

# Geometric Knots and Oriented Matroids

J. L. Ramírez Alfonsín

IMAG, Université de Montpellier

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# Knot theory

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# Knot theory : diagrams



Trivial knot  
 $0_1$



Trefoil knot  
 $3_1$



Figure-eight knot  
 $4_1$



Pentafoil knot  
 $5_1$



Trivial link  
 $0_1^2$



Hopf link  
 $2_1^2$



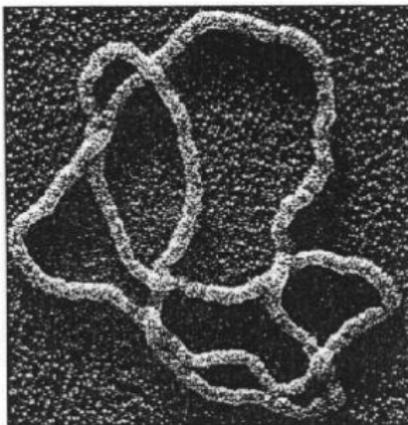
Solomon link  
 $4_1^2$



Borromean link  
 $6_2^3$

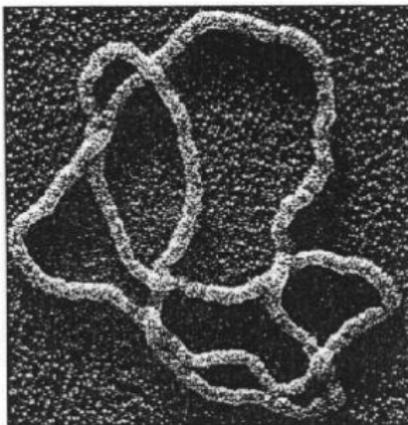
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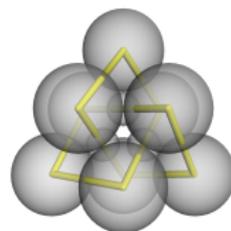
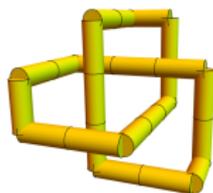
**Question (hard)** : What is the type of knot arising from a DNA diagram ?

# Geometric knots

In order to avoid pathologies, it is common to restrict this study **polygonal knots**, that is, knots built from a finite but unbounded number of segments.

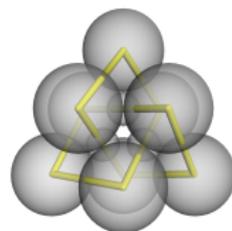
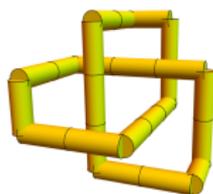
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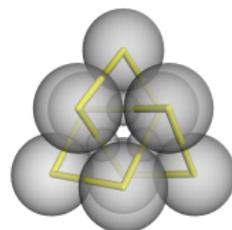
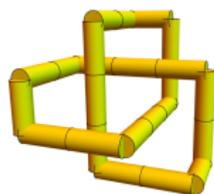
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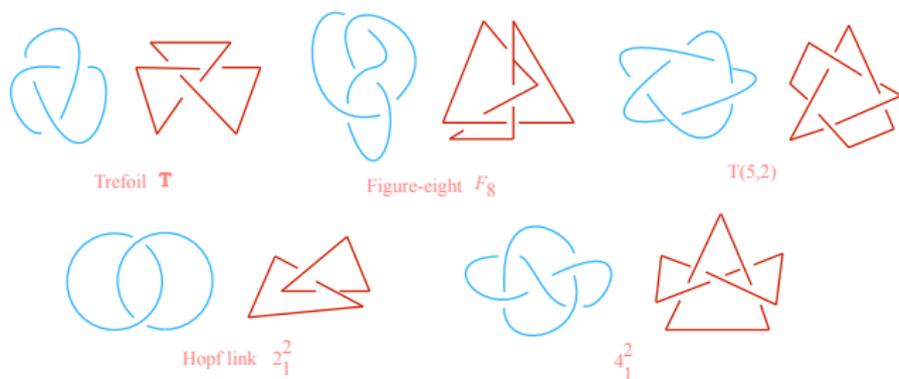
Geometric knots serve as a **model of ring polymers** like bacterial DNA.

# Stick number

The **stick number** of a link  $L$  is the smallest number of sticks needed to realize  $L$ .

