

# Polytopes from $\{1, 3\}$ -graphs: Ehrhart quasi-polynomials and scissors congruence phenomenon

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joint work with  
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For  $k \in \mathbb{N}$ , let

$$L_P(k) := \#(kP \cap \mathbb{Z}^d)$$

**Example**  $Q_2 = \text{conv}\{(0, 0), (1, 0), (0, 1), (1, 1)\} = \{x, y \in \mathbb{R} : 0 \leq x, y \leq 1\}$ .

# Ehrhart theory

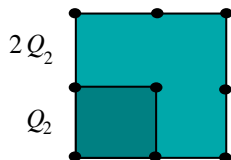
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$$\begin{array}{c|c} k & 1 \\ \hline L_{Q_2}(k) & 4 \end{array}$$

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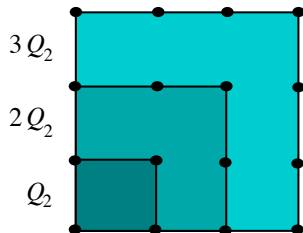
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$k$	$1$	$2$
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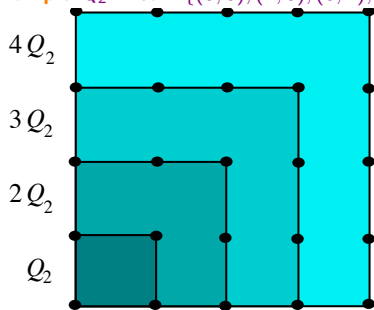


$k$	1	2	3
$L_{Q_2}(k)$	4	9	16



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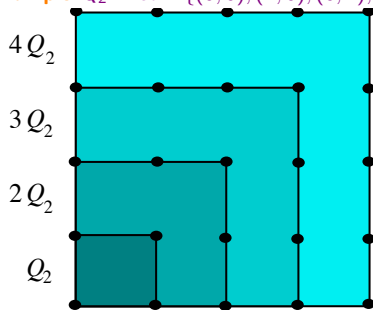
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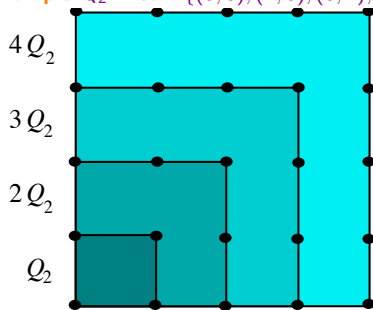


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**$d$ -dimensional cube :**  $L_{Q_d}(k) = (k + 1)^d = \sum_{i=0}^d \binom{d}{i} k^i$

# Ehrhart polynomial

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As a **lycée** teacher Ehrhart did many of his investigations as an amateur mathematician.



Eugène Ehrhart (1906-2000)

# Quasi-polynomial

A periodic rational number  $c(n)$  is a function  $c : \mathbb{Z} \rightarrow \mathbb{Q}$  with a period  $q$  such that  $c(n) = c(n')$  when  $n \equiv n' \pmod{q}$ .

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$$f(n) = c_d(n)n^d + \cdots + c_1(n)n + c_0$$

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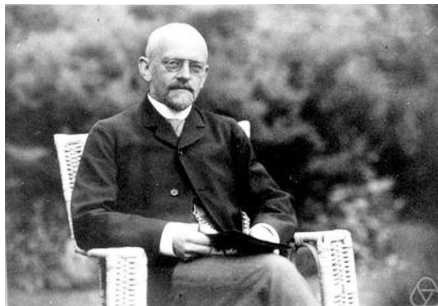
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**Theorem (Ehrhart)** If  $P$  is a rational  $d$ -polytope then  $L_P(t)$  is a quasi-polynomial of degree  $d$ . The period of  $L_P(t)$  divides the denominator of  $P$ .

# Hilbert's Third Problem

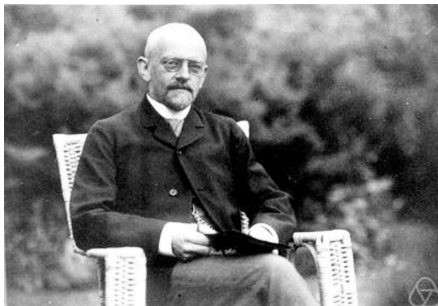
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**Hilbert's Third Problem** Are polyhedra in  $\mathbb{R}^3$  of same volume scissors congruent?

