is an adjoint linear algebraic group with *simple* Lie algebra \mathfrak{g} . Instead of the more traditional \mathcal{B} , we shall let X stand for the Borel variety of G and denote by n its dimension. Finally, we exclude the cases where \mathfrak{g} is of type A_1, A_2, A_3, B_2, C_3 or G_2 .

Let

$$\Psi: \wedge^2 \mathfrak{g} \longrightarrow H^0(X, \wedge^2 T_X)$$

be the linear map defined by $v \wedge w \to v^{\natural} \wedge w^{\natural}$. Assume that $v^{\natural} \wedge w^{\natural} = 0$; then for each x closed point, there exists $\lambda, \mu \in \mathbb{k}$ such that $(\lambda v + \mu w)^{\natural}(x) = 0$. Consequently, if $\mathbb{k} \cdot v \wedge w = \wedge^2 \mathfrak{h}$ for some $\mathfrak{h} \in \mathrm{SLie}(2,\mathfrak{g})(\mathbb{k})$, then $x \in X_{\mathfrak{h}}$. This contradicts the bound obtained in Section 5.5, unless $v \wedge w = 0$. Hence, no element of $\wedge^2 \mathfrak{g}$ of the form $v \wedge w$ with $\mathbb{k}v + \mathbb{k}w$ a two-dimensional subalgebra of \mathfrak{g} , belongs to $\mathrm{Ker}(\Psi)$.

Let now

$$\mathbb{P}\Psi: \mathbb{P}\left(\wedge^2\mathfrak{g}\right) \setminus \mathbb{P}(\operatorname{Ker}(\Psi)) \longrightarrow \mathbb{P}\left(H^0(X, \wedge^2T_X)\right)$$

be the morphism obtained from Ψ by passing to the associated projective spaces. From what we explained above, the Plücker immersion

$$Gr(2,\mathfrak{g})\longrightarrow \mathbb{P}\left(\wedge^2\mathfrak{g}\right)$$

sends $\mathrm{SLie}(2,\mathfrak{g})$ into $\mathbb{P}(\wedge^2\mathfrak{g})\setminus\mathbb{P}(\mathrm{Ker}(\Psi))$ and we then obtain a morphism

$$\psi: \mathrm{SLie}(2,\mathfrak{g}) \longrightarrow \mathbb{P}\left(H^0(X, \wedge^2 T_X)\right),$$

with the property that for any two generators v, w of $\mathfrak{h} \in \mathrm{SLie}(2,\mathfrak{g})(\mathbb{k})$, we have $\psi(v \wedge w) = \mathbb{k} \cdot v^{\sharp} \wedge w^{\sharp}$. The fact that the natural pairing $\wedge^2 T_X \otimes_{\mathcal{O}} \wedge^{n-2} T_X \to \det T_X$ is non-degenerate gives us an isomorphism

$$H^0(X, \wedge^2 T_X) \simeq H^0(X, \Omega_X^{n-2} \otimes \det T_X)$$

and consequently a morphism

$$\mathrm{SLie}(2,\mathfrak{g}) \longrightarrow \mathbb{P}\left(H^0(X,\Omega_X^{n-2}\otimes \det T_X)\right).$$

Another simple verification shows that ψ factors through the reduced subscheme $\operatorname{Fol}_2(X, \det T_X)$ and that for each $\mathfrak{h} \in \operatorname{SLie}(2, \mathfrak{g})(\Bbbk)$, the element $\psi(\mathfrak{h})$ is the twisted (n-2)-form with values on $\det T_X$ associated to the action distribution (in fact a foliation) $\mathcal{A}(\mathfrak{h})$ introduced in Section 5.6.

Theorem 6.3. The morphism ψ is open. In particular, if Σ is an irreducible component of $\operatorname{SLie}(2,\mathfrak{g})$, then $\overline{\psi(\Sigma)}$ is an irreducible component of $\operatorname{Fol}_2(X, \det T_X)$.

Proof. We start by explaining some preliminary material. First, due to [Se59, Lemma 1], a trivial vector bundle on X is rigid, since X is a smooth and rational variety. Then, the natural morphism of Lie algebras $\mathfrak{g} \to H^0(X, T_X)$ obtained from the left action of G on X is an isomorphism, see Theorem 1, Proposition 1 and the remark preceding the beginning of Section 3 in [De77].

Let $\mathfrak{h} \in \mathrm{SLie}(2,\mathfrak{g})(\mathbb{k})$ be given. Then the foliation $\mathcal{A}(\mathfrak{h})$ on X is such that

$$\operatorname{codim}(X \setminus \operatorname{Sing}(\mathcal{A}(\mathfrak{h})), X) \ge 3$$

because of what is explained in Section 5.6. Consider now the universal relative foliation \mathcal{U} on $X \times \operatorname{Fol}_2(X, \det T_X)$. Then

$$\mathcal{U}_{\psi(\mathfrak{h})} \simeq \mathcal{A}(\mathfrak{h}).$$

Because the closed subsets $\operatorname{Sing}(\mathcal{A}(\mathfrak{h}))$ and $\operatorname{Sing}(\mathcal{U}) \cap X_{\psi(\mathfrak{h})}$ agree, we conclude that the open subset

$$B = \{b \in \operatorname{Fol}_2(X, \det T_X) : \operatorname{codim}(\operatorname{Sing}(\mathcal{U}) \cap X_b, X_b) \ge 3\}$$

is non-empty. Then, there exists an open neighbourhood B' of $\psi(\mathfrak{h})$ in B such that $T_{\mathcal{U}_b}$ is free of rank two for each $b \in B'$ (Corollary 4.14). Let $\mathfrak{i}_b = H^0(X, T_{\mathcal{U}_b})$; this is a two-dimensional subalgebra of $\mathfrak{g} = H^0(X, T_X)$. Consequently, for each $b \in B'(\mathbb{k})$, the distribution \mathcal{U}_b is of the form $\psi(\mathfrak{i}_b)$ for some $\mathfrak{i}_b \in \mathrm{SLie}(2,\mathfrak{g})(\mathbb{k})$, which shows that ψ is open. \square

Corollary 6.4. Assume that \mathfrak{g} is of type A_r, B_r, C_r or D_r . Then the number of irreducible components of $\operatorname{Fol}_2(X, \det T_X)$ tends to infinity when $r \to +\infty$.

Proof. This can be proved using the theory of "special" partitions, see Theorems 5.1.2-4 in [CM93].

APPENDIX A. GENERALITIES ON COMMUTATIVE ALGEBRA

In certain cases, it is important to work with distributions on relative schemes and, it turns out, even in schemes having complicated underlying algebra: non-reduced, having embedded components, with sheaves of meromorphic functions which are not coherent, etc. In order to gain ground on this theme, we thought useful to interpose the following sections dealing with basic, but less widespread, commutative algebra.

A.1. **Torsion theories.** Throughout this section and Section A.2, we fix a Noetherian ring A. Its total ring of fractions is denoted by K. Under this generality, the notion of itorsion in an A-module is not as simple as in the case of a domain, and, it turns out, there are two relevant paths to follow.

Definition A.1. Let M be a finite A-module.

- i) We say that M is torsion-free if $M \to K \otimes M$ is injective. We say that M is torsion-less if the canonical map $M \to M^{\vee\vee}$ is injective (cf. [BH93, 1.4]).
- ii) The torsion-free, respectively torsionless, quotient of M is the image of M in $K \otimes M$, respectively in $M^{\vee\vee}$. These shall respectively be denoted by $M_{\rm tof}$ and $M_{\rm tol}$.