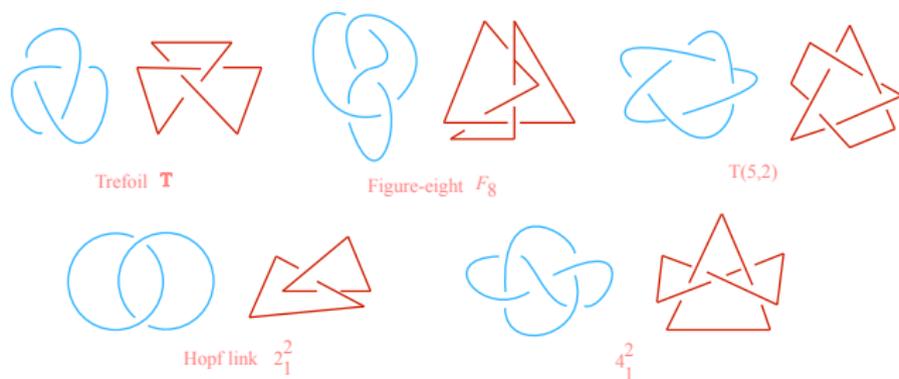
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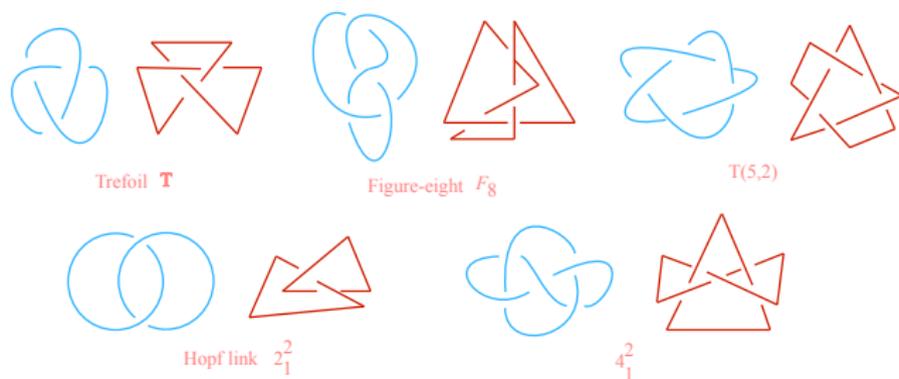
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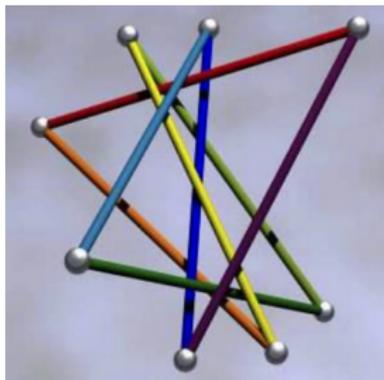
This invariant is only **poorly understood**. The exact stick number is only known for 30 of the 249 nontrivial knots up to 10 crossings.

# Equilateral stick number

The **equilateral stick number** is defined similarly as the stick number, though with the added restriction that **all the segments** should be the **same length**.

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An eight-edge equilateral  $8_{19}$  knot

# Matroids : independents

A **matroid**  $M$  is an ordered pair  $(E, \mathcal{I})$  where  $E$  is a finite set ( $E = \{1, \dots, n\}$ ) and  $\mathcal{I}$  is a family of subsets of  $E$  verifying the following conditions :

- (I1)  $\emptyset \in \mathcal{I}$ ,
- (I2) If  $I \in \mathcal{I}$  and  $I' \subset I$  then  $I' \in \mathcal{I}$ ,
- (I3) If  $I_1, I_2 \in \mathcal{I}$  and  $|I_1| < |I_2|$  then there exists  $e \in I_2 \setminus I_1$  such that  $I_1 \cup e \in \mathcal{I}$ .

The members in  $\mathcal{I}$  are called the **independents** of  $M$ . A subset in  $E$  not belonging to  $\mathcal{I}$  is called **dependent**.

# Representable Matroids

**Theorem (Whitney 1935)** Let  $\{e_1, \dots, e_n\}$  a set of columns (vectors) of a matrix with coefficients in a field  $\mathbb{F}$ . Let  $\mathcal{I}$  be the family of subsets  $\{i_1, \dots, i_m\} \subseteq \{1, \dots, n\} = E$  such that the columns  $\{e_{i_1}, \dots, e_{i_m}\}$  are linearly independent in  $\mathbb{F}$ . Then,  $(E, \mathcal{I})$  is a matroid.