## Analogue for $d = 2$

Two polygons are **equidecomposable** if they can be split into finitely many pieces that only differ by a combination of a translation and a rotation.

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The idea of the proof is nicely explained in the video

<https://www.youtube.com/watch?v=ysV6iF3Rmjo>

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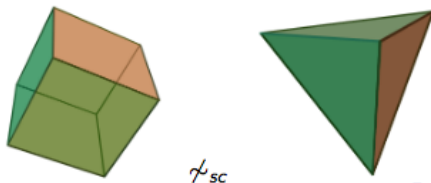
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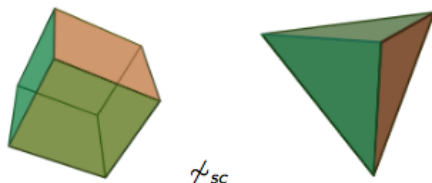
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Done by using the **Dehn invariant** of scissors congruence (depending on edge lengths and edge dihedral angles).

# Hilbert's third problem for the unimodular group

An integral matrix  $U$  is **unimodular** if it has determinant  $\pm 1$ . An **affine unimodular transformation** is defined by  $x \rightarrow Ux + b$  where  $U$  is a unimodular matrix and  $b$  is a real vector.

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**Question (Haase and McAllister, 2008)** Let  $P_1$  and  $P_2$  two polytopes of the same dimension. Is there a decomposition of  $P_1$  in a finite number of polytopes  $Q_i$  and a set of affine unimodular transformations  $U_i$  such that the union of all  $U_i(Q_i)$  is equal to  $P_2$ ?

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**Motivation :** The **Ehrhart polynomial** of an integer polytope is **invariant** under affine unimodular transformation.

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For each degree-3 vertex  $v$  of a  $\{1, 3\}$ -graph  $G$ , let  $a$ ,  $b$ , and  $c$  be the edges incident to  $v$ .

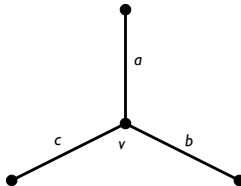
$S(v)$  is the system defined on the variables  $w_a$ ,  $w_b$ , and  $w_c$  :

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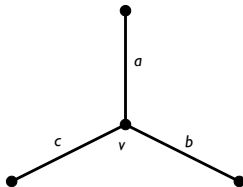
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solutions of the union of  $S(v)$  for all degree-3 vertices  $v$ .



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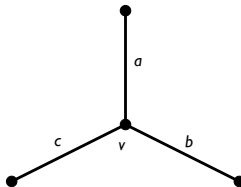
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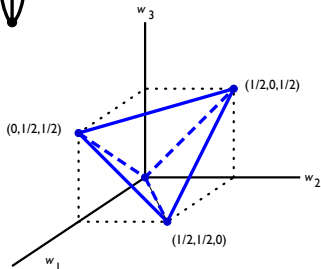


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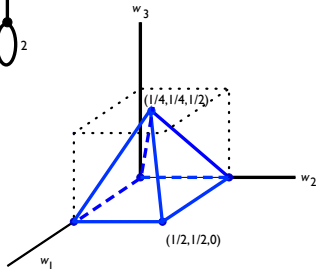
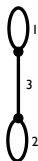
solutions of the union of  $S(v)$  for all degree-3 vertices  $v$ .

Properties of this polytope are related to a work in algebraic geometry by Mochizuki, 1999.

# Examples : polytopes of cubic graphs on two vertices

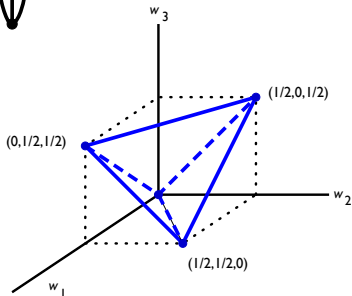


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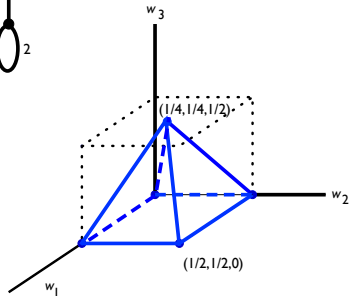
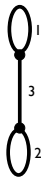


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$$2w_1 + w_3 \leq 1$$

$$w_3 \leq 2w_1$$

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# First result

**Theorem (Fernandes, De Pina, Robins, R.A., 2021)** Let  $G_1$  and  $G_2$  be two **same-size** connected  $\{1, 3\}$ -graphs. Then,  $\mathcal{P}_{G_1}$  and  $\mathcal{P}_{G_2}$  are unimodular equidecomposable.