The above definition has a slight imprecision since we did not establish that $M_{\rm tof}$, respectively $M_{\rm tol}$, is torsion-free, respectively torsion-less. That this is the case for $M_{\rm tof}$ is simple to verify, while for $M_{\rm tol}$ this is a consequence of:

Proposition A.2 (cf. [BV80, Proposition 16.31]). *A finite module* M *is torsion-less if and only if it is a submodule of a free module of finite rank.*

It comes as no surprise that $M \to M_{\rm tof}$, resp. $M \to M_{\rm tol}$, is "universal" with respect to morphisms to torsion-free, resp. torsion-less, modules. Here is another fundamental property:

Lemma A.3. Let M be a finite A-module. Then the epimorphism $M \to M_{\rm tol}$ factors through the epimorphism $M \to M_{\rm tof}$. In particular, each torsion-less module is also torsion-free.

With the previous results in sight, the following definition is justified.

Definition A.4. Let M and F be A-modules and $M \to F$ an injection. Then the *strong saturation* of M in F is

$$M^{\text{ssat}} = \text{Ker } F \to (F/M) \to (F/M)_{\text{tol}}.$$

The *saturation* is

$$M^{\rm sat} = \operatorname{Ker} F \to (F/M) \to (F/M)_{\rm tof}.$$

Here is a simple working property around the previous definition.

Lemma A.5. Let M be a submodule of F. Then

$$M^{\text{ssat}} = \bigcap_{\substack{\lambda \in F^{\vee} \\ \lambda|_{M} = 0}} \operatorname{Ker} \lambda \quad and \quad M^{\text{sat}} = \left\{ x \in F : \begin{array}{c} ax \in M \text{ for some regular} \\ element \ a \in A \end{array} \right\}. \quad \Box$$

A fundamental result of Vasconcelos allows us to determine when the notions of torsion-free and torsion-less agree thus providing a converse to Lemma A.3. This result employs two fundamental properties of Commutative Algebra: Gorenstein rings (cf. [Mat89, §18], specially Theorem 18.1) and Serre's conditions S_n [Mat89, §23, p.183]. The reader is asked to bear in mind the following summary scheme:

 S_0 is always satisfied,

 $S_1 \Leftrightarrow$ there are no embedded primes,

A is reduced \Leftrightarrow A is S_1 and for each minimal \mathfrak{p} the ring $A_{\mathfrak{p}}$ is a field.

If (A, \mathfrak{m}, k) is a zero dimensional Noetherian local ring, then

A is Gorenstein
$$\Leftrightarrow$$
 Hom_A $(k, A) \simeq k$.

It is expedient to make the following definition.

Definition A.6 ([Ha94]). We say that a Noetherian scheme X satisfies property G_n if for all $x \in X$ of codimension $\leq n$, i.e. $\dim \mathcal{O}_{X,x} \leq n$, the local ring $\mathcal{O}_{X,x}$ is Gorenstein. A Noetherian ring satisfies G_n if its spectrum satisfies G_n .

We can then clearly state Vasconcelos' result.

Theorem A.7 ([Va68, Theorem A.1]). *The following conditions are equivalent.*

- *i)* Every finite torsion-free A-module is torsion-less.
- ii) The ring A enjoys G_0 and S_1 .

In particular, if A is reduced, then each torsion-free module is automatically torsion-less and vice-versa.

Remark A.8. A fundamental fact which lies at the heart of the previous result is that *all* finite torsionless modules are reflexive over a local Gorenstein ring of dimension at most one [Ba63, Theorem 6.2].

We record another simple property around torsion-less modules. (It is a consequence of the "commutation of flat base-change and duals" [Mat89, Theorem 7.11].)

Lemma A.9. Let $A \to B$ be a flat morphism of noetherian rings and M a finite A-module. If M is torsion-less, then $B \otimes_A M$ is likewise.

Let us then end this section with some illustrations.

Example A.10 (On the rank). In opposition to the case of integral domains, torsion-less modules do not necessarily have a "reasonable rank". Let $P = \mathbb{C}[x,y]$ and let f be an irreducible polynomial. Define $A = P/(f^2)$ and I = fA. Then, for each $\mathfrak{p} \in \operatorname{Spec} A$, the $A_{\mathfrak{p}}$ -module $I_{\mathfrak{p}}$ is annihilated by the non-zero element $f/1 \in A_{\mathfrak{p}}$. Hence, I has "rank zero" although being torsion-less.

Example A.11 (Failure of Lemma A.3). Let $A = \mathbb{Q}[x,y]/(x^2,xy,y^2) = \mathbb{Q}1 \oplus \mathbb{Q}x \oplus \mathbb{Q}y$; this is a local ring with maximal ideal $\mathfrak{m} = \mathbb{Q}x \oplus \mathbb{Q}y$. Let M = A/(x). It is not difficult to see that M is torsion-free but not torsion-less. Note here that A is not Gorenstein.

A.2. Orthogonals and strong saturation. We fix a Noetherian ring A. Let F be a projective A-module of finite rank and $\langle -, - \rangle : F \times F^{\vee} \to A$ the canonical pairing. For $M \subset F$, we write $M^{\perp} = \{\theta \in F^{\vee} : \langle m, \theta \rangle = 0, \ \forall \ m \in M\} \simeq (F/M)^{\vee}$.

Proposition A.12. *Let* $M \subset F$ *be a submodule as above.*

- (1) The natural inclusions $M \subset M^{\rm sat} \subset M^{\rm ssat}$ induce equalities $(M^{\rm ssat})^{\perp} = (M^{\rm sat})^{\perp} = M^{\perp}$.
- (2) The submodule M^{\perp} of F^{\vee} is strongly saturated.
- (3) The natural monomorphism $u: M \to M^{\perp \perp}$ defined by $u_m(\varphi) := \langle m, \varphi \rangle$ is an isomorphism if and only if M is strongly saturated in F.
- (4) We have $M^{\perp \perp} = M^{\text{ssat}}$.

Proof. Item (1). Clearly $M^{\perp} \supset (M^{\rm sat})^{\perp} \supset (M^{\rm ssat})^{\perp}$. Let now $\theta : F \to A$ belong to M^{\perp} . By definition, $\theta|_{M} = 0$ and hence $M^{\rm ssat} \subset \operatorname{Ker}(\theta)$ (Lemma A.5), so that $\theta \in (M^{\rm ssat})^{\perp}$. Item (2). By Lemma A.5 we have

$$(M^{\perp})^{\text{ssat}} = \bigcap_{\substack{x \in F \\ \langle x, M^{\perp} \rangle = 0}} \operatorname{Ker} \langle x, - \rangle.$$

Now $x \in F$ is annihilated by each $\lambda \in M^{\perp}$ if and only if $x \in M^{\operatorname{ssat}}$ by the same Lemma. Hence, $(M^{\perp})^{\operatorname{ssat}} = \bigcap_{x \in M^{\operatorname{ssat}}} \operatorname{Ker} \langle x, - \rangle$. But by definition the former intersection is just $(M^{\operatorname{ssat}})^{\perp}$, which equals M^{\perp} by (1).

Item (3). Consider an exact sequence

$$0 \longrightarrow M \stackrel{i}{\longrightarrow} F \stackrel{p}{\longrightarrow} Q \longrightarrow 0.$$

Then, we have a commutative diagram with exact rows

$$0 \longrightarrow M \xrightarrow{i} F \xrightarrow{p} Q \longrightarrow 0$$

$$\downarrow v \qquad \qquad \downarrow 0 \longrightarrow M^{\perp \perp} \longrightarrow F \xrightarrow{(p^{\vee})^{\vee}} Q^{\vee \vee}$$

and from the Snake Lemma, we have

$$Ker(u) = 0$$
 and $Coker u \simeq Ker v$.

Hence, $M \to M^{\perp \perp}$ is an isomorphism if and only if $\operatorname{Ker} v = 0$, which is equivalent to Q being torsionless.