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$$|I_2| \leq \dim(W) \leq |I_1| < |I_2| \quad \text{contradiction!!!}$$

# Representable Matroids

Let  $A$  be the following matrix with coefficients in  $\mathbb{R}$ .

$$A = \begin{matrix} & \begin{matrix} 1 & 2 & 3 & 4 & 5 \end{matrix} \\ \begin{pmatrix} 1 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 0 & 1 \end{pmatrix} \end{matrix}$$

$$\{\emptyset, \{1\}, \{2\}, \{4\}, \{4\}, \{5\}, \{1, 2\}, \{1, 5\}, \{2, 4\}, \{2, 5\}, \{4, 5\}\} \subseteq \mathcal{I}(M)$$

A matroid obtained from a matrix  $A$  with coefficients in  $\mathbb{F}$  is denoted by  $M(A)$  and is called **representable** over  $\mathbb{F}$  or  **$\mathbb{F}$ -representable**.

A subset  $X \subseteq E$  is said to be **minimal dependent** if any proper subset of  $X$  is independent. A minimal dependent set of matroid  $M$  is called **circuit** of  $M$ .

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$\mathcal{C}$  is the set of circuits of a matroid on  $E$  if and only if  $\mathcal{C}$  verifies the following properties :

- (C1)  $\emptyset \notin \mathcal{C}$ ,
- (C2)  $C_1, C_2 \in \mathcal{C}$  and  $C_1 \subseteq C_2$  then  $C_1 = C_2$ ,
- (C3) If  $C_1, C_2 \in \mathcal{C}$ ,  $C_1 \neq C_2$  and  $e \in C_1 \cap C_2$  then there exists  $C_3 \in \mathcal{C}$  such that  $C_3 \subseteq \{C_1 \cup C_2\} \setminus \{e\}$ .

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**Remark** : A matroid can also be defined by its : **bases, flats, rank, greedy algorithm, duality, polytope, etc.**

# Tutte polynomial

The **Tutte polynomial** of a matroid  $M$  is the generating function defined as follows

$$t(M; x, y) = \sum_{X \subseteq E} (x - 1)^{r(E) - r(X)} (y - 1)^{|X| - r(X)}.$$

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The Tutte polynomial can be expressed recursively

$$t(M; x, y) = \begin{cases} t(M \setminus e; x, y) + t(M/e; x, y) & \text{if } e \neq \text{isthmus, loop,} \\ x \cdot t(M \setminus e; x, y) & \text{if } e \text{ is an isthmus,} \\ y \cdot t(M/e; x, y) & \text{if } e \text{ is a loop.} \end{cases}$$

where  $M \setminus e$  is the **deletion** of  $e$  and  $M/e$  is the **contraction** of  $e$ .

# Bracket polynomial

For any link diagram  $D$  define a Laurent polynomial  $\langle D \rangle$  in one variable  $A$  which obeys the following three rules where  $U$  denotes the **unknot** :

$$i) \quad \langle U \rangle = 1$$

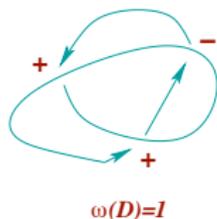
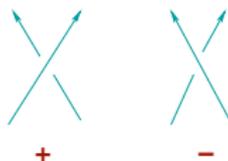
$$ii) \quad \langle U + D \rangle = -(A^2 + A^{-2}) \langle D \rangle$$

$$iii) \quad \langle \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} \rangle = A \langle \begin{array}{c} \diagdown \quad \diagup \\ \diagdown \quad \diagup \end{array} \rangle + A^{-1} \langle \quad \rangle \langle \quad \rangle$$

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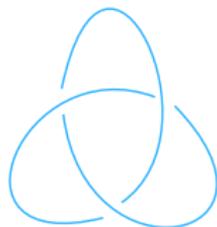
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It is known that the so-called **Jones' polynomial** of an oriented link  $L$  is given by

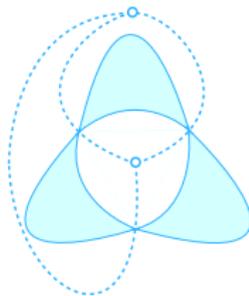
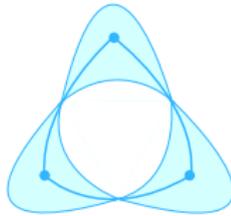
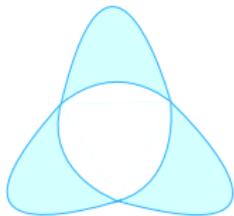
$$V_L(z) = f_D(z^{-1/4})$$

where  $D$  is any diagram representing  $L$ .