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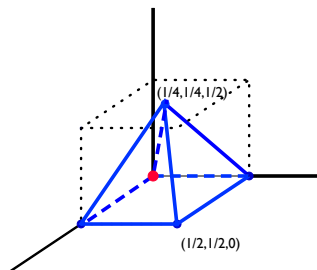
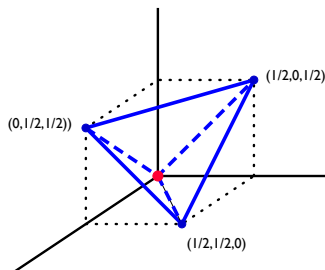
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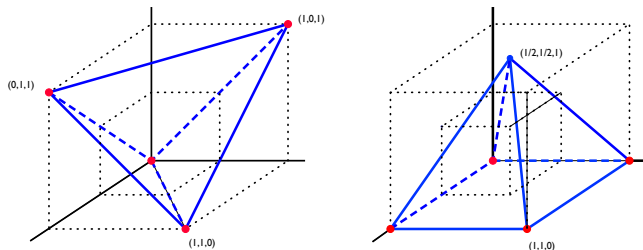


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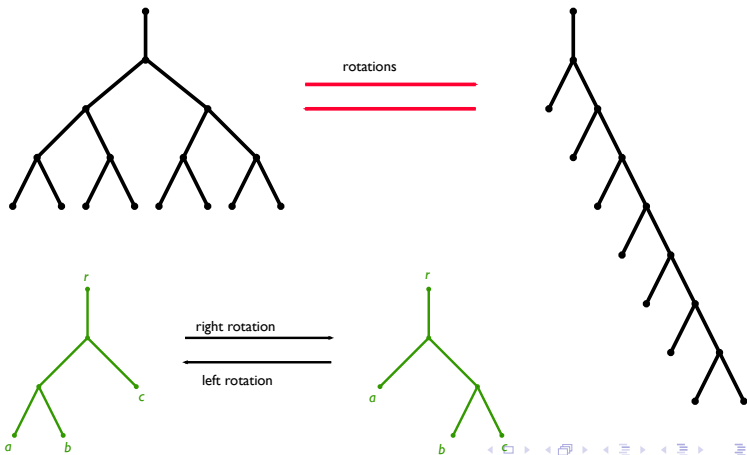
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# Binary trees and rotations

**Theorem (Culik and Wood, 1982)** Any two binary trees with the same number of vertices can be transformed into one another through a finite series of rotations.





# Nearest neighbor interchange

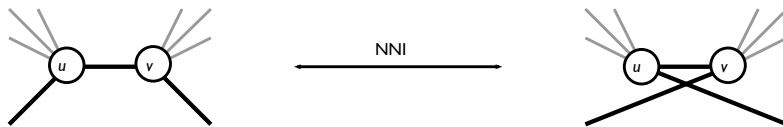
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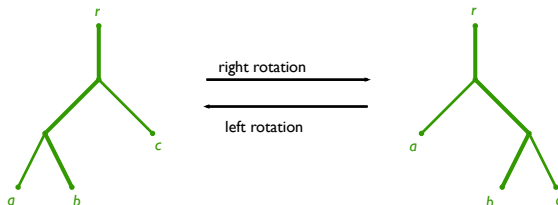
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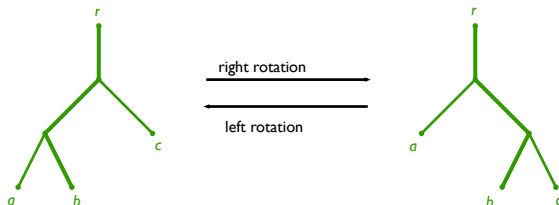


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Loops and parallel edges allowed

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- (a)  $G$  can be **transformed** into  $G'$  through a series of NNI moves.
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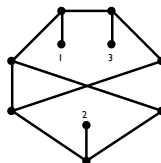
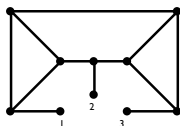
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Extension of a theorem for cubic graphs by Tsukui (1996).