A.3. **Torsion in the case of sheaves.** Let X be a Noetherian scheme with sheaf of meromorphic functions \mathcal{K}_X [Kl79]. In analogy with Definition A.1-(i), EGA puts forth the following.

Definition A.13. An \mathcal{O}_X -module \mathcal{M} is *strictly torsion-free* [EGA, IV₄, 20.1.5] if the natural map $\mathcal{M} \to \mathcal{K}_X \otimes \mathcal{M}$ is injective.

As X is Noetherian, we know that $\mathcal{K}_{X,x}$ is simply the total ring of fractions of $\mathcal{O}_{X,x}$ [Li02, Lemma 7.1.12] and hence \mathcal{M} is strictly torsion-free if and only if each \mathcal{M}_x is torsion-free. Now, the \mathcal{O}_X -module \mathcal{K}_X is not necessarily quasi-coherent [Kl79, p.205], which renders the above definition unwieldy in general: indeed, in order to construct the "strictly torsion-free quotient of \mathcal{M} " we would need to look at the image of \mathcal{M} in $\mathcal{M} \otimes \mathcal{K}_X$. (We note here that if X satisfies Serre's S_1 property [Mat89, §23, p.183], then \mathcal{K}_X is quasi-coherent [Ha94, Proposition 2.1(d)].) Therefore, the notion of torsion-less is better suited to this level of generality than that of torsion-free.

Let $\mathcal M$ be a coherent sheaf and $\mathcal F$ a locally free sheaf of finite rank throughout.

Definition A.14. We say that \mathcal{M} is *torsion-less* if the natural map $\chi : \mathcal{M} \to \mathcal{M}^{\vee\vee}$ is injective. The *torsion-less quotient* of \mathcal{M} , denoted \mathcal{M}_{tol} , is $Im(\chi)$.

Needless to say, in the above definition, $M_{\rm tol}$ is torsion-less, since it is a submodule of the torsion-less \mathcal{O}_X -module $\mathcal{M}^{\vee\vee}$.

We suppose from now on that $\mathcal{M} \subset \mathcal{F}$.

Definition A.15. We define the *strong saturation* of \mathcal{M} in \mathcal{F} as being the kernel of the composition $\mathcal{F} \to (\mathcal{F}/\mathcal{M}) \to (\mathcal{F}/\mathcal{M})_{\text{tol}}$. Notation: $\mathcal{M}^{\text{ssat}}$.

Note that each torsion-less coherent \mathcal{O}_X -module is automatically strictly torsion-free. Also, observe that, by construction, the strong saturation of \mathcal{M} in \mathcal{F} is always coherent.

Let us now state Proposition A.12 in terms of sheaves. Write $\langle -, - \rangle : \mathcal{F} \times \mathcal{F}^{\vee} \to \mathcal{O}_X$ for the canonical pairing and define \mathcal{M}^{\perp} by $\mathcal{M}^{\perp} = \operatorname{Ker} \mathcal{F}^{\vee} \to \mathcal{M}^{\vee}$. Clearly, if U is an affine open subset of X, then $\mathcal{M}^{\perp}(U) = \mathcal{M}(U)^{\perp}$, in the notation of Section A.2. Proposition A.12 now easily implies the following result.

Proposition A.16. (1) The submodule \mathcal{M}^{\perp} of \mathcal{F}^{\vee} is strongly saturated.

- (2) The natural monomorphism $\mathcal{M} \to \mathcal{M}^{\perp \perp}$ is an isomorphism if and only if \mathcal{M} is strongly saturated in \mathcal{F} .
- (3) We have $\mathcal{M}^{\perp\perp} = \mathcal{M}^{\mathrm{ssat}}$.

Let us end this section with simple results which allow us to recognise what kind of \mathcal{O}_X -module the saturation shall be. This is particularly useful when X is a quasi-normal scheme (see Remark A.18) and when \mathcal{M} is already strongly saturated on some open subset.

Proposition A.17. Let $U \subset X$ be a non-empty open subset and write $\overline{\mathcal{M}}$ for the canonical extension of $\mathcal{M}|_U$ inside \mathcal{F} [EGA, I, 9.4.1-2], that is, $\Gamma(V, \overline{\mathcal{M}}) = \{s \in \Gamma(V, \mathcal{F}) : s|_{V \cap U} \in \mathcal{M}(V \cap U)\}$.

- (1) Assume that $\operatorname{Ass}(X) \subset U$ (which means that U is schematically dense) and that $\mathcal{M}|_U$ is strongly saturated in $\mathcal{F}|_U$. Then $\mathcal{M}^{\operatorname{ssat}} = \overline{\mathcal{M}}$.
- (2) Suppose that X is quasi-normal, i.e. it satisfies G_1 and S_2 . Also, suppose that U is big in X and that $\mathcal{M}|_U$ is strongly saturated in $\mathcal{F}|_U$. Then the \mathcal{O}_X -module $\mathcal{M}^{\mathrm{ssat}} = \mathcal{M}^{\mathrm{sat}}$ is isomorphic to $\mathcal{M}^{\vee\vee}$. In particular, if \mathcal{M} is reflexive, then $\mathcal{M} = \mathcal{M}^{\mathrm{ssat}} = \mathcal{M}^{\mathrm{sat}}$.

Proof. Item (1) Let $j:U\to X$ be the inclusion. By definition, $\overline{\mathcal{M}}$ is the kernel of the natural map

$$\mathcal{F} \longrightarrow j_* \left(\mathcal{F} \big|_U \right) \longrightarrow j_* \left[\left(\mathcal{F} / \mathcal{M} \right) \big|_U \right],$$

and hence $\mathcal{M}^{\mathrm{ssat}} \subset \overline{\mathcal{M}}$ because $\mathcal{M}^{\mathrm{ssat}}$ is taken to zero under the above map. Also, $\mathcal{F}/\overline{\mathcal{M}}$ is strictly torsion-free (cf. Definition A.13). Indeed, if V is any open subset of X and $a \in \mathcal{O}(V)$ annihilates $(\mathcal{F}/\overline{\mathcal{M}})\big|_V$, then $a|_{U\cap V}$ annihilates $(\mathcal{F}/\mathcal{M})\big|_{V\cap U}$ which implies that a=0 since $(\mathcal{F}/\mathcal{M})\big|_U$ is strictly torsion-free — each torsion-less is strictly torsion-free — and $V\cap U\supset \mathrm{Ass}(V)$. (Here we are using that \mathcal{O}_X injects into $j_*\mathcal{O}_U$.) Because $\mathcal{F}/\mathcal{M}^{\mathrm{ssat}}$ is torsion-less, it is strictly torsion-free. Consequently, $\overline{\mathcal{M}}/\mathcal{M}^{\mathrm{ssat}}$ is also strictly torsion-free and hence [EGA, IV₄, 20.1.6]

$$\operatorname{Ass}\left(\overline{\mathcal{M}}/\mathcal{M}^{\operatorname{ssat}}\right) \subset \operatorname{Ass}(X) \subset U.$$

Since $\overline{\mathcal{M}}/\mathcal{M}^{\mathrm{ssat}}$ vanishes on all its associated points, it follows that $\overline{\mathcal{M}}/\mathcal{M}^{\mathrm{ssat}}$ vanishes all-over [EGA, IV₂, 3.1.1.].