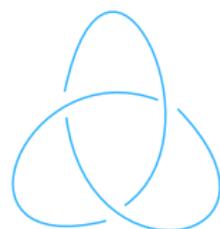
# Tait graphs



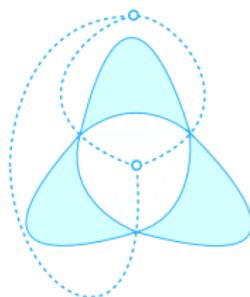
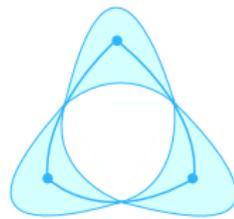
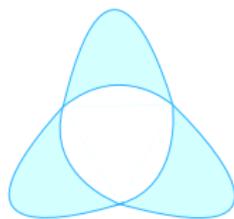
Trefoil



# Tait graphs



Trefoil



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Black point of view



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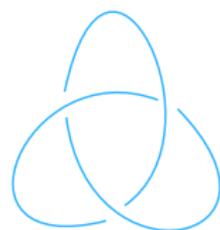
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White point of view

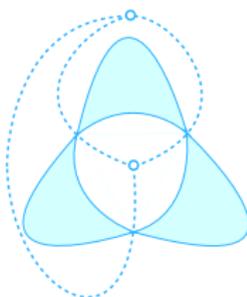
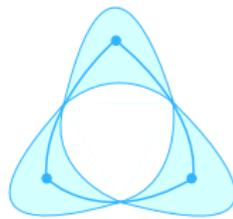
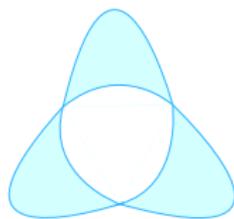


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# Tait graphs



Trefoil



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Black point of view



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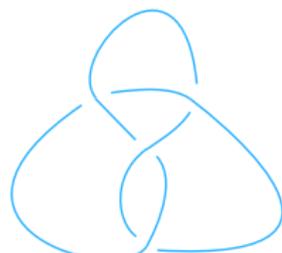
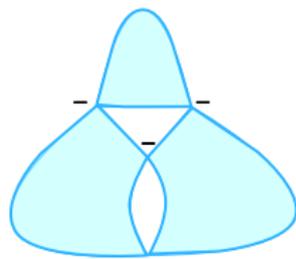
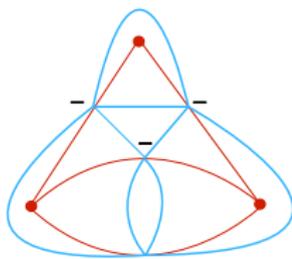
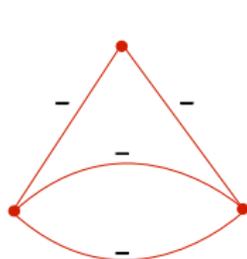


Figure-eight

# Jones' polynomial through Tutte polynomial

A link diagram is **alternating** if the crossings alternate under-over-under-over ... as the link is traversed.

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**Theorem (Thistlethwaite 1987)** If  $D$  is an oriented alternating link diagram then

$$V_D(z) = (z^{-1/4})^{3\omega(D)-2} t(M(G); -z, -z^{-1})$$

where  $G$  is the Tait graph associated to  $D$ .

# Oriented Matroids

Let  $E$  a finite set. An **oriented matroid** is a family  $\mathcal{C}$  of **signed** subsets of  $E$  verifying **certain** axioms (the family  $\mathcal{C}$  is called the **circuits** of the oriented matroid).

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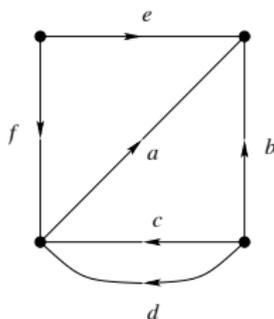
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- Not all matroids are orientables (for instance,  $F_7$  is not orientable).

# Oriented graph

Let  $G$  be an oriented graph. We obtain the signed circuits from the cycles of  $G$ .