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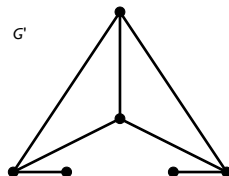
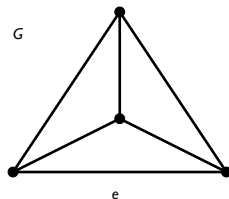
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$r(G) > 0$  :  $G$  connected, not a tree. Let  $e \in E(G)$  in a cycle. Let  $G'$  be the graph obtained from  $G$  by cutting  $e$ .



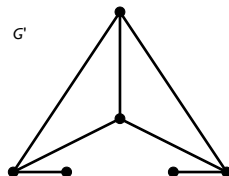
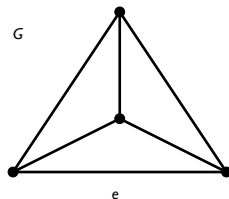
# Cutting an edge

## Proof (sketch)

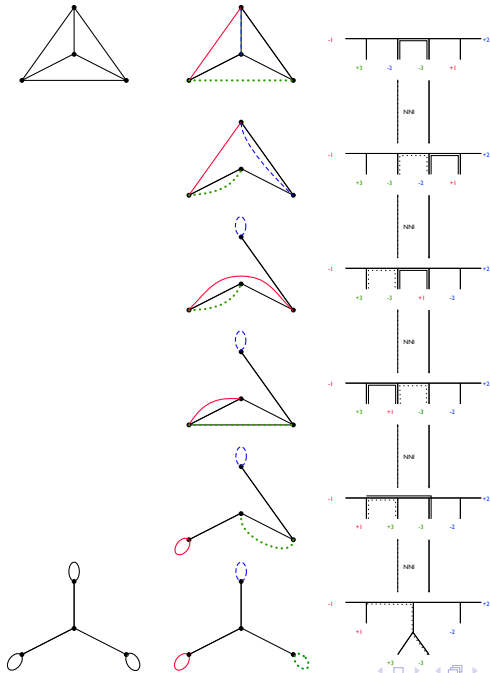
- We first prove that any two trees with the same degree sequence and the same set of external edges can be transformed into one another through a series of NNI moves.
- Induction on  $r(G) = m - n + 1$ .

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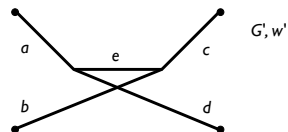
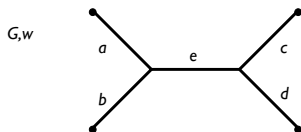
Then  $r(G') = r(G) - 1$ .



# Weighted NNIs

$G$  :  $\{1, 3\}$ -graph

$e$  : edge between two degree-3 vertices of  $G$



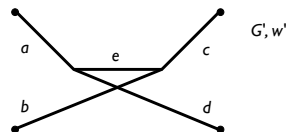
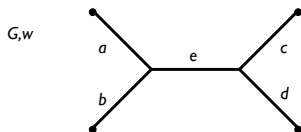
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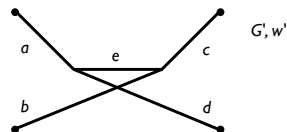
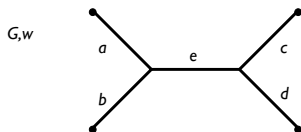
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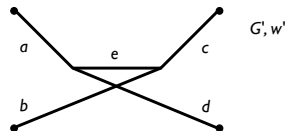
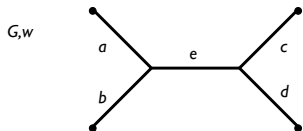
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Note that if  $w$  has integer values, so does  $w'$ .