Item (2). We know that $\mathcal{M}^{\mathrm{ssat}}$ is reflexive [Ha94, Proposition 1.7]. We then obtain a factorization $\mathcal{M} \to \mathcal{M}^{\vee\vee} \to \mathcal{M}^{\mathrm{ssat}}$. From the assumption, we get isomorphisms

$$\mathcal{M}|_{U} \xrightarrow{\sim} \mathcal{M}^{\vee\vee}|_{U} \xrightarrow{\sim} \mathcal{M}^{\mathrm{ssat}}|_{U}.$$

 \Box

We then conclude via [Ha94, Theorem 1.12] that $\mathcal{M}^{\vee\vee} \simeq \mathcal{M}^{\mathrm{ssat}}$.

Remark A.18. Schemes satisfying G_1 and S_2 are called quasi-normal schemes in [Va68, Definition 1.2]. Warning: There exists in the literature the notion of "semi-normal", which seems unrelated.

APPENDIX B. GENERALITIES ON THE THEORY OF DISTRIBUTIONS

Let $f: X \to S$ be a smooth morphism of Noetherian schemes. The relative tangent sheaf, which is a vector bundle, is denoted by T_f in what follows.

Definition B.1. A distribution \mathcal{V} on X/S is a coherent \mathcal{O}_X -submodule $T_{\mathcal{V}}$ of T_f such that the quotient $T_f/T_{\mathcal{V}}$ is torsion-less. The sheaf $T_{\mathcal{V}}$ is called the *tangent sheaf* of the distribution. The quotient $Q_{\mathcal{V}} = T_f/T_{\mathcal{V}}$ is called the *quotient sheaf*.

The reader must have noticed that we could have easily abandoned from the notation the symbol $T_{\mathcal{V}}$: we avoided this because when studying restrictions, we want to avoid a notational conflict between the restriction of the distribution and the restriction of the tangent sheaf.

The next definition recalls some standard terminologies and introduces a new convenient one.

Definition B.2 (Singularities of distributions). Let \mathcal{V} be a distribution on X/S.

- (a) A point $x \in X$ is called a *singularity* of the distribution \mathcal{V} if $Q_{\mathcal{V},x}$ fails to be a free $\mathcal{O}_{X,x}$ -module. The set of all singularities is denoted by $\mathrm{Sing}(\mathcal{V})$. Similarly, a point which is *not* a singularity is called a *regular point*. The set of all regular points shall be denoted by $\mathrm{Reg}(\mathcal{V})$.
- (b) A point $x \in X$ is called a *tangent singularity*, or is said to be *tangent-singular*, if $T_{\mathcal{V},x}$ is *not* a free $\mathcal{O}_{X,x}$ -module. The set of tangent singularities is denoted by $TSing(\mathcal{V})$.

Using standard Commutative Algebra (see for example [Mat89, Theorem 22.5, p.176]), it is a simple matter to prove the following result.

Lemma B.3. Let V be a distribution defined by the submodule $\alpha: T_V \to T_f$. Then

(i) We have the equality

$$\operatorname{Sing}(\mathcal{V}) := \left\{ x \in X : \begin{array}{c} \alpha(x) : T_{\mathcal{V}}(x) \to T_f(x) \\ \text{is not injective} \end{array} \right\}.$$

(ii) Each tangent-singularity is also a singularity. In symbols:

$$TSing(\mathcal{V}) \subset Sing(\mathcal{V}).$$

Lemma B.3 shows that the term "tangent singularity" is unambiguous. Notice that, in general, the inclusion envisaged in Lemma B.3-(ii) is strict. For instance, if $T_{\mathcal{V}} = \mathcal{O}_X \cdot v$ for some vector field v, then $\mathrm{TSing}(\mathcal{V}) = \varnothing$ while $\mathrm{Sing}(\mathcal{V})$ coincides with the zero set of v, i.e. the set where $v_x \in \mathfrak{m}_x T_{X,x}$.

The definition together with Proposition A.2 show that, locally, a distribution is constructed as follows. Let $U \subset X$ be an open subset where $Q_{\mathcal{V}}$ can be injected into a free module \mathcal{O}_U^m , cf. Proposition A.2. Then, letting $T_f|_U \to Q_{\mathcal{V}}|_U \to \mathcal{O}_U^m$ the composition be defined by relative 1-forms $(\omega_1,\ldots,\omega_m):T_f|_U \to \mathcal{O}_U^m$, we conclude that $T_{\mathcal{V}}|_U = \cap_i \mathrm{Ker}\,(\omega_i)$.

B.1. **Distributions and twisted exterior forms.** Let $f: X \to S$ be a smooth morphism of Noetherian schemes. Imposing certain regularity conditions on S, there exists a *fundamental and very concise way of expressing a distribution employing a twisted differential form*; the case of codimension one being rather well-known [Jo79], while in higher codimensions being due to A. de Medeiros, cf. [dM77, Section 1] and [dM00, Section 1]. The translation of the concept to the associated form serves then as a means to study the "moduli" of distributions.

B.1.1. From forms to distributions.

Definition B.4. Let $q \geq 1$ be an integer and L be an invertible sheaf on X. A global section ω of $\Omega_f^q \otimes L$ is called a twisted q-form with values on L.

We being by explaining how to associate a distribution to certain twisted forms. Let q be a positive integer, L an invertible sheaf on X and ω a twisted q-form with values on L. The \mathcal{O}_X -linear map $\wedge^q T_f \to L$ obtained from ω will be denoted likewise. Let now $\operatorname{Ker}_{\omega}$ be the \mathcal{O}_X -submodule of T_f defined by decreeing that on an affine open subset U we have:

(B.1)
$$\operatorname{Ker}_{\omega}(U) = \{ v \in T_f(U) : \mathbf{i}_v(\omega) = 0 \}$$

$$= \bigcap_{v_1, \dots, v_{q-1} \in T_f(U)} \{ v \in T_f(U) : \omega(v_1, \dots, v_{q-1}, v) = 0 \}.$$

Otherwise said,

(B.2)
$$\operatorname{Ker}_{\omega} = \operatorname{Ker} \left(T_f \xrightarrow{\operatorname{id} \otimes \omega} T_f \otimes \Omega_f^q \otimes L \xrightarrow{\operatorname{contract} \otimes \operatorname{id}} \Omega_f^{q-1} \otimes L \right).$$

Note that, $T_f/\mathrm{Ker}_{\omega}$ is a submodule of a (locally) free module and hence is torsion-less (Lemma A.2). Consequently:

Lemma B.5. The \mathcal{O}_X -submodule $\operatorname{Ker}_{\omega} \subset T_f$ is strongly saturated.

Definition B.6. We let $\mathcal{Z}(\omega)$ be the distribution on X/S defined by $T_{\mathcal{Z}(\omega)} = \operatorname{Ker}_{\omega}$.

Example B.7. Let $\{t_i\}_{i=1}^n$, respectively λ , be a basis of T_f , respectively L, over an affine and open subset U and $\{\theta_i\}_{i=1}^n$ be the dual basis of $\{t_i\}$. If $\omega|_U = \theta_1 \wedge \cdots \wedge \theta_q \otimes \lambda$, then $\mathrm{Ker}_{\omega}|_U = \bigoplus_{i=q+1}^n \mathcal{O}_U t_i$.

Example B.8. Let \mathcal{V} be a distribution on X/S such that $T_{\mathcal{V}}$ is free on the basis $\{v_i\}_{i=1}^r$. Now, if n is the relative dimension of X/S and q=n-r, we have a canonical isomorphism

$$\wedge^r T_f \xrightarrow{\sim} \Omega_f^q \otimes \det T_f;$$

let $\omega_{\mathcal{V}} \in H^0(X, \Omega_f^q \otimes \det T_f)$ correspond to $v_1 \wedge \cdots \wedge v_r$. Assume that $\mathrm{Ass}(X) \subset \mathrm{Reg}(\mathcal{V})$. Since $\mathrm{Ker}_{\omega_{\mathcal{V}},x} = T_{\mathcal{V},x}$ for $x \in \mathrm{Ass}(X)$ — as explained in Example B.7 — and since $\mathrm{Ker}_{\omega_{\mathcal{V}}}$ and $T_{\mathcal{V}}$ are both strongly saturated in T_f (cf. Lemma B.5), we conclude that $\mathrm{Ker}_{\omega_{\mathcal{V}}} = T_{\mathcal{V}}$ because of Proposition A.17.