Then,

$$\mathcal{C} = \{(a\bar{b}c), (a\bar{b}d), (a\bar{e}f), (c\bar{d}), (b\bar{c}e\bar{f}), (b\bar{d}e\bar{f}), (\bar{a}b\bar{c}), (\bar{a}b\bar{d}), (\bar{a}e\bar{f}), (\bar{c}d), (\bar{b}c\bar{e}\bar{f}), (\bar{b}d\bar{e}\bar{f})\}.$$

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We obtain an oriented matroid on  $E$  by considering the signed sets  $X = (X^+, X^-)$  where

$$X^+ = \{i : \lambda_i > 0\} \text{ et } X^- = \{i : \lambda_i < 0\}$$

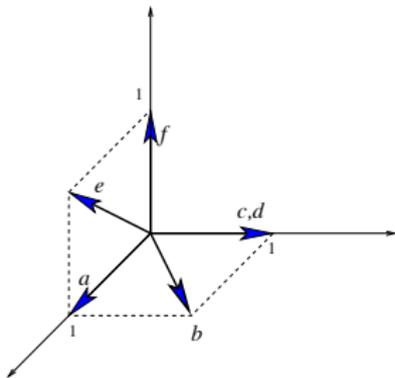
for all minimal dependencies among the  $v_i$ .

# Example

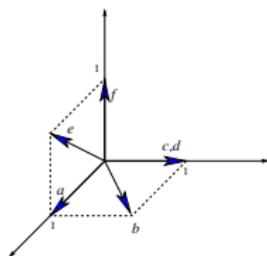
Let

$$A = \begin{pmatrix} & a & b & c & d & e & f \\ 1 & 1 & 0 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 \end{pmatrix}$$

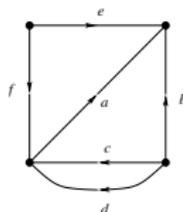
The columns of  $A$  correspond to the following vectors



We can check that the circuits of



are the same as those arising from



For example,  $(\overline{abc})$  correspond to the linear combination  $a - b + c = 0$  or the circuit  $(\overline{bdef})$  correspond to the linear combination  $b - d - e + f = 0$ .

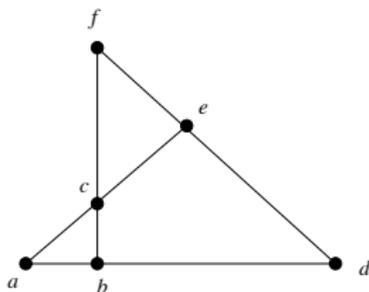
# Configurations of points

Any configuration of points induce an oriented matroid in the affine space where the signed set of circuits are the coefficients of minimal **affine** dependencies of the form

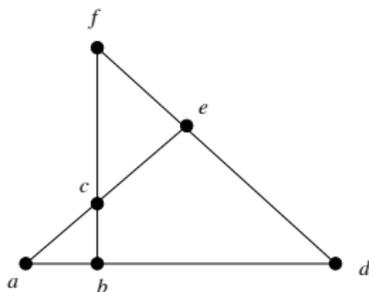
$$\sum_i \lambda_i v_i = 0 \quad \text{with} \quad \sum_i \lambda_i = 0, \quad \lambda_i \in \mathbb{R}$$

$$B = \begin{pmatrix} & a & b & c & d & e & f \\ -1 & 0 & 0 & 3 & 1 & 0 \\ 0 & 0 & 1 & 0 & 2 & 3 \end{pmatrix}$$

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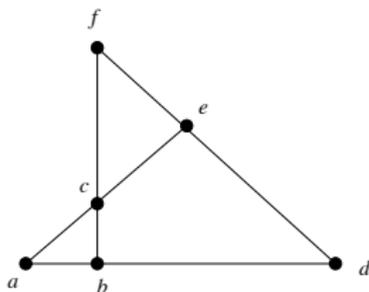
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Signed circuits corresponding to the affine oriented matroid associated to  $B$ .

$$\mathcal{C} = \{(a\bar{b}d), (b\bar{c}f), (d\bar{e}f), (a\bar{c}e), (\bar{a}b\bar{e}f), (\bar{b}cd\bar{e}), (a\bar{c}df), (\bar{a}b\bar{d}), (\bar{b}c\bar{f}), (\bar{d}e\bar{f}), (\bar{a}c\bar{e}), (\bar{a}b\bar{e}f), (\bar{b}c\bar{d}e), (\bar{a}c\bar{d}f)\}.$$

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Signed circuits corresponding to the affine oriented matroid associated to  $B$ .

$$\mathcal{C} = \{(\overline{abd}), (\overline{bcf}), (\overline{def}), (\overline{ace}), (\overline{abef}), (\overline{bcd\overline{e}}), (\overline{a\overline{c}df}), (\overline{abd}), (\overline{bcf}), (\overline{def}), (\overline{ace}), (\overline{abef}), (\overline{bcd\overline{e}}), (\overline{a\overline{c}df})\}.$$

For instance, circuit  $(\overline{abd})$  correspond to the affine dependency  $3(-1, 0)^t - 4(0, 0)^t + 1(3, 0)^t = (0, 0)^t$  with  $3 - 4 + 1 = 0$ .