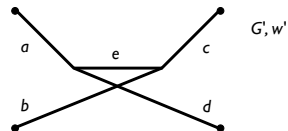
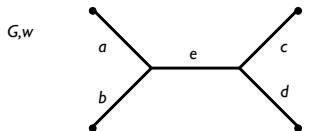
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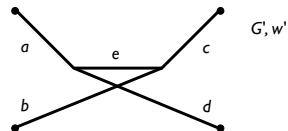
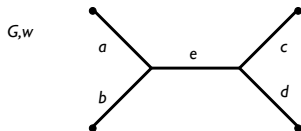


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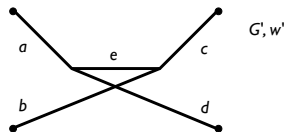
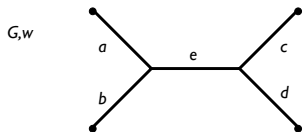


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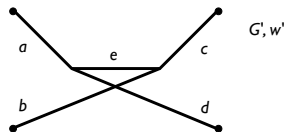
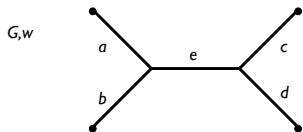
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Thus  $w'_e = w_e + w_b - w_d$ .

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Thus  $w'_e = w_e + w_b - w_d$ . If  $w_e + w_a + w_b \leq t$ , then

$$\begin{aligned} w'_e + w_b + w_c &\leq w'_e + w_a + w_d \\ &= (w_e + w_b - w_d) + w_a + w_d \\ &= w_e + w_a + w_b \leq t. \end{aligned}$$

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We associate to  $\psi$  the **hyperplanes**  $w_a + w_b - w_c - w_d = 0$  and  $w_a - w_b - w_c + w_d = 0$ , which are either the **same** hyperplane (if  $a = b$  or  $c = d$ ) or **two orthogonal** hyperplanes.



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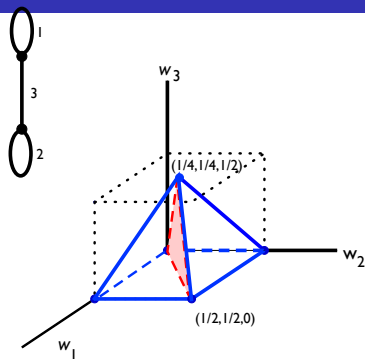
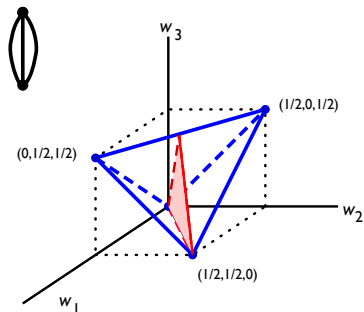
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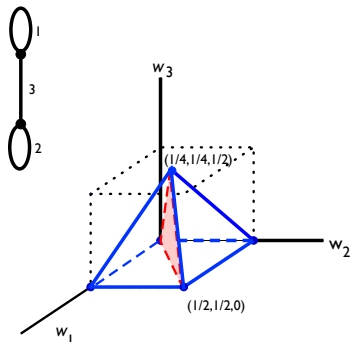
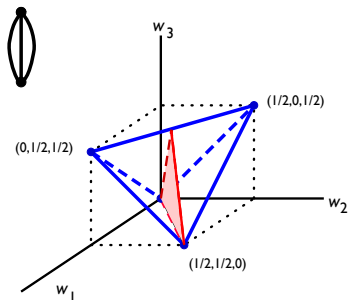
Moreover, the matrix that gives the linear transformation in each case is **unimodular** : the identity matrix substituting row  $e$  by row  $\chi^e + \chi^b - \chi^d$  (in the first case) and so on.

# Scissors congruence



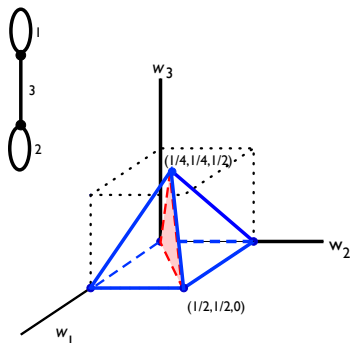
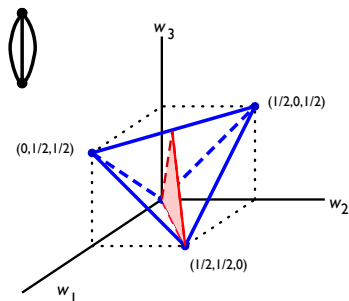
$$\begin{aligned}
 w'_3 &= w_3 + \max\{w_1 + w_2, w_1 + w_2\} - \max\{2w_1, 2w_2\} \\
 &= w_3 + w_1 + w_2 - 2 \max\{w_1, w_2\} \\
 &= \begin{cases} w_3 - w_1 + w_2 & \text{if } w_1 \geq w_2 \\ w_3 + w_1 - w_2 & \text{if } w_1 \leq w_2 \end{cases}
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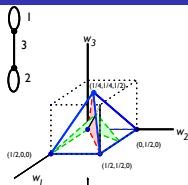