We now move on to relate $\operatorname{Sing}(\mathcal{Z}(\omega))$ with the more classical version of singular locus of a form.

Definition B.9. The regular locus $Reg(\omega)$ of ω is

$$\{x \in X : \omega(x) \neq 0\}$$

and the singular locus, $Sing(\omega)$, is $X \setminus Reg(\omega)$.

At this level of generality, the definitions are too weak. Indeed, $\operatorname{Sing}(\omega)$ may contain a generic point or $\operatorname{rank} Q_{\mathcal{Z}(\omega)} \neq q$. (The notion of rank, as in [BH93], will be review briefly below.) To deal with the first of the aforementioned difficulties, we only need the following definition (taken essentially from [Jo79]).

Definition B.10. We say that the form ω is *irreducible* if $\operatorname{Reg}(\omega)$ is a big open subset of X. The form is said to be *very irreducible* if in addition to being irreducible, we have $\operatorname{Reg}(\omega) \supset \operatorname{Ass}(X)$.

To deal with the second, the notion of *decomposability* is required. This is a well-known notion in the case of base fields (cf. [GH78, p.210], [Ja96, 3.4]); it is less clear in the case of an arbitrary base ring unless one follows [Ja96].

Definition B.11. Let A be a Noetherian local ring and E a free A-module of rank r over it. We say that $\varphi \in \wedge^q E$ is decomposable if there exists $\{e_1, \ldots, e_q\} \subset E$ which can be completed to a basis of E such that $\varphi = e_1 \wedge \cdots \wedge e_q$.

In the notation of the previous definition, we see that $\varphi \in \wedge^q E$ is decomposable only if φ is part of a basis of $\wedge^q E$.

Definition B.12 ([dM00, Definition 1.2.1]). We say that ω is decomposable at $x \in \text{Reg}(\omega)$ if for each identification $L_x \simeq \mathcal{O}_{X,x}$, the element $\omega_x \in \wedge^q \Omega^1_{f,x}$ is decomposable. We say that ω is LDS if it is decomposable for each $x \in \text{Reg}(\omega)$.

Using the Grassmann-Plücker equations [Ja96, 3.4.10], the following is true.

Lemma B.13. The twisted form ω is LDS if and only if $\omega_x \in \Omega_{f,x}^q$ is decomposable for each $x \in \operatorname{Ass}(X) \cap \operatorname{Reg}(\omega)$.

Proof. Let $x \in \text{Reg}(\omega)$ and let U be an affine and open neighbourhood of x such that $T_f|_U$ comes with a basis $\{t_i\}_{i=1}^n$ and where L is trivial. Let $\{\theta_i\}_{i=1}^n$ be the dual basis and, after picking an isomorphism $L|_U \simeq \mathcal{O}_U$, write $\omega = \sum P_{h_1...h_q}\theta_{h_1} \wedge \cdots \wedge \theta_{h_q}$.

Then ω_x is decomposable if and only if, for any given $1 \leq i_1 < \ldots < i_{q-1} \leq n$ and $1 \leq j_1 < \ldots < j_{q+1} \leq n$, the Grassmann-Plücker relations hold

$$\sum_{\ell=1}^{q+1} (-1)^{\ell} P_{i_1 \dots i_{q-1} j_{\ell}} P_{j_1 \dots \hat{j}_{\ell} \dots j_{q+1}} = 0$$

in \mathcal{O}_x . Since $a \in \mathcal{O}(U)$ vanishes if and only if $a_{\xi} = 0$ for each $\xi \in \mathrm{Ass}(U) = \mathrm{Ass}(X) \cap U$ [EGA, IV₂, 3.1.8], we are done.

Lemma B.14. Suppose that ω is irreducible and LDS. Then $\operatorname{Reg}(\omega) = \operatorname{Reg}(\mathcal{Z}(\omega))$.

Proof. Let $x \in \text{Reg}(\omega)$. Using the LDS condition, let $\{t_i\} \subset T_{f,x}$, and $\{\theta_i\} \subset \Omega^1_{f,x}$ be bases in duality such that $\omega_x = \theta_1 \wedge \cdots \wedge \theta_q$. Then $\omega(t_{i_1}, \dots, t_{i_q}) = 0$ if $\{i_1, \dots, i_q\} \neq \{1, \dots, q\}$ and ± 1 otherwise. From this it is easy to see that $\bigoplus_{i=q+1}^n \mathcal{O}_x t_i = \text{Ker}_{\omega,x}$ so that $x \in \text{Reg}(\mathcal{Z}(\omega))$ and $\text{Reg}(\omega) \subset \text{Reg}(\mathcal{Z}(\omega))$.

Suppose now that $x \in \operatorname{Reg}(\mathcal{Z}(\omega))$. Then, for a certain open affine neighbourhood U of x, the \mathcal{O}_U -module $T_f|_U$ possesses a basis $\{t_i\}_{i=1}^n$ such that $\bigoplus_{i=1}^m \mathcal{O}_U t_i = \operatorname{Ker}_\omega|_U$. If $\xi \in U$ is a generic point specializing to x, the fact that $\xi \in \operatorname{Reg}(\omega)$ allows us to use the previous decomposition to show that m = n - q. Let $\{\theta_i\}$ be a dual basis to $\{t_i\}$. Using the standard formulas for contraction [BAlg, III.11.10], we see that $\omega|_U = a \cdot \theta_{m+1} \wedge \cdots \wedge \theta_n$ for some $a \in \mathcal{O}(U)$. But then $\operatorname{Sing}(\omega) \cap U$ contains $\{a = 0\}$; since $\operatorname{codim}\{a = 0\} \leq 1$, by the Hauptidealensatz [EGA, 0_{IV} , 16.3.2], this contradicts the irreducibility of ω unless $a \in \mathcal{O}(U)^\times$. In that case, clearly $x \in \operatorname{Reg}(\omega)$.

For the next result, we require the notion of rank of a coherent \mathcal{O}_X -module, which is not very common in the literature unless X is integral, but which can be generalized following Scheja and Storch.

Definition B.15 (The rank [BH93, Proposition 1.4.3(c)]). Say that a coherent \mathcal{O}_X -module \mathcal{M} has rank r if for each $x \in \mathrm{Ass}(X)$ the module \mathcal{M}_x is free of rank r over $\mathcal{O}_{X,x}$.

Lemma B.16. Suppose that ω is very irreducible. Let n be the rank of T_f , that is, the relative dimension of X. Then ω is LDS if and only if $\operatorname{Ker}_{\omega}$ has rank n-q.

Proof. Assume that ω is LDS. Let $x \in \mathrm{Ass}(X) \subset \mathrm{Reg}(\omega)$. We then let $\{t_i\}$ be a basis of $T_{f,x}$ and $\{\theta_i\}$ be the dual basis such that $\omega_x = \theta_1 \wedge \cdots \wedge \theta_q$. We know that in this case, $\mathrm{Ker}_{\omega,x} = \bigoplus_{i=q+1}^n \mathcal{O}_x t_i$. Hence Ker_{ω} is of rank n-q.