$\mathcal{B}$  is the set of **bases** of an oriented matroid if and only if there is an application, called **chirotope**,  $\chi : E^r \rightarrow \{+, -, 0\}$  such that

(B1)  $\mathcal{B} \neq \emptyset$ ;

(B2) for any  $B$  and  $B'$  in  $\mathcal{B}$  and  $e \in B \setminus B'$  there exists  $f \in B' \setminus B$  such that  $B \setminus e \cup f \in \mathcal{B}$ ;

(B3)  $\{b_1, \dots, b_r\} \in \mathcal{B}$  if and only if  $\chi(b_1, \dots, b_r) \neq 0$

(B4)  $\chi$  is **alternating**, i.e.

$\chi(b_{\sigma(1)}, \dots, b_{\sigma(r)}) = \text{sign}(\sigma)\chi(b_1, \dots, b_r)$  for any  $b_1, \dots, b_r \in E$   
for any permutation  $\sigma$

(B5)  $\chi$  verifies the **Grassmann-Plücker relation**

# Radon Partitions

**Theorem (Radon)** Let  $X$  be a set of  $n \geq d + 2$  points in  $\mathbb{R}^d$ . Then, there always exists a partition  $X = A \cup B$  such that  $\text{conv}(A) \cap \text{conv}(B) = \emptyset$ .

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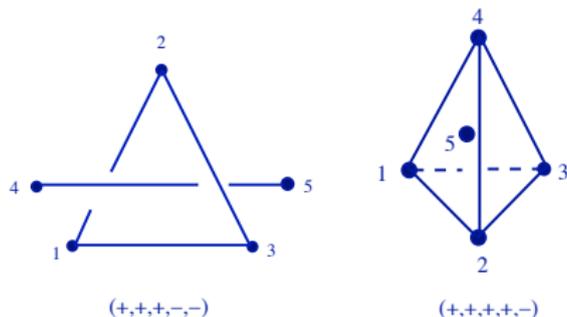
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**Example :**  $d = 3$ .



These are called **minimal Radon partitions**

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A spatial representation is **linear** if the curves are **line segments**

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Let  $m(L)$  be the smallest integer such that any spatial **linear** representation of  $K_n$  with  $n \geq m(L)$  contains cycles isotopic to  $L$ .

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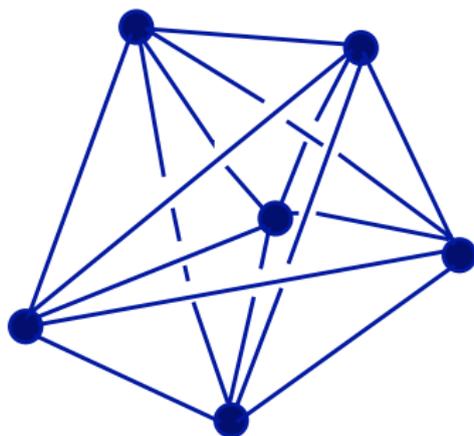
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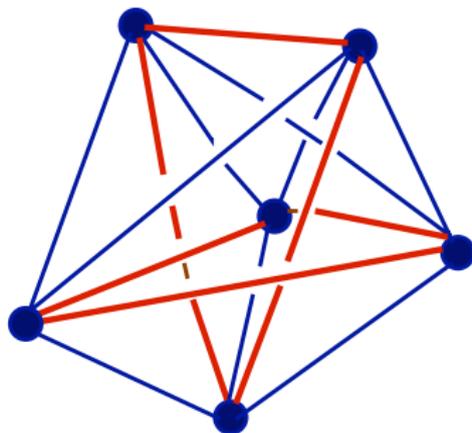
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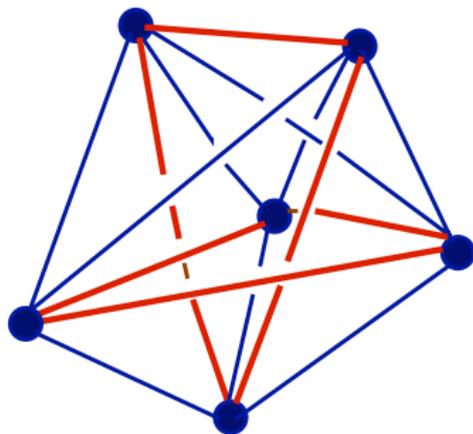
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**Theorem** (R.A. 1998, 2000, 2009)

$m(T \text{ or } T^*) = 7$ ,  $m(4_1^2) > 7$ ,  $m(F_8) > 8$ ,  $m(T(5, 2)) > 8$ .

