

Theory of matroids and applications III

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Tutte Polynomial - generating function

The **Tutte polynomial** of a matroid M is the generating function defined as follows

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Let $U_{2,3}$ be the uniform matroid of rank 2 on 3 elements

$$\begin{aligned} t(U_{2,3}; x, y) &= \sum_{X \subseteq E, |X|=0} (x-1)^{2-0} (y-1)^{0-0} + \sum_{X \subseteq E, |X|=1} (x-1)^{2-1} (y-1)^{1-1} \\ &+ \sum_{X \subseteq E, |X|=2} (x-1)^{2-2} (y-1)^{2-2} + \sum_{X \subseteq E, |X|=3} (x-1)^{2-2} (y-1)^{3-2} \\ &= (x-1)^2 + 3(x-1) + 3(1) + y - 1 \\ &= x^2 - 2x + 1 + 3x - 3 + 3 + y - 1 = x^2 + x + y. \end{aligned}$$

Tutte Polynomial - recursively

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The Tutte polynomial can be expressed recursively as follows

$$t(M; x, y) = \begin{cases} t(M \setminus e; x, y) + t(M/e; x, y) & \text{if } e \neq \text{isthmus, loop,} \\ x \cdot t(M \setminus e; x, y) & \text{if } e \text{ is an isthmus,} \\ y \cdot t(M/e; x, y) & \text{if } e \text{ is a loop.} \end{cases}$$

$$t(U_{2,3}, x, y)$$

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$$\begin{aligned}t(U_{2,3}; x, y) &= t(U_{2,3} \setminus 3; x, y) + t(U_{2,3}/3; x, y) \\ &= t(U_{2,2}; x, y) + t(U_{1,2}; x, y).\end{aligned}$$

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$$\begin{aligned}t(U_{2,2}; x, y) &= t(U_{2,2}(2); x, y)t(U_{2,2} \setminus 2; x, y) \\ &= t(I; x, y)t(U_{1,1}; x, y) \\ &= xt(U_{1,1}; x, y) \\ &= xt(I; x, y) = x^2\end{aligned}$$

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Therefore, $t(U_{2,3}; x, y) = x^2 + x + y$.

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$$t(M(K_n); x, y) = \sum_{k=1}^n \binom{(n-1)}{(k-1)} \left(x + \sum_{i=1}^{k-1} y^i \right) t(K_{k-1}; 1, y) \cdot t(K_{n-k}; x, y)$$

(due to Gessel and Pak)

Application 7 : acyclic orientations

Let $G = (V, E)$ be a connected graph. An **orientation** of G is an orientation of the edges of G .

We say that the orientation is **acyclic** if the oriented graph do not contain an oriented cycle (i.e., a cycle where all its edges are oriented clockwise or anti-clockwise).

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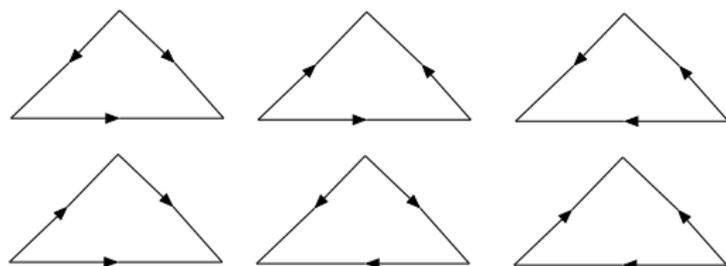
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Theorem The number of acyclic orientations of G is equals to

$$t(M(G); 2, 0).$$

Example : acyclic orientations

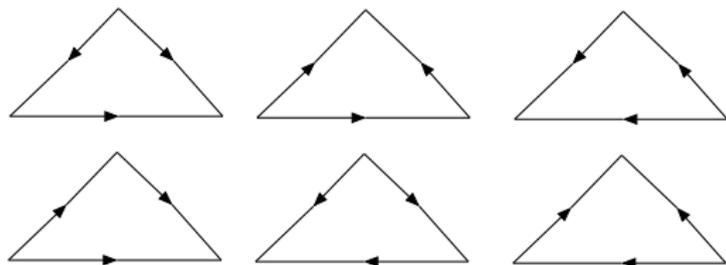
There are 6 acyclic orientations of C_3



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Since $t(U_{2,3}; x, y) = x^2 + x + y$ then the number of acyclic orientations of C_3 is $t(U_{2,3}; 2, 0) = 2^2 + 2 + 0 = 6$.