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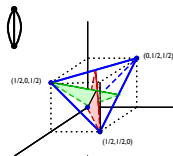
$$U_{w_1 \leq w_2} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 1 & -1 & 1 \end{pmatrix}$$

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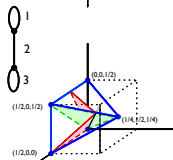
# Scissors congruence



NNI on (1,3,2)



NNN on (1,2,3)



# Ehrhart quasi-polynomials

$G$  Ehrhart quasi-polynomial  $\mathcal{P}_G$



$$\frac{1}{24}t^3 + \frac{1}{4}t^2 + \begin{cases} \frac{5}{6}t + 1, & \text{if } t \text{ is even} \\ \frac{11}{24}t + \frac{1}{4}, & \text{if } t \text{ is odd} \end{cases}$$



$$\frac{1}{240}t^5 + \frac{1}{24}t^4 + \begin{cases} \frac{5}{24}t^3 + \frac{7}{12}t^2 + \frac{11}{10}t + 1, & \text{if } t \text{ is even} \\ \frac{1}{6}t^3 + \frac{1}{3}t^2 + \frac{79}{240}t + \frac{1}{8}, & \text{if } t \text{ is odd} \end{cases}$$



$$\frac{17}{40320}t^7 + \frac{17}{2880}t^6 + \begin{cases} \frac{59}{1440}t^5 + \frac{25}{144}t^4 + \frac{179}{360}t^3 + \frac{173}{180}t^2 + \frac{93}{70}t + 1, & \text{if } t \text{ is even} \\ \frac{103}{2880}t^5 + \frac{35}{288}t^4 + \frac{1439}{5760}t^3 + \frac{893}{2880}t^2 + \frac{791}{3360}t + \frac{1}{16}, & \text{if } t \text{ is odd} \end{cases}$$



$$\frac{31}{725760}t^9 + \frac{31}{40320}t^8 + \begin{cases} \frac{829}{120960}t^7 + \frac{37}{960}t^6 + \frac{653}{4320}t^5 + \frac{103}{240}t^4 + \frac{20413}{22680}t^3 + \frac{1723}{1260}t^2 + \frac{193}{126}t + 1, & \text{if } t \text{ is even} \\ \frac{43}{6912}t^7 + \frac{19}{640}t^6 + \frac{3181}{34560}t^5 + \frac{123}{640}t^4 + \frac{39205}{145152}t^3 + \frac{9923}{40320}t^2 + \frac{379}{2880}t + \frac{1}{16}, & \text{if } t \text{ is odd} \end{cases}$$

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**Theorem** (Fernandes, De Pina, Robins, R.A., 2021)

The period of the Ehrhart quasi-polynomial of  $\mathcal{P}_G$  is 2 if and only if  $G$  is cubic or a tree. Otherwise its period is 4.

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# $\{1, 3\}$ -Trees

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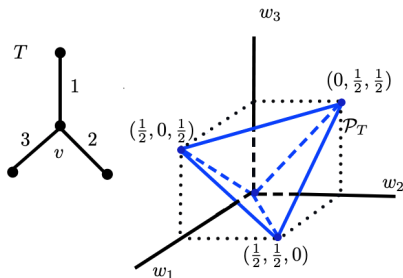
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- Let  $w$  and  $w'$  be two distinct vertices of  $\mathcal{P}_T$ . Then  $w$  and  $w'$  are adjacent in the 1-skeleton of  $\mathcal{P}_T$  if and only if  $H_w \Delta H_{w'}$  is a leaf-path.



# Example

Disjoint leaf-paths :  $\{\emptyset, \{1, 2\}, \{2, 3\}, \{1, 3\}\}$

Vertex set of  $\mathcal{P}_T$  :  $\{(0, 0, 0), (\frac{1}{2}, \frac{1}{2}, 0), (0, \frac{1}{2}, \frac{1}{2}), (\frac{1}{2}, 0, \frac{1}{2})\}$



# High symmetry

Let  $T$  be a  $\{1, 3\}$ -tree with  $n$  nodes of degree 3.