# Isotopy Conjecture

Isotopy Conjecture for Oriented Matroid (Ringel 1956) Is the realization space over the real number field of an oriented matroid path-connected? In other words, can one given realization of  $M$  be continuously deformed, through realizations, to another given one?

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**Theorem (Jaggi, Mani-Levitska, Sturmfels, White 1989)** Provide a uniform counterexample of rank 3 on 17 points.

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**Consequence** The isotopy problem has a (very) negative solution even for uniform matroids of rank 3.

# Las Vergnas' question

Let  $X = (x_0, \dots, x_{n-1})$  be a  $n$ -uple of points in  $\mathbb{R}^3$  in general position. Let  $K_X$  be the polygonal knot defined by the segments  $[x_i, x_{i+1}]$  (addition (mod  $n$ ))

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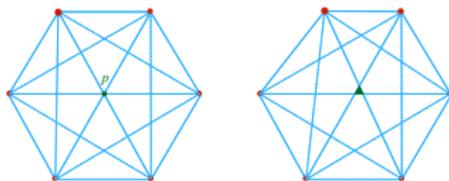
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In other words

**Question** Let  $X$  and  $Y$  be two sets of  $n$  points. Is it true that if there is a bijection  $\varphi : X \rightarrow Y$  **preserving** Radon partitions then  $K_X$  is isotopic to  $K_Y$ ?

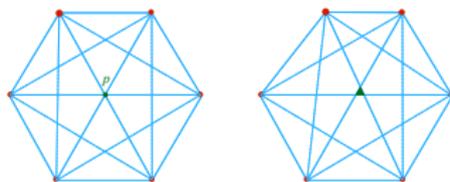
# Strong geometry

Two configurations of points having the same oriented matroid

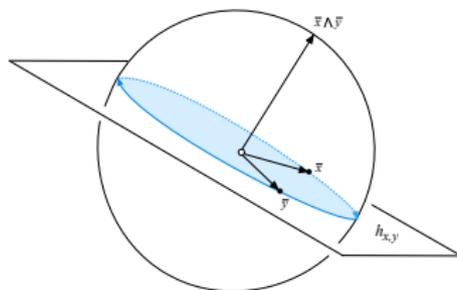


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We introduce a new oriented matroid  $M_{\wedge}(X)$  arising from the set of hyperplanes spanned by  $X$ .



# Strong geometry

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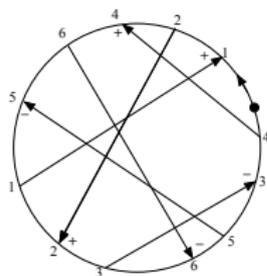
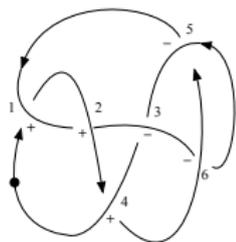
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Strong geometries encode nicely the combinatorics of the cells of the arrangement of the spanned lines.

**Theorem (Gros, R.A. 2025)** The polygonal knot  $K_X$  can be completely determined by  $S\text{Geom}(X)$ .

# Gauss diagram

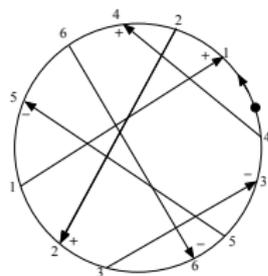
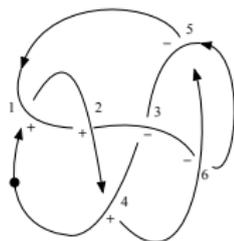


Knot  $6_3$  together with a Gauss code

$U_{+1} O_{+2} U_{+4} O_{-6} U_{-5} O_{+1} U_{+2} O_{-3} U_{-6} O_{-5} U_{-3} O_{+4}$

and its corresponding Gauss diagram.

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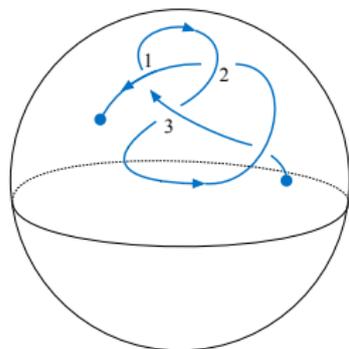
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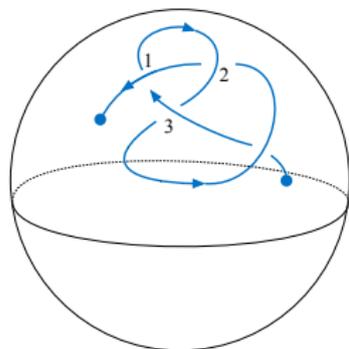
**Lemma** Any knot diagram on the sphere can be recovered uniquely (up to isotopy) from its Gauss diagram.