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Conjectures (Conde, Merino, Welsh)

- $\max\{t(M; 2, 0), t(M; 0, 2)\} \geq t(M; 1, 1)$
- $t(M; 2, 0) + t(M; 0, 2) \geq 2t(M; 1, 1)$ (additive version)
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Theorem (Knauer, Martínez-Sandoval, R.A., 2018) Let M be a lattice path matroid. Then, $t(M; 2, 0) \cdot t(M; 0, 2) \geq \frac{4}{3}t^2(M; 1, 1)$

Chromatic polynomial

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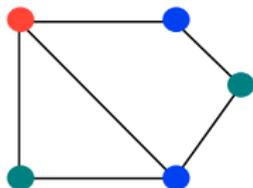
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Theorem $\chi(G, \lambda)$ is a polynomial on λ . Moreover

$$\chi(G, \lambda) = \sum_{X \subseteq E} (-1)^{|X|} \lambda^{\omega(G[X])}$$

where $\omega(G[X])$ denote the number of connected components of the subgraph generated by X .

Proof (idea) By using the inclusion-exclusion formula [Exercise]

Application 9 : chromatic polynomial

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Theorem If G is a graph with $\omega(G)$ connected components. Then,

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Example :

$$\begin{aligned}\chi(C_3, 3) &= 3^1 (-1)^{3-1} t(M(C_3); 1-3, 0) \\ &= 3 \cdot 1 \cdot t(U_{2,3}; -2, 0) \\ &= 3((-2)^2 - 2 + 0) \\ &= 6.\end{aligned}$$

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Ehrhart studied the function i_P that counts the number of integer points in the integer polytope P **dilated** by a factor of t

$$i_P : \mathbb{N} \longrightarrow \mathbb{N}^* \\ t \mapsto |tP \cap \mathbb{Z}^d|$$

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Theorem (Ehrhart 1962) i_P is a polynomial on t of degree d ,

$$i_P(t) = c_d t^d + c_{d-1} t^{d-1} + \cdots + c_1 t + c_0.$$

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All others coefficients remain a **mystery!!**

Application 10 : Ehrhart Polynomial

Let $A = \{v_1, \dots, v_k\}$ be a finite set of elements of \mathbb{R}^d .

Let $Z(A)$ be the zonotope formed by the following Minkowski's sum of line segments

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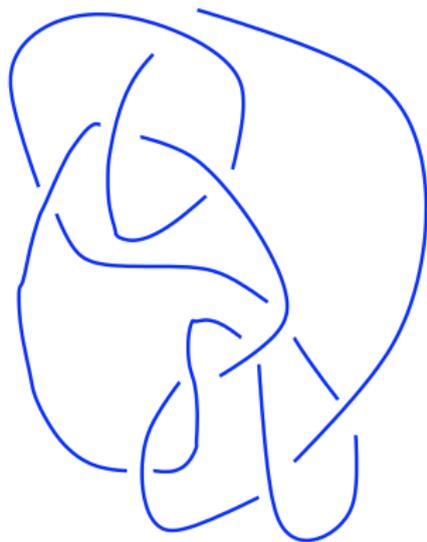
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and $(-1)^{r(M)} t(M(A); 0, 1)$ counts the number of integer points in the interior of $Z(A)$.