Suppose that $\operatorname{Ker}_{\omega}$ has rank n-q so that $Q:=T_f/\operatorname{Ker}_{\omega}$ has rank q [BH93, Proposition 1.4.5]. Let $x\in\operatorname{Ass}(X)\subset\operatorname{Reg}(\omega)$ so that $\operatorname{Ker}_{\omega,x}$ and Q_x are free. Consequently, there exists an affine open neighbourhood U of x, a basis $\{t_i\}$ of $T_f|_U$ such that $\operatorname{Ker}_{\omega}|_U=\bigoplus_{i=q+1}^n \mathcal{O}_U t_i$. Using contractions, we see that $\omega|_U=a\cdot\theta_1\wedge\cdots\wedge\theta_q$, where $\{\theta_i\}$ is the dual basis of $\{t_i\}$. Since $\operatorname{codim}\{a=0\}\leq 1$ and we assume that $\operatorname{Reg}(\omega)$ is big, we conclude that $\omega|_U=a\cdot\theta_1\wedge\cdots\wedge\theta_q$ with $a\in\mathcal{O}(U)^\times$.

Remark B.17. Suppose that $\operatorname{Ker}_{\omega}$ has rank m so that $Q = T_f/\operatorname{Ker}_{\omega}$ has rank n-m [BH93, 1.4.5]. Let $x \in \operatorname{Ass}(X)$. It follows that for a certain open neighbourhood U of x, we have $\operatorname{Ker}_{\omega}|_{U} = \bigoplus_{i=1}^{m} \mathcal{O}_{U}t_{i}$, where $\{t_{i}\}_{i=1}^{n}$ is a basis of $T_{f}|_{U}$. Now, let $\{\theta_{i}\}$ be the dual of $\{t_{i}\}$ and let $\omega|_{U} = \sum_{I} P_{I}\theta_{I}$. If $I \cap \{1, \ldots, m\} \neq \emptyset$, we conclude that $P_{I} = 0$. Hence, unless $\omega|_{U} = 0$, it must be the case that $m \leq n - q$.

- B.1.2. From distributions to forms. Let us now move on by explaining how to obtain a twisted form from a distribution \mathcal{V} on X/S. For that, we shall require assumptions on either
- (a) the "singularities" of X, or on the
- (b) distribution itself.

Condition (a), respectively (b), shall be treated by imposing property (LF), respectively (GR) and (D). Note that, as f is smooth, imposing properties on X amounts, in many cases, to imposing properties on S. See Remark B.20 below for information on this.

Case (a). We suppose that

(LF) The scheme
$$X$$
 is integral and locally factorial.

Let \mathcal{V} be a distribution on X/S and let q be the generic rank of the torsion-free sheaf $Q_{\mathcal{V}}$. Since $\text{Reg}(\mathcal{V})$ is big, we have an isomorphism

$$\operatorname{Pic}(X) \xrightarrow{\sim} \operatorname{Pic}(\operatorname{Reg}(\mathcal{V}))$$

[EGA, IV₄, 21.6.10, 21.6.12]. Consequently, $\wedge^q Q_{\mathcal{V}}|_{\text{Reg}(\mathcal{V})}$ is the restriction of a *unique* invertible sheaf $L_{\mathcal{V}}$ which can be, in addition, defined as

(B.3)
$$L_{\mathcal{V}} = \left. \begin{array}{c} \text{push forward of} \\ \wedge^q Q_{\mathcal{V}} \right|_{\text{Reg}(\mathcal{V})} \text{ to } X. \end{array}$$

(Recall that X is normal.) Alternatively, we can also define

$$L_{\mathcal{V}} = \left(\wedge^q Q_{\mathcal{V}} \right)^{\vee\vee};$$

this is because on X (which is integral and locally factorial), any reflexive sheaf of rank one must be invertible [Ha80, Proposition 1.9]. Following the terminology of [Ha80, Definition, p.129], we can more expediently write

$$(B.4) L_{\mathcal{V}} = \det Q_{\mathcal{V}}.$$

Remark B.18. In certain cases, it is interesting to refrain from looking for an invertible sheaf and deal solely with the rank-one reflexive sheaf $\det Q_{\mathcal{V}}$. Indeed, if X enjoys G_1+S_2 then we have at our disposal the group of generalized divisors; see the Definition on p. 300 of [Ha94].

Note that we have a natural morphism of \mathcal{O}_X -modules $\wedge^q Q_{\mathcal{V}} \to L_{\mathcal{V}}$ which gives rise to a morphism

$$\omega: \wedge^q T_f \longrightarrow L_{\mathcal{V}}.$$

The morphism ω is surjective when restricted to $\operatorname{Reg}(\mathcal{V})$ since $L_{\mathcal{V}}\big|_{\operatorname{Reg}(\mathcal{V})} = \wedge^q Q_{\mathcal{V}}\big|_{\operatorname{Reg}(\mathcal{V})}$. $Case\ (b)$. Let us $drop\ assumption\ (LF)$ and give ourselves a distribution \mathcal{V} on X/S such that

(GR)
$$Q_{\mathcal{V}}$$
 has rank q .

See [BH93, Definition 1.4.2]. This being so, we have, by [BH93, Proposition 1.4.3], that $\text{Reg}(\mathcal{V}) \supset \text{Ass}(X)$. Now, assume in addition that

(D)
$$L_{\mathcal{V}} := \det Q_{\mathcal{V}}$$
$$= (\wedge^q Q_{\mathcal{V}})^{\vee \vee}$$
is locally free.

(Clearly, $L_{\mathcal{V}}$ is a reflexive sheaf of rank one on X, but this does not assure that it be invertible.) Then, as before, we obtain a twisted form

$$\omega: \wedge^q T_f \longrightarrow L_{\mathcal{V}}$$

and, as before, we have

$$\operatorname{Ker}_{\omega} = T_{\mathcal{V}}$$

because of Proposition A.17-(1). Consequently, $V = \mathcal{Z}(\omega)$.

Definition B.19 (The twisted form of a distribution). The global section of $\Omega_f^q \otimes L_{\mathcal{V}}$ associated to the morphism ω is called the twisted q-form of \mathcal{V} .

Remark B.20. In this section we made certain "regularity" hypothesis on X. Now, since f is assumed to be smooth, regularity assumptions on X and on S are closely related, for example: if X is regular or normal at x, then S is regular or normal at f(x), and conversely [EGA, IV₂, 6.5], a point $x \in X$ is associated only if f(x) is likewise, etc. So the reader is required to regard "regularity" assumptions on X as really assumptions on S; the reason we avoid putting assumptions on S lies in our wish to reduce the number of references to deep Commutative Algebra.

B.2. **Pull-back of distributions.** Let us give ourselves a commutative diagram of Noetherian schemes

$$X_{1} \xrightarrow{\psi} X$$

$$f_{1} \downarrow \qquad \qquad \downarrow f$$

$$S_{1} \xrightarrow{\varphi} S,$$

where f and f_1 are smooth.

Definition B.21. Given a distribution \mathcal{V} on X/S, its pull-back via the morphism ψ , denoted by $\psi^*\mathcal{V}$, is the distribution on X_1/S_1 whose tangent sheaf $T_{\psi^*\mathcal{V}}$ is

$$\left(\text{Kernel } T_{f_1} \xrightarrow{\mathrm{D}\psi} \psi^* T_f \longrightarrow \psi^* Q_{\mathcal{V}}\right)^{\mathrm{ssat}}.$$

If no confusion is likely, we shall write $\mathcal{V}|_{X_1}$ in place of $\psi^*\mathcal{V}$.

It should be noted that if the derivative $\mathrm{D}\psi:T_{f_1}\to \psi^*T_f$ is surjective, then $Q_{\psi^*\mathcal{V}}$ is isomorphic to the torsion-less quotient $(\psi^*Q_{\mathcal{V}})_{\mathrm{tol}}$. In general, we can only say that $Q_{\psi^*\mathcal{V}}$ will be a quotient of an \mathcal{O}_{X_1} -submodule of $\psi^*Q_{\mathcal{V}}$. The following result is helpful in grasping the tangent sheaf of a pull-back.