# Knotoid diagram



The **knotoid** diagram called line eight-figure

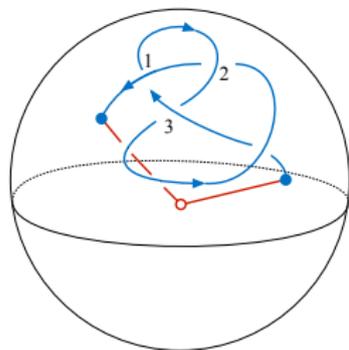
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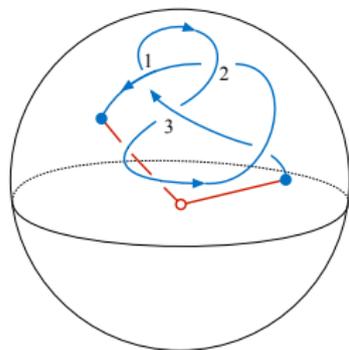


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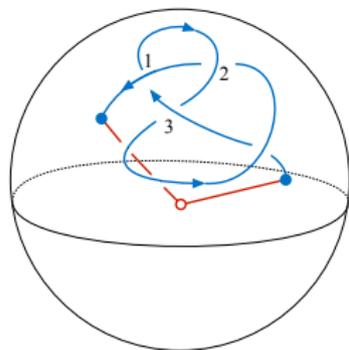
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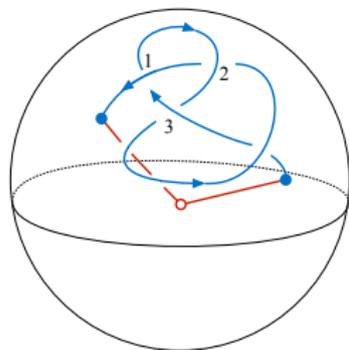
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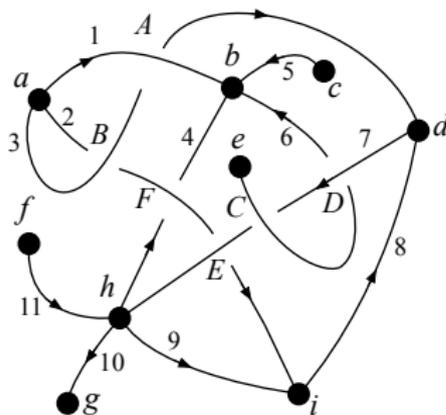
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- $M(X)$  determines the pairs of arcs that **intersect** (and which one is over/under the other one) together with the corresponding sign,
- $M_{\wedge}(X)$  determines the **order** of the intersections along an arc.

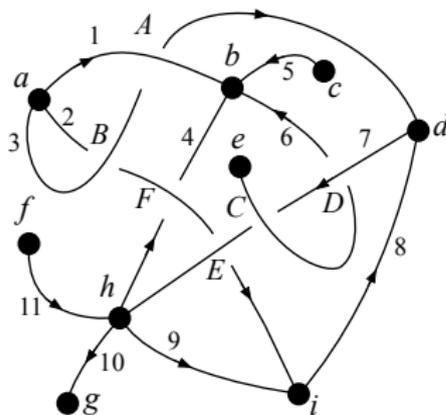
# Graphoid diagram



## Gauss code

$a1AO_+b$   
 $a2BU_-FO_+EU_+i$   
 $a3BO_-AU_+d$   
 $b4FU_+h$   
 $b5c$   
 $b6DU_-CO_-e$   
 $d7DO_-CU_-EO_+h$   
 $d8i$   
 $i9h$   
 $h10g$   
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**Lemma** A graphoid diagram is determined by its Gauss diagram (up to a planar isotopy).

# Spatial Graphs

Let  $R(G)$  be a linear spatial representations of  $G$ . Let  $X_G$  be the set of points in  $\mathbb{R}^3$  associated to the vertices of such representation.

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**Theorem (Gros, R.A. 2025)**  $R(G)$  can be completely determined by  $SGeom(X_G)$ .

**Question (spatial graph version)** Is it true that  $R(G)$  can be determined by using  $M(X_G)$  only?