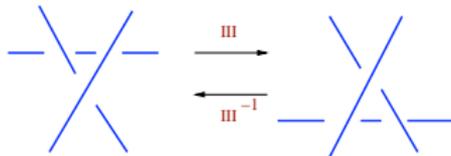
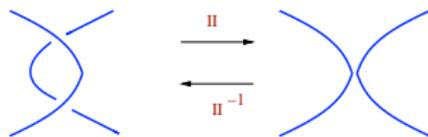
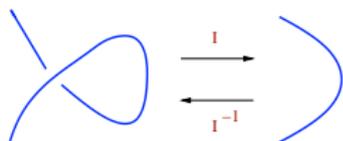
Knots



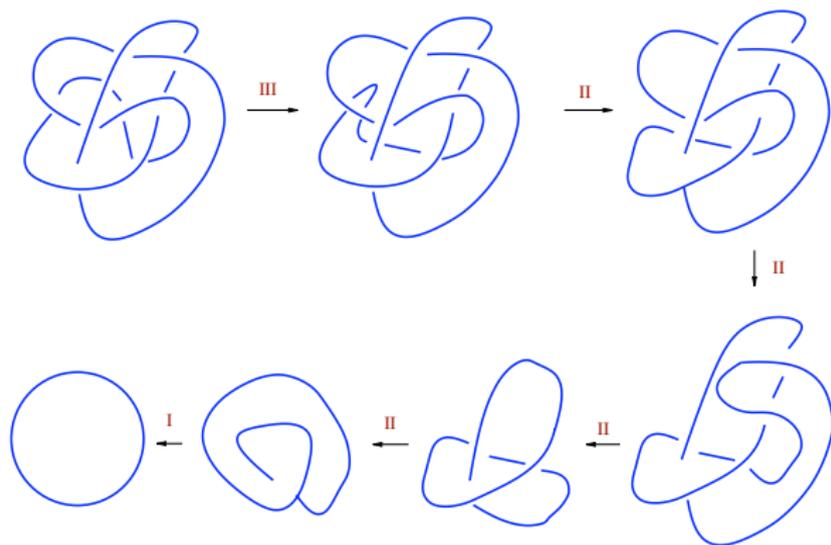
Knot diagram

Reidemeister

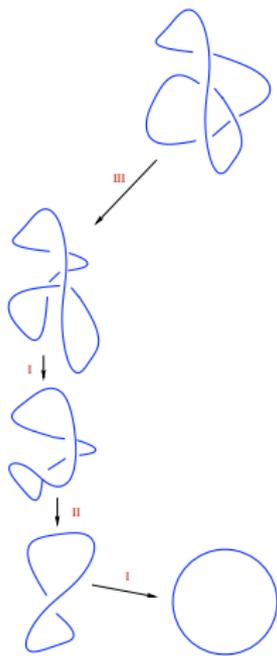
Reidemeister moves



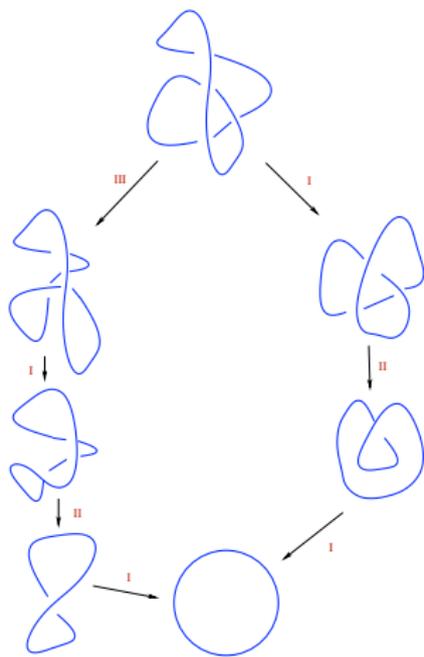
Reidemeister



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Bracket polynomial

For any link diagram D define a Laurent polynomial $\langle D \rangle$ in one variable A which obeys the following three rules where U denotes the unknot :

$$i) \quad \langle U \rangle = 1$$

$$ii) \quad \langle U + D \rangle = -(A^2 + A^{-2}) \langle D \rangle$$

$$iii) \quad \langle \text{crossing} \rangle = A \langle \text{smooth} \rangle + A^{-1} \langle \text{smooth} \rangle$$

Bracket polynomial

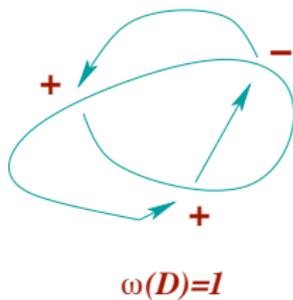
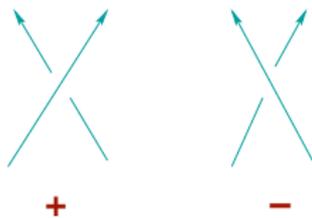
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The **writhe** of an oriented link diagram D is the sum of the signs at the crossings of D (denoted by $\omega(D)$).