Proposition B.22. Let

$$X_{1} \xrightarrow{\psi} X$$

$$f_{1} \downarrow \qquad \qquad \downarrow f$$

$$S_{1} \xrightarrow{\varphi} S,$$

be a commutative diagram of Noetherian schemes with f and f_1 smooth. Let $\mathcal{V} = (T_{\mathcal{V}}, \alpha)$ be a distribution on X/S and write \mathcal{V}_1 for its pull-back via ψ .

Let $U \subset X$ be the set of regular points of V and write $U_1 = \psi^{-1}(U)$. Assume that the derivative

$$\mathrm{D}\psi:T_{f_1}\longrightarrow \psi^*T_f$$

is surjective. Then:

- (1) The set of regular points "only increases": $U_1 \subset \text{Reg}(\mathcal{V}_1)$.
- (2) Suppose that U_1 is schematically dense, that is, $Ass(X_1) \subset U_1$. Then, the restriction

$$\psi^*(\alpha)\big|_{U_1}:\psi^*T_{\mathcal{V}}\big|_{U_1}\longrightarrow\psi^*T_f\big|_{U_1}$$

is injective and $T_{\mathcal{V}_1}$ is the canonical extension of

$$(\mathrm{D}\psi)^{-1} \left(\psi^* T_{\mathcal{V}} \big|_{U_1} \right) \subset T_{f_1} \big|_{U_1}$$

to X_1 .

In particular, if the diagram above is cartesian, i.e. $X_1 = X \times_S S_1$, then both items (1) and (2) hold.

Proof. (1) We have a commutative diagram

$$\psi^* T_{\mathcal{V}} \xrightarrow{\psi^*(\alpha)} \psi^* T_f \xrightarrow{\psi^*(q)} \psi^* Q_{\mathcal{V}} \xrightarrow{\chi} (\psi^* Q_{\mathcal{V}})_{\text{tol}}$$

$$T_{\mathcal{V}_1} \xrightarrow{\alpha_1} T_{f_1} \xrightarrow{q_1} Q_{\mathcal{V}_1}$$

Now, over U_1 , we know that χ is an isomorphism and that $\psi^*Q_{\mathcal{V}}$ is locally free. Hence, over U_1 , \mathcal{V}_1 is regular. To establish (2), we note that over U_1 , the arrow $\psi^*(\alpha)$ is in addition a monomorphism. Hence, over U_1 , the module $T_{\mathcal{V}_1}$ coincides with $(\mathrm{D}\psi)^{-1}\left(\psi^*T_{\mathcal{V}}\big|_{U_1}\right)$. From Proposition A.17-(1), we see that $T_{\mathcal{V}_1}$ is the canonical extension of the sheaf $\mathrm{D}\psi^{-1}\left(\psi^*T_{\mathcal{V}}\big|_{U_1}\right)$.

To end the verification of all our claims, we note that if the diagram in the statement of the proposition is cartesian, then the derivative $D\psi: T_{f_1} \to \psi^* T_f$ is in fact an *isomorphism* [EGA, IV₄, 16.5.11, p.30].

Corollary B.23 (Transitivity of the pull-back). Let

$$X_{2} \xrightarrow{\psi_{1}} X_{1} \xrightarrow{\psi} X$$

$$f_{2} \downarrow \qquad \qquad \downarrow f$$

$$S_{2} \xrightarrow{\varphi_{1}} S_{1} \xrightarrow{\varphi} S,$$

be a commutative diagram of Noetherian schemes with f, f_1 and f_2 smooth. Assume in addition that $D\psi$ and $D\psi_1$ are surjective and that letting $U = \text{Reg}(\mathcal{V})$, $U_1 = \psi^{-1}(U)$ and $U_2 = \psi_1^{-1}(U_1)$, we have U_1 and U_2 schematically dense in X_1 and X_2 respectively. Then

$$(\psi\psi_1)^{\star}(\mathcal{V}) = \psi_1^{\star}(\psi^{\star}\mathcal{V})$$

B.3. Families of distributions. Let $f: X \to S$ be a smooth morphism of Noetherian schemes and, as usual, let X_s stand for the schematic fibre above $s \in S$. We want to single out certain distributions on X/S to be called "families".

Definition B.24 (Families). A family of singular distributions on X/S, is a distribution \mathcal{V} on X/S such that for any $s \in S$, the open subset $\text{Reg}(\mathcal{V}) \cap X_s$ is big in X_s , i.e.

$$\operatorname{codim}(\operatorname{Sing}(\mathcal{V}) \cap X_s, X_s) \geq 2.$$

Remark B.25. One possible way of defining the notion of a family of foliations is to impose that the sheaf $Q_{\mathcal{V}}$ be flat over S [CJM22, p. 2713]. On the other hand, as [Q15, Section 2] explains, this imposition turns out to exclude natural cases. Indeed, consider the morphism of affine schemes defined by the projection in the following diagram:

$$\operatorname{Spec} \mathbb{C}[s,t,w,x,y,z] \xrightarrow{f} \operatorname{Spec} \mathbb{C}[s,t]$$

$$\parallel \qquad \qquad \parallel$$

$$X$$

Then, if V is the distribution on X/S given as the kernel of

$$x dx + y dy + sz dz + tw dw,$$

Qualibrum shows that $T_{\mathcal{V}}$ is not flat over S, which ensures that $Q_{\mathcal{V}}$ is likewise not flat.

Lemma B.26. Let V be a family of distributions on X/S and denote, for each $s \in S$, the pull-back of the distribution V to X_s by V_s . (See Section B.2.) The following are true.

- (1) We have $Reg(\mathcal{V}) \supset Ass(X)$.
- (2) The function $s \mapsto \operatorname{rank} Q_{\mathcal{V}_s}$ is locally constant.
- (3) For each $s \in S$ we have

$$\det(T_{\mathcal{V}_s}) = \det\left(T_{\mathcal{V}}\big|_{X_s}\right)$$
 and $\det\left(Q_{\mathcal{V}_s}\right) = \det\left(Q_{\mathcal{V}}\big|_{X_s}\right)$.

Proof. (1) We know that the associated points of X are the generic points of the fibres X_s [EGA, IV₂, 3.3.1], where $s \in \mathrm{Ass}(S)$. No such point can belong to $\mathrm{Sing}(\mathcal{V})$.

- (2) We abbreviate $\operatorname{Reg}(\mathcal{V})$ to U. We know that $Q_{\mathcal{V}}|_U$ is locally free. Write $\sqcup_{\lambda} U(\lambda)$ for its decomposition into connected components and let $r_{\lambda} = \operatorname{rank} Q_{\mathcal{V}}|_{U(\lambda)}$. Let us denote U_s , respectively $U(\lambda)_s$, for the open subscheme of X_s defined by U, respectively $U(\lambda)$. Now $U_s = \sqcup_{\lambda} U(\lambda)_s$ and since U_s is irreducible, there exists a unique λ_s such that $U(\lambda_s) \supset U_s$. As f is an open map, $f(U(\lambda_s))$ is open and for each $s' \in f(U(\lambda_s))$ we have $U_{s'} \subset U(\lambda_s)$. This means that $Q_{\mathcal{V}}$ has rank r_{λ_s} on $f(U(\lambda_s))$.
- (3) Then $T_{\mathcal{V}_s}|_{U_s} \simeq T_{\mathcal{V}}|_{U_s}$ and $Q_{\mathcal{V}_s}|_{U_s} \simeq Q_{\mathcal{V}}|_{U_s}$. Since $\operatorname{codim}(X_s \setminus U_s, X_s) \geq 2$, the result follows.