**Lemma**  $\mathcal{P}_T$  is full-dimensional (of dimension  $2n + 1$  where  $n$  is the number of degree 3 nodes of  $T$ ).

# High symmetry

Let  $T$  be a  $\{1, 3\}$ -tree with  $n$  nodes of degree 3.

**Lemma**  $\mathcal{P}_T$  is full-dimensional (of dimension  $2n + 1$  where  $n$  is the number of degree 3 nodes of  $T$ ).

**Theorem** Let  $H$  be a collection of disjoint leaf-paths in  $T$  and let  $h_H : \mathbb{R}^{2n+1} \rightarrow \mathbb{R}^{2n+1}$  where

$$h_H(w) = \begin{cases} \frac{1}{2} - w_e & \text{if } e \in E(H) \\ w_e & \text{otherwise.} \end{cases}$$

Then  $h_H$  is an isometry of  $\mathcal{P}_T$ .

For each **degree-3 vertex  $v$**  of a  $\{1, 3\}$ -graph  $G$ , let  $a$ ,  $b$ , and  $c$  be the edges incident to  $v$ .

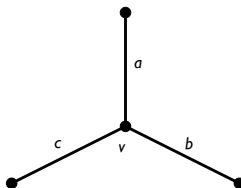
$S'(v)$  is the system defined on the variables  $w_a$ ,  $w_b$ , and  $w_c$  :

$$w_a + w_b + w_c \leq 1$$

$$w_a \leq w_b + w_c$$

$$w_b \leq w_a + w_c$$

$$w_c \leq w_a + w_b$$



$$w_a + w_b + w_c = 2z_v \text{ (parity constraint)}$$

$$z_v \leq t \text{ (auxiliary variable)}$$

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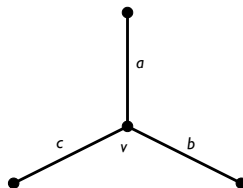
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$\mathcal{Q}_G$  : solutions of the union of  $S'(v)$

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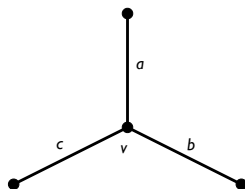
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$Q_G$  : solutions of the union of  $S'(v)$

**Remark**  $Q_G \subset \mathbb{R}^E \times \mathbb{R}^I$  with  $I$  set of internal nodes of  $G$ .

# Connection between $\mathcal{P}_G$ and $\mathcal{Q}_G$

If  $t$  is a nonnegative **odd** integer then

$$L_G^{\mathcal{Q}}(t) = N_G L_G^{\mathcal{P}}(t)$$

where  $N_G$  is the number of **internally Eulerian subgraphs** of  $G$ , that is, the degree of every internal node is equal to zero or two.

# Interpretation : arrangements of pseudocircles

Let  $T$  be a triangulation of the 2-sphere and let  $T^*$  its dual.



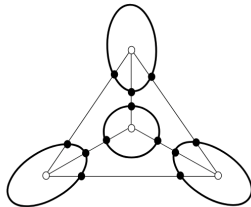
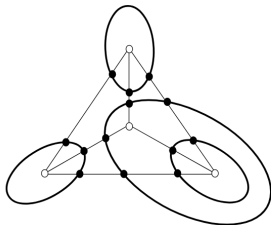
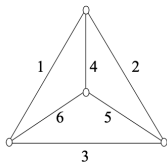
# Interpretation : arrangements of pseudocircles

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Let  $T$  be a triangulation of the 2-sphere and let  $T^*$  its dual.  
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**Example** Consider the triangulation induced by  $K_4$ . It can be  
checked that  $w_1 = w_4 = w_6 = 2$ ,  $w_2 = w_3 = 3$ ,  $w_5 = 1$ ,  $z_{v_1} =$   
 $2$ ,  $z_{v_2} = z_{v_3} = z_{v_4} = 3$  and  $w_1 = w_2 = w_3 = w_4 = w_5 = w_6 = 2$ ,  
 $z_{v_1} = z_{v_2} = z_{v_3} = z_{v_4} = 3$  are two integer points in  $3\mathcal{Q}_{K_4^*}$ .



**Thanks for your attention !!**