Writhe



Jones' polynomial

Theorem For any link L define the Laurent polynomial

$$f_D(A) = (-A^3)^{\omega(D)} \langle L \rangle$$

Then, $f_D(A)$ is an invariant of ambient isotopy.

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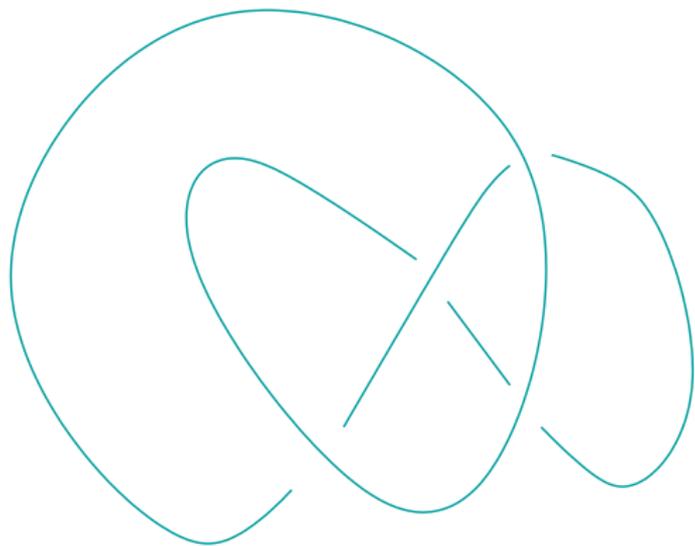
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It is known that the so-called **Jones' polynomial** of an oriented link L is given by

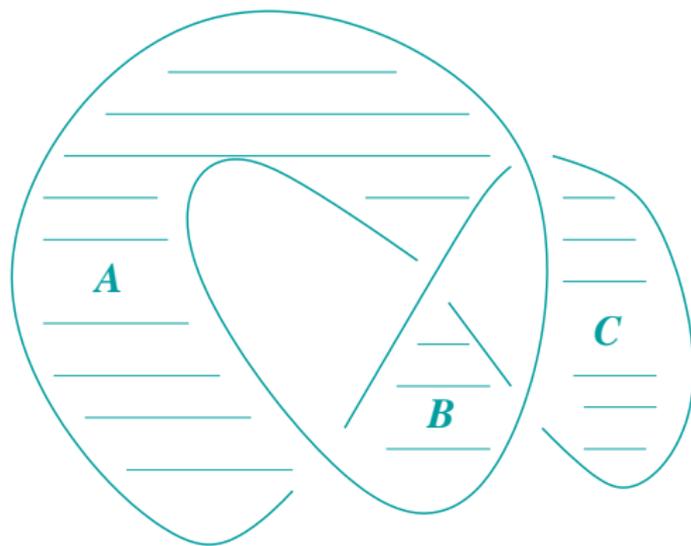
$$V_L(z) = f_D(z^{-1/4})$$

where D is any diagram representing L .