Another useful property of families is:

Lemma B.27. Let $\tilde{S} \to S$ be a morphism of Noetherian schemes and let V be a family of distributions on X/S. Let $\tilde{X} = X \times_S \tilde{S}$ and let \tilde{V} by the pull-back of V to \tilde{X} . Then \tilde{V} is a family of distributions on \tilde{X} .

Proof. Letting $\psi: \tilde{X} \to X$ stand for the natural projection, we know that $\operatorname{Sing}(\tilde{\mathcal{V}}) \subset \psi^{-1}(\operatorname{Sing}(\mathcal{V}))$ (Proposition B.22-(2)). Hence, $\operatorname{Sing}(\tilde{\mathcal{V}}) \cap \tilde{X}_s \subset \operatorname{Sing}(\mathcal{V}) \cap \tilde{X}_s$.

Remark B.28. Let $\mathcal V$ be a family of distributions with regular set R. Then, for $s\in S$, we have $Q_{\mathcal V_s}|_{R_s}=Q_{\mathcal V}|_{R_s}$. Now, we know that $Q_{\mathcal V}|_R$ is locally free, or rank q say, and hence $Q_{\mathcal V}|_{R_s}$ is locally free of rank q. In conclusion: The function $s\mapsto \operatorname{rank} Q_{\mathcal V_s}$ is locally constant.

B.4. **Kupka singularities.** A very important type of singularity for a foliation defined by a differential form was unveiled by I. Kupka and A. de Medeiros and now bears Kupka's name. In order not to change too much the context of this paper, let us remain in the complex analytic world, although definitions can be made in the differentiable context.

Let M be an n-dimensional complex manifold and ω a holomorphic q-form on M. A point $x \in M$ where $\omega(x) = 0$ is called a Kupka singularity when $d\omega(x) \neq 0$. The relevance of this definition comes from the following result, proved by I. Kupka in the case q = 1 [Ku64] and A. Medeiros in general [dM00, Proposition 1.3.1].

Theorem B.29. Suppose that $x \in M$ is a Kupka singularity of the **integrable** q-form ω .

(1) There exists a local system of coordinates (z_1, \ldots, z_n) on an open neighbourhod U of x such that $\omega|_U$ admits a "reduction to q+1 variables", viz.

$$\omega|_{U} = \sum_{\substack{I \subset \{1, \dots, q+1\} \\ \#I = q}} a_{I}(z_{1}, \dots, z_{q+1}) dz_{I}.$$

- (2) There exists an open neighbourhood U of x, a local chart $(\varphi, \psi): U \to V \times W$, where $V \subset \mathbb{C}^{q+1}$ and $W \subset \mathbb{C}^{n-q-1}$ are open sets, and a holomorphic q-form $\zeta \in \Omega^q(V)$ such that $\omega|_U = \varphi^*\zeta$.
- (3) The tangent sheaf of the foliation $\mathcal{Z}(\omega)$ is free at x.

We note that conclusion (3) in Theorem B.29 is certainly weaker than the others, as the latter give a clear structure result for forms. Our goal now is to recuperate, in a completely algebraic setting, condition (3) of Theorem B.29.

Let $f:X\to S$ be a smooth morphism of Noetherian schemes; assume that X is integral and locally factorial, i.e. we are in the setting of Section B.1. Let q be a positive integer and L an invertible sheaf on X. We give ourselves a twisted q-form ω with coefficients in L and denote by $\mathcal V$ the associated distribution, i.e. $\mathcal V=\mathcal Z(\omega)$ (cf. Section B.1.1). Let now $x\in \mathrm{Sing}(\mathcal V)$ and write $L_x=\mathcal O_{X,x}\ell$. Then $\omega=\xi\otimes\ell$ with $\xi\in\Omega^q_{f,x}$, and since $x\in \mathrm{Sing}(\mathcal V)$, we have $\xi(x)=0$. It is a simple matter to prove that, if $\ell'\in L_{\mathcal V,x}$ is another generator, so that $\omega_x=\xi'\otimes\ell$ with $\xi'\in\Omega^q_{f,x}$, then

$$d\xi(x) = 0$$
 if and only if $d\xi'(x) = 0$.

(Here the differential is the relative differential.) Consequently, the following definition makes perfect sense:

Definition B.30. A point $x \in \operatorname{Sing}(\mathcal{V})$ is a Kupka singularity or a Kupka point, if, in the above notation, $d\xi(x) \neq 0$. The set of Kupka points in $\operatorname{Sing}(\mathcal{V})$ shall be denoted by $\operatorname{Kup}(\mathcal{V})$. (Note that $\operatorname{Kup}(\mathcal{V})$ is open in $\operatorname{Sing}(\mathcal{V})$.) For expediency, we shall also let $\operatorname{NKup}(\mathcal{V})$ denote the set $\operatorname{Sing}(\mathcal{V}) \setminus \operatorname{Kup}(\mathcal{V})$; this is the set of non-Kupka points.

Proposition B.31. Assume that X is locally factorial and ω is **integrable**. Then, for each $x \in \operatorname{Kup}(\mathcal{V})$, the $\mathcal{O}_{X,x}$ -module $T_{\mathcal{V},x}$ is free. Said differently, $\operatorname{TSing}(\mathcal{V}) \subset \operatorname{NKup}(\mathcal{V})$.

Proof. Let η be the generic point of X. Let U be an open neighbourhood of x where $L|_U = \mathcal{O}_U \cdot \ell$ and write $\omega = \xi \otimes \ell$. Let now π_1, \ldots, π_q be rational 1-forms such that $\xi_\eta = \pi_1 \wedge \ldots \wedge \pi_q$. Then $\mathrm{d}\xi_\eta = \sum_j \pm \pi_1 \wedge \cdots \wedge \mathrm{d}\pi_j \wedge \cdots \wedge \pi_q$. Now $\mathrm{d}\pi_j = \sum_i \alpha_{ij} \wedge \pi_i$ with $\alpha_{ij} \in \Omega^1_{f,\eta}$ due to integrability of ω . Consequently, $\mathrm{d}\xi_\eta = \sum_j \pm \alpha_{jj} \wedge \pi_1 \wedge \cdots \wedge \pi_q$ is decomposable. Now, we consider the distribution on U defined by $\mathrm{d}\xi$. From $\mathrm{d}\xi(x) \neq 0$,

we know that $x \in \text{Reg}(\mathcal{Z}(d\xi))$. The Proposition is then a consequence of Proposition B.32 below.

Proposition B.32. We assume that X is a locally factorial integral scheme. Let V be a distribution of codimension q. Assume that V contains a distribution W of codimension q+1. Then, $TSing(V) \subset Sing(W)$.

Proof. Let $x \in \text{Reg}(\mathcal{W})$, so that $T_{\mathcal{W},x}$ and $T_{f,x}/T_{\mathcal{W},x}$ are free $\mathcal{O}_{X,x}$ -modules of finite rank. From the exact sequence $0 \to T_{\mathcal{V},x}/T_{\mathcal{W},x} \to T_{f,x}/T_{\mathcal{W},x} \to T_{f,x}/T_{\mathcal{V},x} \to 0$ we conclude that $T_{\mathcal{V},x}/T_{\mathcal{W},x}$ is reflexive [Ha80, Proposition 1.1]. The hypothesis on the rank implies that $T_{\mathcal{V},x}/T_{\mathcal{W},x}$ is free [Ha80, Proposition 1.9]. Hence $0 \to T_{\mathcal{W},x} \to T_{\mathcal{V},x} \to T_{\mathcal{V},x}/T_{\mathcal{W},x} \to 0$ splits on the right and $T_{\mathcal{V},x}$ is free.

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