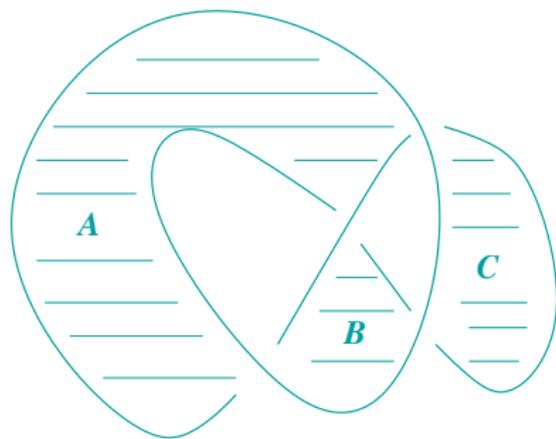
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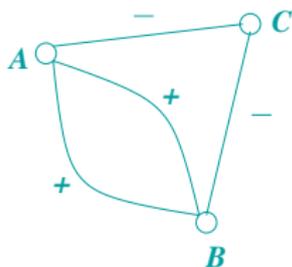
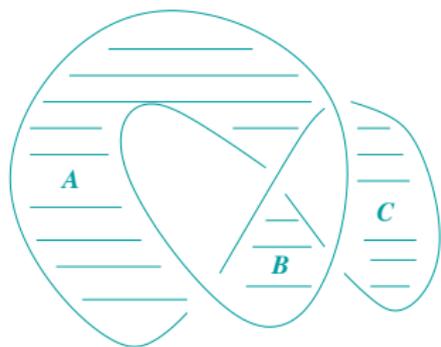
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Theorem (Thistlethwaite 1987) If D is an oriented alternating link diagram then

$$V_L(z) = (z^{-1/4})^{3\omega(D)-2} t(M(G); -z, -z^{-1})$$

where G is the graph associated to the knot diagram.

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Useful to give a combinatorial proof of the following

Theorem (MacWilliams 1963)

$$W_{C^\perp}(x, y) = \frac{1}{|C|} W_C(x + (q-1)y, x - y).$$

Simplicial complex

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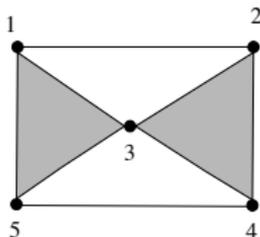
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- Let $\dim(\Delta) = d - 1$. The **f -vector** of Δ is $f(\Delta) := (f_{-1}, f_0, \dots, f_{d-1})$, where $f_i = |\{F \in \Delta \mid \dim(F) = i\}|$.

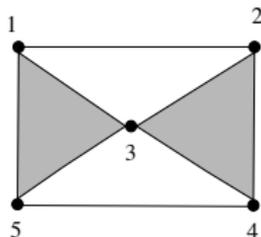
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Simplicial complex Δ of dimension 2.



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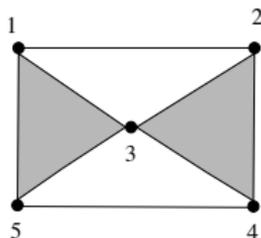
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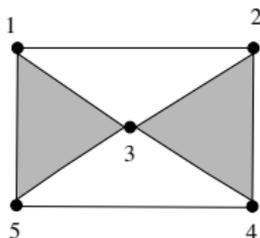
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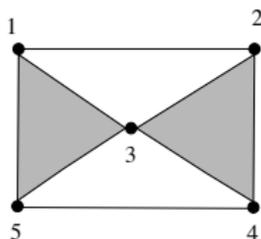
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- The deletion of 3 has facets 12, 24, 45 and 15.
The deletion of 5 has facets 234, 13 and 12.

Matroid complex

Recall that axioms $(I1)$, $(I2)$ for the independent set $\mathcal{I}(M)$ of a matroid M on a set E are equivalent to \mathcal{I} being an abstract simplicial complex on E .

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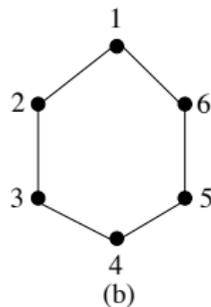
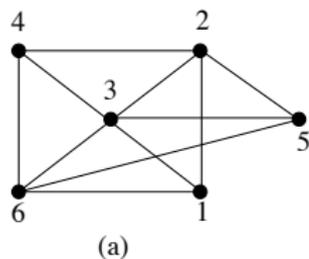
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A simplicial complex Δ over the vertices E is called **matroid complex** if axiom $(I3)'$ is verified.

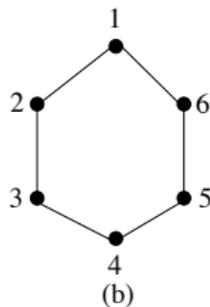
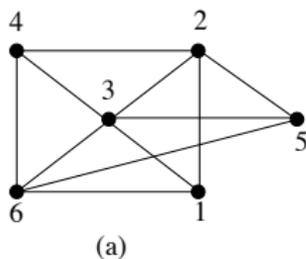
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Two 1-dimensional simplicial complexes.



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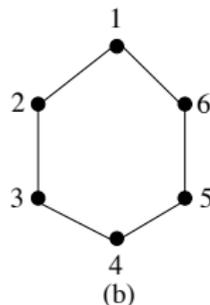
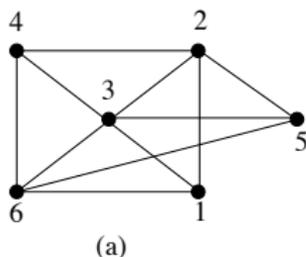
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Two 1-dimensional simplicial complexes.



(a) Matroid complex (check that every $A \subseteq \{1, \dots, 6\}$, Δ_A is pure) [Exercise].

(b) It is not a matroid complex (check that the restriction $\Delta_{\{1,3,4\}}$ is not pure) [Exercise].

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A matroid complex Δ_M is a cone if and only if M has a coloop (or an isthmus), which corresponds to the **apex** (defined above).

Stanley-Reisner ideal

Let k be a field. We can associate to a simplicial complex Δ , the following square free monomial ideal in $S = k[x_1, \dots, x_n]$,

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The ideal I_Δ is called the **Stanley-Reisner ideal** of Δ and S/I_Δ the **Stanley-Reisner ring** of Δ .

Stanley-Reisner ring

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$$h_{S/I_\Delta}(h) = \dim_k[S/I_\Delta]_h$$

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In particular, for any $j = 0, \dots, d$, we have

$$f_{j-1} = \sum_{i=0}^j \binom{d-i}{j-1} h_i$$

$$h_j = \sum_{i=0}^j (-1)^{j-i} \binom{d-i}{j-1} f_{i-1}.$$

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Remark v_j is externally passive in B if it is internally passive in $E \setminus B$ in M^* .

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Theorem $t(M; x, y) = \sum_{B \in \mathcal{B}(M)} x^{i(B)} y^{e(B)}$

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Consequence The h -numbers of a matroid complex are nonnegative.

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A vector $h = (h_0, \dots, h_d)$ is a **pure \mathcal{O} -sequence** if there is a pure ideal \mathcal{O} such that $h = F(\mathcal{O})$.

Example

The pure monomial order ideal (inside $k[x, y, z]$ with maximal monomials xy^3z and x^2z^3) is :

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Hence the h -vector of X is the pure O -sequence $h = (1, 3, 6, 7, 5, 2)$.

Stanley's conjecture

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True for several families of matroid complexes.

(Merino, Noble, Ramirez-Ibañez, Villarroel, 2010) Paving matroids

(Oh, 2010) Cotransversal matroids

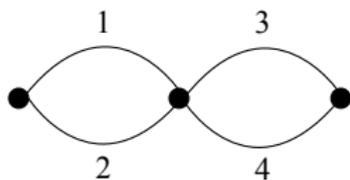
(Schweig, 2010) Lattice path matroids

(Stokes, 2009) Matroids of rank at most three

(De Loera, Kemper, Klee, 2012) for all matroids on at most nine elements all matroids of corank two.

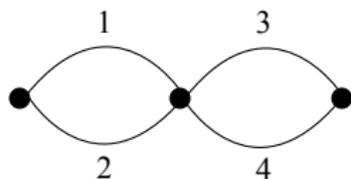
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We have that $\dim(\Delta) = 1$ and $f_{-1} = 1, f_0 = 3$ and $f_1 = 4$.

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Obtaining the h -vector $h(1, 2, 1)$. Since $\mathcal{O} = (1, x_1, x_2, x_1 x_2)$ is an order ideal then $h(1, 2, 1)$ is pure \mathcal{O} -sequence.